PNPI 17 Oct 2024

B.I. Ermolaev

Ioffe Physico-Technical Institute

Present State of the Proton Spin Problem

Proton spin puzzle/ Spin crisis

Proton spin $S_p = 1/2$. In the simplest model, proton consists of three quarks of different colours, spin of each quark = 1/2, so



No spin problem with the proton spin description if proton consists of 3 quarks only

However, experiments on Deep-Inelastic Scattering off polarized protons brought a problem. At high energies, nucleons (protons) consist of partons, i.e. quarks and gluons Spin/Angular Moment conservation relates the hadron spin to the parton (quarks and gluon) spins

Proton spin =1/2. Proton consists of quarks (quark spin = 1/2) and gluons (gluon spin = 1)

Proton spin is made out of the parton spins, so it was expected that



First experimental investigation of the nucleon spin was carried out by European Muon Collaboration (EMC) in 1988

$$S_q = \frac{1}{2} \int_0^1 dx \, \Delta \Sigma(x)$$
Quark helicity
distribution

$$S_g = \int_0^1 dx \, \Delta G(x)$$

Gluon helicity
distribution

Angular momentum conservation: $S_q + S_g = 1/2$ However in 1988, EMC reported that $S_q + S_g < 1/2$

This was named Proton Spin Puzzle/ Spin Crisis

To explain Puzzle, there were introduced additional contributions: Angular Orbital Moments of quarks and gluons, L_q and L_g Nevertheless it did not solve the problem:

$$S_q + S_g + L_q + L_g < 1/2$$

But it has not helped to solve the puzzle

Experimental data on proton spin at high energies arrive from lepton-hadron Deep-Inelastic Scattering (DIS)

Deep-inelastic lepton-hadron scattering

Aim: probing electromagnetic structure of hadrons



Standard parametrization of $W^{spin}_{\mu\nu}$



Each structure function depends on the invariant energy w = 2pqand virtuality of the photon Q^2

$$x = Q^2/2pq$$
, $0 < x < 1$

Spin structure functions are asymmetries:



Taken from wwwcompass.cern.ch



COMPASS is a high-energy physics experiment at the Super Proton Synchrotron (SPS) at <u>CERN</u> in Geneva, Switzerland. The purpose of this experiment is the study of hadron structure and hadron spectroscopy with high intensity muon and hadron beams. On February 1997 the experiment was approved conditionally by CERN and the final Memorandum of Understanding was signed in September 1998. The spectrometer was installed in 1999 - 2000 and was commissioned during a technical run in 2001. Data taking started in summer 2002 and continued until fall 2004. After one year shutdown in 2005, COMPASS will resume data taking in 2006. Nearly 240 physicists from 11 countries and 28 institutions work in COMPASS

COMPASS

_COmmon Muon Proton Apparatus for Structure and Spectroscopy







RHIC is the world's only machine capable of colliding high-en beams of polarized protons, and is a unique tool for exploring puzzle of the proton's 'missing' spin.

In addition to colliding heavy ions RHIC is able

The Importance of Spin

Aim of the RHIC experiments: to obtain S_q and S_g



$$S_g = \int_0^1 dx \, h_g(x)$$
Gluon helicity
distribution

Actually they obtained \overline{S}_q and \overline{S}_g

$$\overline{S}_{q} = \frac{1}{2} \int_{x_{1}}^{1} dx \, h_{q}(x) \qquad \overline{S}_{g} = \frac{1}{2} \int_{x_{2}}^{1} dx \, h_{q}(x)$$
$$x_{1} = 0.001 \qquad x_{2} = 0.05$$

Recent RHIC data (2015) obtained by measuring g_1 :

$$S_q = 0.15 \div 0.20 \quad \text{at} \quad 0.001 < x < 1$$

$$S_g = 0.13 \div 0.26 \quad \text{at} \quad 0.05 < x < 1$$

$$Q^2 = 10 \ GeV^2$$
knowledge of $h_q(x)$ and $h_g(x)$ at smaller x is out of the RHIC reach

 \overline{S}_g

RHIC

X

1





Missing contributions to the proton spin:

$$S'_{q} = \frac{1}{2} \int_{0}^{x_{1}} dx h_{q}(x) \qquad S'_{g} = \int_{0}^{x_{2}} dx h_{q}(x)$$
$$x_{1} = 0.001 \qquad x_{2} = 0.05$$

They cannot be registered at RHIC, so they should be calculated. Available theoretical instrument is QCD but it is a regular technical means at large momenta only.

In order to describe an impact of the small momenta region, the QCD Factorization concept is used.

QCD Factorization:



Non-Perturbative contributions:

The simplest type: Collinear factorization

Standard DGLAP fits for initial parton densities usually defined at $Q^2 = 1 \text{ GeV}^2$:



N, a, b, c, d – free parameters, all of them are positive, they are fixed from experiment,

Perturbative contributions:

1) NLO and NNLO DGLAP Borsa-de Florian-Sassot-Stratmann-Wogelsang 2) KSPCTT approach

Kovchegov-Sivert-Pitonyak-Tarasov-Tawaburt-Cougoulic-Adamiak-Boussarie-Hatta- Fen Yuan-Baldonado-Melnitchouk

The both approaches provide a good agreement with $S_P=1/2$ However, they are unsatisfactory from the theoretical point of view. Namely:

DGLAP was suggested for the kinematic region of x ~1. It misses many contributions essential at x<<1 In the first place, double-logarithmic (DL) contributions. This drawback is compensated by introducing ad hoc singular terms

 x^{-a} in the DGLAP fits

Besides, the standard DGLAP parametrization of $\alpha_s = \alpha_s(Q^2)$ is incorrect at x << 1

KSPCTT operates with the small-x asymptotics both in their applicability region and outside it. Besides, they keep α_s fixed and set its scale a posteriori We suggest a new approach to the proton spin problem, which is free of these drawbacks:

We account for the total summation of DL contributions to g1/helicities and at the same time account for the running QCD coupling

Advantages of our approach:

When DL contributions are totally summed, the singular factors in the fits should be dropped.

When DL contributions are complemented by the DGLAP ones, we obtain expressions for g_1 /helicities valid at any x. It considerably reduces the number of phenomenological parameters

Using the asymptotics of g_1 /helicities instead of parent expressions at arbitrary x is unreliable, it leads to false conclusions

We suggest such a criterion:

the asymptotics reliably represent g_1 when

at
$$Q^2 = 1 \text{ GeV}^2$$

$$R_{as}(x) = 0.9$$

 $x = x_0 \approx 10^{-5}$

Thereby we set Applicability region of asymptotics:

$$0\leq x \leq x_0$$

The large Q^2 , the smaller x_0 i.e. the smaller the applicability region

We will not work with asymptotics but calculate g_1 in the Double-Logarithmic Approximation (DLA). It sums up the contributions most important at small x to all orders in the coupling

Perturbative components of g₁ Born approximation



Quark electric charge

$$g_1^{(q)} = e_q^2 \delta(x-1)$$

 $g_1^{(g)} = 0$



higher loop calculations are necessary The contributions most important at small x are Doubly-Logarithmic (DL)

$$g_1 = g_1^{quark} \otimes \Phi_{quark} + g_1^{gluon} \otimes \Phi_{gluon}$$

Standard instrument to calculate g₁ or helicities beyond Born is DGLAP Dokshitzer-Gribov-Lipatov-Altarelli-Parisi

DGLAP operates with the coefficient functions calculated in first and second orders in the coupling and does not account for total summation of logarithms of x to all orders in the coupling

We account for total resummation of DL contributions and in addition account for the running coupling effects

$$g_1^{(q)} = \frac{\delta(x-1)}{c_1(\alpha_s \ln (1/x))} + c_2(\alpha_s^2 \ln^3(1/x)) + \cdots$$

$$g_1^{(g)} = c'_1(\alpha_s \ln (1/x)) + c'_2(\alpha_s^2 \ln^3(1/x)) + \cdots$$



Both singlet and non-singlet g_1 were calculated in DLA + accounting for running coupling effects. The instrument to calculate them were Infra-Red Evolution Equations

This method was suggested by L.N. Lipatov It stems from the observation that the bremsstrahlung photon with minimal transverse momentum (the softest photon) can be factorized out of the radiative amplitudes with DL accuracy V.N. Gribov

Similarly, DL contributions of softest virtual quarks/gluons can be factorized

DL contributions of virtual gluons are infrared (IR)-divergent. When quark masses are neglected, DL contributions from soft quarks also become IR-divergent. In order to regulate them, one can introduce an IR cut-off μ

It is convenient to introduce μ in the transverse momentum space, which makes it possible to use the factorization.

After factorizing the softest quarks and gluons, their transverse momenta act as a new IR cut-off, instead of μ , for integrating over momenta of other virtual partons.

Value of μ obeys the restriction $\mu \ll \Lambda_{QCD}$ in order to allow applying Perturbative QCD, otherwise it is arbitrary. This makes possible to evolve the objects under consideration with respect to μ

It is the reason why the method was named IREE. (M.Krawczyk) The method proved to be effective and simple instrument for calculations in Double-Logarithmic Approximation (DLA), i.e. when contributions

$$\sim \alpha_s^n ln^{2n}(1/x) \quad (n=1,2,\ldots)$$

are accounted to all orders in α_s

At the beginning, the IREE method operated with fixed α_s but later the running coupling effects were incorporated (Ermolaev-Greco-Troyan)



Expression for the singlet is more involved. It includes mixing of quark and gluon rungs, and initial quark and gluon distributions

Both coefficient functions and anomalous dimensions are calculated in DLA, i.e. each of them sums DL contributions to all orders in the coupling

Results on g_1 in DLA +

First, there was calculation of g_1^{NS} in DLA under the ladder approximation Ermolaev-Manaenkov-Ryskin (1995)

Then contributions of non-ladder graphs were included Bartels-Ermolaev-Ryskin (1996)

Then g_1^{S} was calculated

Bartels-Ermolaev-Ryskin (1996)

Accounting for running α_s effects, Single-logarithmic correction, Expressions for g_1 at arbitrary x and Q^2 , Explaining the COMPASS experiments, Accounting for $1/Q^2$ -corrections

Ermolaev-Greco-Troyan (1999-2008)

The small-x asymptotics of g_1 was found by purely mathematical means, with Saddle-Point method. All of them proved to be of the Regge type

$$g_{1} \sim x^{-4} (Q^{2}/\mu^{2})^{4/2} = (Q^{2}/x^{2})^{4/2}$$

intercept
Asymptotic scaling

Any of g_1^{NS} , g_1^{S} , F_1^{NS} , F_1^{S} calculated in DLA asymptotically behaves as

$$f \sim x^{-\Delta} \left(Q^2 / \mu^2 \right)^{\Delta/2} = \left(\frac{Q^2}{x^2} \right)^{\Delta/2}$$

However, their intercepts are different

$$\Delta_{NS}^{(ladder)} = \left(\frac{2\alpha_s C_F}{\pi}\right)^{1/2} \qquad C_F = \frac{N^2 - 1}{2N} = \frac{4}{3} \quad \text{Ermolaev-Manaenkov-Ryskin}$$

$$\Delta_{NS} \approx \left(\frac{2\alpha_s C_F}{\pi}\right)^{1/2} \left[\frac{1}{2} + \frac{1}{2}\sqrt{1 + 4/(N^2 - 1)}\right]^{1/2}$$

$$\approx \left(\frac{2\alpha_s C_F}{\pi}\right)^{1/2} \left[1 + 2/N^2\right] \qquad \text{Bartels-Ermolaev-Ryskin}$$

$$\Delta_S = z_h \left(\frac{\alpha_s N}{2\pi}\right)^{1/2} \qquad z_h = 3.45$$

Later the running coupling effects were accounted for, so the the intercepts became just numbers, without α_s

Ermolaev-Greco-Troyan

$$\Delta_{NS}=0.42 \qquad \Delta_{S}=0.86$$

CRITICISM and ALTERNATIVE CALCULATIONS of INTERCEPTS of g₁

 Interest to theoretical investigation of g₁ increased in 2015 when Kovchegov-Pitonyak-Sievert 2015 (KPS) investigated small-x asymptotics of helicity in DLA with fixed α_s in the ladder approximation. Their approach differ from ours
 First they confirmed our previous result on Intercept of g₁ in the ladder approximation Ermolaev-Manaenkov-Ryskin, 1995

Next year KPS considered asymptotics of the singlet g₁ and arrived at a huge disagreement with the result of Bartels- Ermolaev –Ryskin (BER), 1996 NAMELY, They considered purely gluon DL contributions and represented their result on the intercept as follows:

KPS
$$\tilde{\Delta}_{gluon} = \tilde{z}_h (\alpha_s N/2\pi)^{1/2}$$

BER $\Delta_{gluon} = z_h (\alpha_s N/2\pi)^{1/2}$
KPS $\tilde{z}_h = 2.45$ vs $z_h = 3.66$ BER
Strong discrepancy

Publishing such huge discrepancy provoked an extensive interest in the matter, so many authors contributed to this issue

Kovchegov, Pitonyak, Sievert, Borden, Adamiak, Yossathom, Tawabutr, Santiago, Tarasov, Venugoplan, Chirilli, Gougoulic, Nayan Mani Nath, Jayanta Kumar Sarma, Zhou, Boussarie, Hatta, Yuan ..

These authors also studied small- x evolution of helicity, using the JIMWLK -approach

Jalilian-Marian, Iancu, McLerran, Weigert, ,Leonidov, Kovner

However, JIMWLK originally was designed for evolution of unpolarized objects , so Kovchegov- Pitonyak - Sievert generalized it to study the helicity evolution and other authors also developed various modifications of JIMWLK trying to obtain most accurate estimates of Z_h

This polemics continued till 2023

As a results of this polemics of 2016- 2023, the first estimate of 2016 (called KPS-evolution) Kovchegov- Pitonyak - Sievert

was drastically corrected by Kovchegov- Pitonyak - Sievert – Cougoulic- Tarasov- Tawabutr

when they constructed KSPTT evolution equation instead of KPS. Their estimate of 2023 is



However, recently accuracy of calculations in the framework of KPSCTT – evolution was increased, so same authors (e.g. Tawabutr) have concluded that there still remains a small disagreement



NB it is important to remember that KPSCTT provides asymptotics only whereas our approach first provides explicit expressions for g₁ in DLA and its asymptotics are obtained with Saddle-Point Method from such expressions



asymptotic expressions for g₁ were used to calculate S'_q and S'_g Cougoulic-Kovchegov-Manley-Tarasov-Tawabutr 2023; Boussarie- Hatta – Yuan, 2019; Kovchegov- Manley, 2023 In more detail: The asymptotic expressions for g_1 were applied to calculate S'_q and S'_q

Cougoulic-Kovchegov-Manley-Tarasov-Tawabutr, 2023 Adamiak-Kovchegov-Tawabutr 2023

It turned out that $S_q + S_g < 1/2$

In order to explain the spin crisis, Angular Orbital Momentum contribution was added to S_q, S_g Boussarie- Hatta – Yuan, 2019; Kovchegov- Manley, 2023 in hope to obtain

$$S_q + S_g + (L_q + L_g) = \frac{1}{2}$$

All the articles describe L_q , L_g by the same asymptotic formulae as S_q , S_g however the derivation is not clearly presented and the explicit estimates of S_q , S_g are absent Moreover, any asymptotic expressions should not have been used in these regions

Applicability region of Regge asymptotics Ermolaev-Greco-Troyan

Regge asymptotics are given by simple and elegant expressions. However the applicability regions of the asymptotics are poorly known

We introduce
$$R_{as}(x,Q^2) = \overline{g}_1(x,Q^2)/g_1(x,Q^2)$$

and numerically study its x-dependence at fixed Q^2

Asymptotics reliably represent g_1 when R_{as} is close to 1. Numerical analysis at $Q^2 = 1$ GeV² yields

$$x = 10^{-3} R_{AS} \approx 0.5$$

Appicability region for asymptotics
$$x = 10^{-4} R_{AS} \approx 0.7$$

$$x = 10^{-5} R_{AS} \approx 0.9$$

Appicability region for asymptotics
$$x < x_0 = 10^{-5}$$

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The more Q^2, the less x_0
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Objects to calculate:



 \mathbf{x}_1 and \mathbf{x}_2 are outside the applicability region of asymptotic expressions for \mathbf{g}_1 so, the asymptotics should not be used to calculate S'_q and S'_g

Alternatively, the quark and gluon spin contributions Were calculated with using NLO and NNLO DGLAP as the perturbative methods

Borsa-de Florian-Sassot-Stratmann-Wogelsang

Obvious drawback: DGLAP was originally suggested for operating at $x \sim 1$, It misses logs (1/x) which are important at small x

Extending it to the small x requires singular fits for initial parton densities usually fixed at $Q^2=1$ GeV²:

$$\boldsymbol{\Phi}_q = \mathbf{N} \quad \boldsymbol{x}^{-\boldsymbol{a}} \quad (\mathbf{1} - \boldsymbol{x})^{\boldsymbol{b}} \ \left(\mathbf{1} + \boldsymbol{c}\boldsymbol{x}^{\boldsymbol{d}}\right)$$

N, a, b, c, d – free parameters, they are different for quarks and gluons and are fixed from experiment

NB in both KPSCTT and DGLAP the presence of contributions from Angular Orbital Momenta was mandatory



Perturbative components are calculated in DLA.

Non-Perturbative components are phenomenological objects. They are different for different forms of QCD Factorization

We choose Collinear Factorization. The standard fits for the parton densities are:

$$\Phi_{q,g} = N x^{-a} (1 - x)^{b} (1 - cx^{d})$$

$$N, a, b, c, d > 0$$
Can be dropped when DLA and DGLAP are combined,
especially at small x

As a result, at small x

Mimics resummation of DL and
should be dropped when the
resummation is taken into account
$$\Phi_{q} \approx N_{q} \quad \Phi_{g} \approx N_{g}$$

$$\Phi_{q} \approx N_{q} \quad \Phi_{g} \approx N_{g}$$
Unknown and cannot be fixed
from theoretical grounds

Fix N_q and N_g from the RHIC data on \overline{S}_q and \overline{S}_g respectively

$$\overline{S}_{q} = \frac{1}{2} N_{q} \int_{x_{1}}^{1} dx f_{qq}(x) + N_{g} \frac{1}{2} \int_{x_{1}}^{1} dx f_{qg}(x)$$

$$\overline{S}_{g} = N_{q} \int_{x_{2}}^{1} dx f_{gq}(x) + N_{g} \int_{x_{2}}^{1} dx f_{gg}(x)$$

Solving this system, express $N_{q,g}$ through $\overline{S}_{q,g}$

$$S'_{q} = \frac{1}{2} N_{q} \int_{0}^{x_{1}} dx f_{qq}(x) + N_{g} \frac{1}{2} \int_{0}^{x_{1}} dx f_{qg}(x)$$

All terms in the
r.h.s., are known, so it
is possible to perform the
integrations
$$S'_{g} = N_{q} \int_{0}^{x_{2}} dx f_{gq}(x) + N_{g} \int_{0}^{x_{2}} dx f_{gg}(x)$$

This is program of straightforward calculation of parton contributions to the nucleon spin. However, its implementation is technically difficult because exact expressions for $f_{ik}(x)$ are quite complicated

Instead, we use an approximation for them to obtain a tentative solution to the proton spin puzzle

STEP 1

Main contribution comes from the purely gluon amplitude f_{gg} , so consider it only and neglect contributions of virtual quarks

Then obtain

$$f_{gg}(x) = Im \int_{-i\infty}^{i\infty} \frac{d\omega}{2\pi i} x^{-\omega} F(\omega) \text{ where } F(\omega) = 4\pi^2 \sqrt{\omega^2 - a}$$

and $a = 4\alpha_s N/\pi$
Expression for helicity when only gluons accounted for

The integral is expressed through the Modified Bessel Function I_1 :

$$M_{gg} = -4 \pi rac{\sqrt{a}}{\xi} I_1(\xi \sqrt{a})$$
 with $\xi = ln(1/x)$

And the Imaginary part:

$$Im M_{gg} = 4 \pi^2 \frac{d}{d \xi} \left(\frac{\sqrt{a}}{\xi} I_1(\xi \sqrt{a}) \right)$$

Mellin transform

Small-x asymptotics is of the Regge type:

$$Im M_{gg} \sim 4\pi^2 \frac{\sqrt{a}}{\xi^{3/2}} e^{\xi\sqrt{a}} \sim \frac{\sqrt{a}}{\xi^{3/2}} x^{-\sqrt{a}} \qquad \text{intercept}$$

The genuine intercepts of the helicities and g_1 are known in DLA. They include both gluon and quark contributions Ermolaev-Greco-Troyan



STEP 2

Replace the purely gluonic intercept a by the genuine intercept ω_0 It corresponds to accounting for contributions of both virtual quarks and gluons. Therefore, we get a simple interpolation formula So, we obtain approximate expressions for the quark and gluon helicities



 $C_{q,g}$ are known, so we can calculate S'_q and S'_g

$$S'_{q} = \frac{1}{2} C_{q} B_{q} \qquad S'_{g} = C_{g} B_{g}$$

where
$$B_{q} = \int_{0}^{x_{1}} dx \frac{I_{2}(z)}{z} = 0.0243 \qquad B_{g} = \int_{0}^{x_{2}} dx \frac{I_{2}(z)}{z} = 0.0747$$

Obtain

$$S_q = \overline{S}_q + S'_q = \overline{S}_q [1 + B_q / A_q] = \overline{S}_q [1 + 0.18]$$
$$S_g = \overline{S}_g + S'_g = \overline{S}_g [1 + B_g / A_g] = \overline{S}_g [1 + 0.85]$$

$$\begin{array}{c|c} 0.18 \leq S_q \leq 0.24 \\ \hline 0.24 \leq S_g \leq 0.72 \\ \hline 0.42 \leq S_P \leq 0.72 \end{array}$$





Impact of Q^2 – dependence on the spin problem is very weak

CONCLUSIONS

Using DLA for calculation of the parton contributions S_q and S_g leads to perfect agreement with the value 1/2 of the proton spin.

In contrast to the preceding studies, we do not use asymptotics for the parton contributions because the asymptotics should not have been used outside their applicability region $x < x_0$

Neither we use DGLAP because there is no theoretical grounds to apply it at small x; all success of DGLAP at small x heavily depends on the fits for the initial parton distributions

On the contrary, DLA contributions are the most important, leading ones at small x.

In order to simplify calculations, we start with accounting for the gluon contribution to the parton helicities and then implicitly add quark contributions through the intercept value. Non-perturbative contributions to the helicities cannot be calculated with QCD methods, so we fix them with using the RHIC data. As a result, the sum of the parton helicities in DLA proved to be in agreement with the value

Including into consideration Orbital Angular Momenta of quarks and gluons is not crucial for solving the Proton Spin Puzzle but we find it interesting and plan to do it in the future