

# QCD EVOLUTION and Density Matrix POSITIVITY

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# Outline

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- QCD factorization: positivity constraints for non-perturbative inputs
- Constraints vs QCD: evolution equations as master equations
- Positivity constraints for spin-dependent distributions
- Convexity: exploring large and small  $x$  behaviour
- Positivity for BFKL equations and its non-linear generalizations
- Irreversibility and scale arrow(s)
- Conclusions



# QCD factorization

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- Separation of short (pQCD) and large (npQCD) distances
- npQCD ingredients – parton distributions
- Well-defined as a hadronic matrix elements of quark fields (DGLAP and ERBL) factorization or
- Impact factors (BFKL factorization)



# Parton distributions and density matrix positivity

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- Inclusive processes – parton distributions are the density matrices of quarks and gluons in hadrons
- Density matrix positivity  $\lambda_i \geq 0$
- Counterpart of unitarity  $\sum_i \lambda_i = 1$
- More important for more complicated density matrices
- Simplest example – positivity of spin-averaged parton distributions

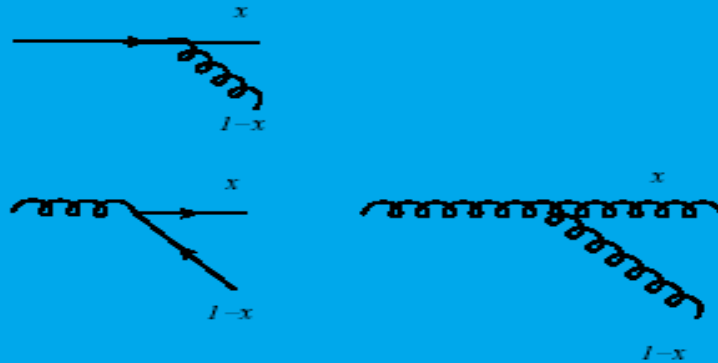


# Positivity and QCD evolution

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- Compatibility of NP and PQCD ingredients
- Hint for more elaborated positivity constraints
- Key point – evolution equations as master equation

# DGLAP equation as master equation



Integration over transverse momentum - collinear  $\log \mu^2 = t$  ("time"! ). Its coefficient - recovered by differentiation.

$$\frac{dq(x)}{dt} = \frac{\alpha_s}{2\pi} \left[ \int_x^1 dy \frac{q(y)}{y} P\left(\frac{x}{y}\right) - q(x) \int_0^1 P(z) dz \right]. \quad (1)$$

Probabilistic interpretation stressed already by Gribov and Lipatov (gain-loss equation) and later by Altarelli and Parisi

# DGLAP equation as master equation -II

- Master form – simple transformation of variables to get the loss term from 0 to  $x$ :

$$X: \quad \frac{dq(x)}{dt} = \frac{\alpha_s}{2\pi} \left[ \int_0^1 dy \frac{q(y)}{y} P\left(\frac{x}{y}\right) - \int_0^x dy \frac{q(x)}{x} P\left(\frac{y}{x}\right) \right]$$

- This is a master equation

$$\frac{dq(x)}{dt} = \int_0^1 dy (w(y \rightarrow x)q(y) - w(x \rightarrow y)q(x))$$

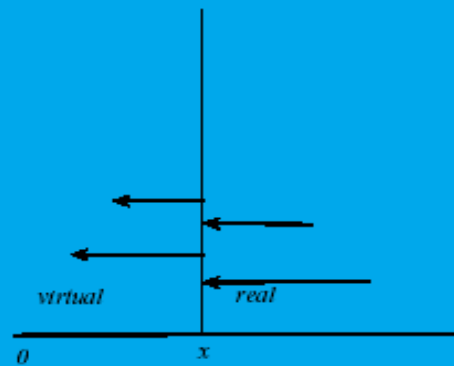
with

$$w(y \rightarrow x) = \frac{\alpha_s}{2\pi} P\left(\frac{x}{y}\right) \frac{\theta(y > x)}{y}$$

# DGLAP as master equation -

## III

Directed evolution:



The cancellation of the IR divergencies between the contributions of the real and virtual gluons emission is coming from the equality of in- and out- flows for  $y \sim x$ , following from the continuity condition.



# DGLAP as master equation -

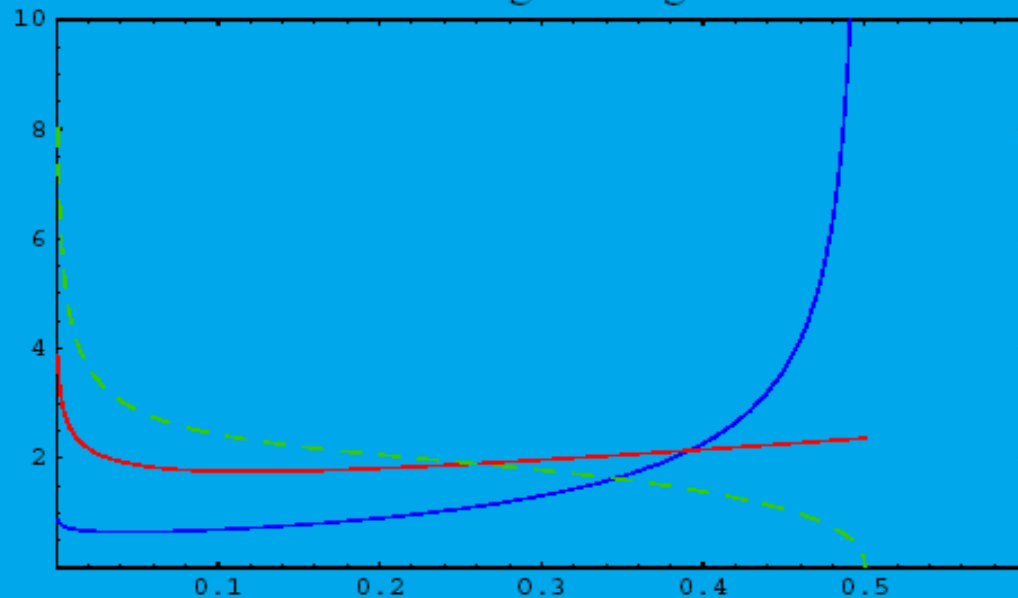
## IV

Also, the conservation of the vector current

$$\int_0^1 dx \frac{dq(x)}{dt} = \int_0^1 dx dy (w(y \rightarrow x)q(y) - w(x \rightarrow y)q(x)) = 0, \quad (6)$$

comes from the integration of an antisymmetric function in a symmetric region.

Flow in the direction of low  $x$  - decrease at large  $x$  and growth of small  $x$ . Numerical example:



# DGLAP – spin - dependent case

Positivity in spin-dependent case seen from the coupled equations for helicities

$$\begin{aligned}\frac{dq_+(x)}{dt} &= \frac{\alpha_s}{2\pi} \left( P_{++} \left( \frac{x}{y} \right) \otimes q_+(y) + P_{+-} \left( \frac{x}{y} \right) \otimes q_-(y) \right), \\ \frac{dq_-(x)}{dt} &= \frac{\alpha_s}{2\pi} \left( P_{+-} \left( \frac{x}{y} \right) \otimes q_+(y) + P_{++} \left( \frac{x}{y} \right) \otimes q_-(y) \right).\end{aligned}\quad (12)$$

as,  $P_{++}, P_{+-}$  are positive, decrease term only in diagonal in helicity.

Practically, equations for  $\Delta q = q_+ - q_-$ , which are decoupled from  $q$ , are considered. Examples (LSS):  $s$ -quarks, Gluons (grow due to axial anomaly,  $\alpha_s \int dx \Delta G = const$ ), total quark spin  $\Delta\Sigma$ .



# Quark-gluon mixing

Quark-gluon mixing:

$$\begin{aligned}
 \frac{dq_+(x)}{dt} &= \frac{\alpha_s}{2\pi} \left( P_{++}^{qq} \left( \frac{x}{y} \right) \otimes q_+(y) + P_{+-}^{qq} \left( \frac{x}{y} \right) \otimes q_-(y) \right) \\
 &\quad + P_{++}^{qG} \left( \frac{x}{y} \right) \otimes G_+(y) + P_{+-}^{qG} \left( \frac{x}{y} \right) \otimes G_-(y), \\
 \frac{dq_-(x)}{dt} &= \frac{\alpha_s}{2\pi} \left( P_{+-}^{qq} \left( \frac{x}{y} \right) \otimes q_+(y) + P_{++}^{qq} \left( \frac{x}{y} \right) \otimes q_-(y) \right) \\
 &\quad + P_{+-}^{qG} \left( \frac{x}{y} \right) \otimes G_+(y) + P_{++}^{qG} \left( \frac{x}{y} \right) \otimes G_-(y), \\
 \frac{dG_+(x)}{dt} &= \frac{\alpha_s}{2\pi} \left( P_{++}^{Gq} \left( \frac{x}{y} \right) \otimes q_+(y) + P_{+-}^{Gq} \left( \frac{x}{y} \right) \otimes q_-(y) \right) \\
 &\quad + P_{++}^{GG} \left( \frac{x}{y} \right) \otimes G_+(y) + P_{+-}^{GG} \left( \frac{x}{y} \right) \otimes G_-(y), \\
 \frac{dG_-(x)}{dt} &= \frac{\alpha_s}{2\pi} \left( P_{+-}^{Gq} \left( \frac{x}{y} \right) \otimes q_+(y) + P_{++}^{Gq} \left( \frac{x}{y} \right) \otimes q_-(y) \right) \\
 &\quad + P_{+-}^{GG} \left( \frac{x}{y} \right) \otimes G_+(y) + P_{++}^{GG} \left( \frac{x}{y} \right) \otimes G_-(y).
 \end{aligned} \tag{13}$$

Contain the decoupled equations for spin-averaged and spin-dependent terms: positivity is preserved.

# Impact of positivity constraints on $x\Delta s(x, Q^2)$

**GRSV:** Glück et al., hep-ph/0011215

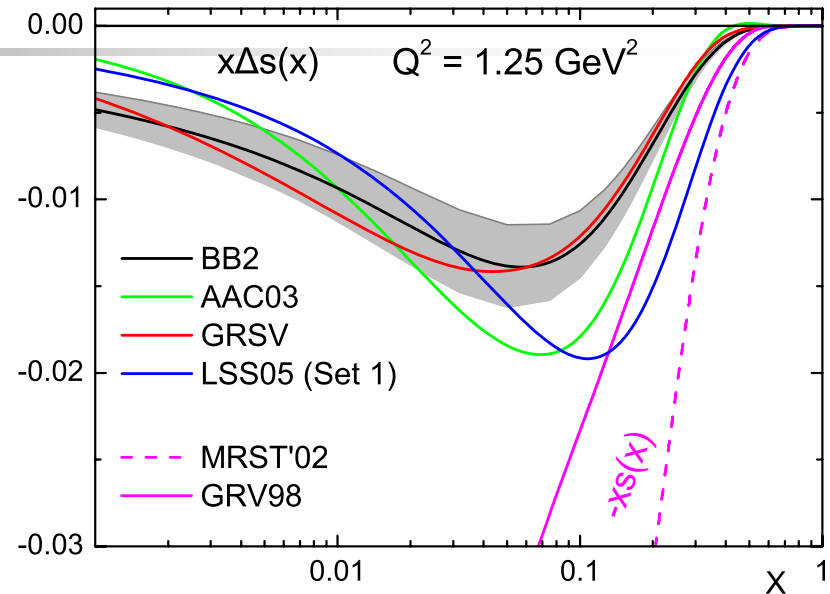
**BB:** Blümlein, Böttcher, hep-ph/0203155

**AAC:** Goto et. al., hep-ph/0312112

**LSS'05:** Leader et al., hep-ph/0503140  
JHEP 0506:033,2005

$$|x\Delta f(x, Q_0^2)| \leq xf(x, Q_0^2)_{\text{GRV}}$$

$$|x\Delta f(x, Q_0^2)|_{\text{LSS}} \leq xf(x, Q_0^2)_{\text{MRST02}}$$

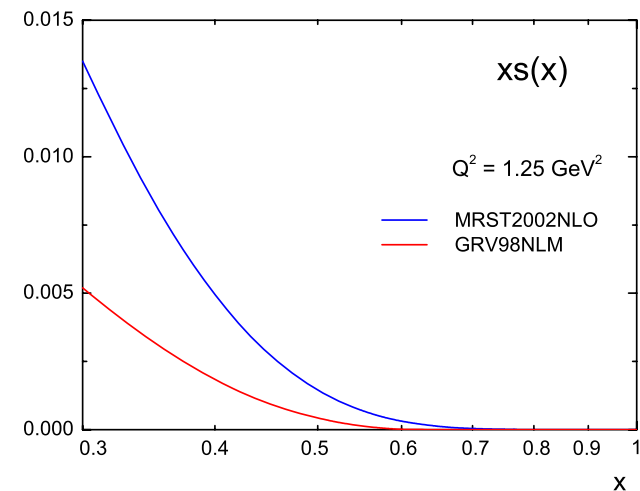
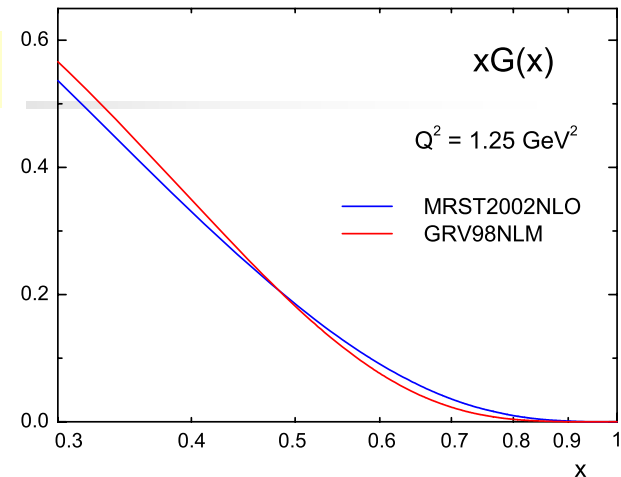


GRSV, BB and AAC have used the **GRV unpolarized** PD for constraining their PPD, while LSS have used those of **MRST'02**.

As a result,  $x|\Delta s(x)|$  (LSS) for  $x > 0.1$  is **larger** than the magnitude of the polarized strange sea densities obtained by the other groups.

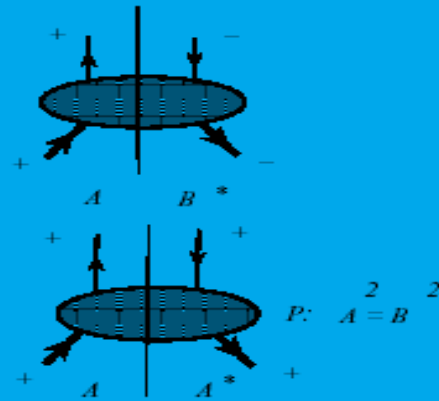
# Role of unpolarized PD in determining PPD at large x

- At large x the unpolarized GRV and MRST'02 **gluons** are practically **the same**, while  $xS(x)_{\text{GRV}}$  is much smaller than that of MRST'02.
- For the adequate determination of  $x\Delta_s$  and  $x\Delta_G$  at large x, the role of the corresponding **unpolarized** PD is very important.
- Usually the sets of unpolarized PD are extracted from the data **in the DIS region** using cuts in  $Q^2$  and  $W^2$  chosen in order to minimize the higher twist effects.
- The latter have to be determined with good accuracy at large x in the **preasymptotic** ( $Q^2, W^2$ ) region too.



# Chiral-odd parton distributions

Non-trivial example of positivity constraint: Soffer inequality for transversity: bound for interference term of the type  $A^2 + B^2 > 2AB$  :  $|h_1| < q_+$



Consider

$$Q_+(x) = q_+(x) + h_1(x),$$

$$Q_-(x) = q_+(x) - h_1(x).$$

(14)



# Soffer inequality in QCD

Due to Soffer inequality, both these distributions are positive at some point  $Q_0^2$ , and the evolution equations for the NS case take the form

$$\begin{aligned}\frac{dQ_+(x)}{dt} &= \frac{\alpha_s}{2\pi} (P_{++}^Q(\frac{x}{y}) \otimes Q_+(y) + P_{+-}^Q(\frac{x}{y}) \otimes Q_-(y)), \\ \frac{dQ_-(x)}{dt} &= \frac{\alpha_s}{2\pi} (P_{+-}^Q(\frac{x}{y}) \otimes Q_+(y) + P_{++}^Q(\frac{x}{y}) \otimes Q_-(y)),\end{aligned}\tag{15}$$

where the 'super'-kernels at LO are just

$$\begin{aligned}P_{++}^Q(z) &\equiv \frac{[P_{qq}^{(0)}(z) + P_h^{(0)}(z)]}{2} \\ &= \frac{C_F}{2} \left[ \frac{(1+z)^2}{(1-z)_+} + 3\delta(1-z) \right], \\ P_{+-}^Q(z) &\equiv \frac{[P_{qq}^{(0)}(z) - P_h^{(0)}(z)]}{2} \\ &= \frac{C_F}{2} (1-z),\end{aligned}\tag{16}$$

where

$$P_+(z) = P(z) - \delta(1-z) \int_0^1 P(y) dy,\tag{17}$$

Decrease- again in diagonal term only.

# Short-distance expansion of probability kernel

Transition probability peaked for close points (IR divergence!) - Kramers-Moyal expansion. Convenient in new variables  $t = \ln x$ ,  $x/y \rightarrow t_1 - t_2$  -translational invariance. Master equation holds for function  $f(x) = xq(x)$ , as its integral over  $t$  conserves

$$\int_0^1 dx q(x) = \int_{-\infty}^0 dt f(t) \quad (7)$$

Another simplification - extend integrals to the whole  $t$  axis ( $0 < x < \infty$ ) to exclude boundary contributions. As soon as initial distribution stays at  $0 < x < 1$ , directed transitions guarantee that for the evolved functions. Moments of transition probability in KM expansions coincide with the derivatives of anomalous dimension at  $n = 1$

$$\int_0^1 dx \ln^n x P(x) = \frac{d}{dn} \int_0^1 P(x) x^{n-1} dx \Big|_{n=1} \quad (8)$$



# Short-distance (Kramers-Moyal) expansion

Differential form of DGLAP:

$$\frac{dq(x)}{dt} = -\frac{1}{x} \exp\left(\frac{\partial}{\partial \ln(1/x)} \frac{d}{dn}\right) xq(x) \gamma(n) \Big|_{n=1} \quad (9)$$

Fokker-Planck (diffusion) approximation - only two terms are kept

$$\frac{dq(x)}{dt} = \frac{1}{x} \left( v \frac{\partial(xq(x))}{\partial \ln(1/x)} + D \frac{\partial^2(xq(x))}{\partial \ln^2(1/x)} \right)$$

$$v = 5/4 - \pi^2/3 = -2.03987; D = -9/8 + 2\zeta(3) = 1.27911 \quad (10)$$

Drift towards the region of small  $x$  and diffusion. Positivity of the diffusion coefficient (also for evolution of function with arbitrary weight  $x^m$ )- convexity of the anomalous dimension curve.



# Gluons

Gluons. Pure gluodynamics: energy-momentum conservation - master equation for function  $xG(x)$ . Similar procedure:

$$\frac{dG(x)}{dt} = \frac{1}{x^2} \left( v \frac{\partial(x^2 G(x))}{\partial \ln(1/x)} + D \frac{\partial^2(x^2 G(x))}{\partial \ln^2(1/x)} \right)$$
$$v = 65/144 - \pi^2/6 = -1.19355;$$
$$D = -395/1728 + \zeta(3) = 0.973469 \quad (11)$$

The simplest manifestation of irreversibility - positivity

Kinetic form - provides the positivity of distribution if it is positive at lower reference point: But! Not in the back direction - irreversibility.

Simple reason - like for positivity of particles number. Formal - loss term proportional to the function itself.

For moments - convexity of anomalous dimension curve - Nachtmann - now simple physical reason seen - positivity of diffusion coefficient

# Preservation of convexity in x-space by DGLAP evolution

- Differential DGLAP operator
- Commutes with
- Derivatives of  $q(x)$  evolve in the same way as  $q(x)$ !
- Preservation of monotonicity and convexity of pdf's – may explain the success of the parametrization

$$\exp\left(\frac{\partial}{\partial \ln(1/x)} \frac{d}{dn}\right)$$
$$\frac{\partial}{\partial \ln(1/x)}$$

$$x^{-a} (1-x)^b$$



# Convexity and small/large $x$ behaviour

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- Small- $x$ : even if intercept is determined by very small  $x$ , the convex parametrization leads to its validity at moderate  $x$
- Recent analysis of Ermolaev (developing the approach of Kirschner and Lipatov) – numerically compatible with SLAC data (Soffer, OT)
- PDF in Ermolaev's approach – DIFFERENT from standard – singular in  $1/x$  terms subtracted
- Large  $x$  – the similar situation – possibility to apply the analysis of very large  $x$  asymptotic for analysis of Bloom-Gilman duality



# Positivity for BFKL equation

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- “Generalized” master equation – gain and loss probabilities differ

$$\frac{df(x, t)}{dt} = \int dy (w_+(y \rightarrow x) f(y) - w_-(x \rightarrow y) f(x))$$

- Contains effect of “fission” in addition to diffusion and drift. Is it possible to separate these effects?
- Consider  $f_\sigma(x, t) = f(x, t)\sigma(x)$  so that

$$w_+(x, y) \rightarrow w_{\sigma}(x, y) = w_+(x, y)\sigma(x)/\sigma(y)$$



# Separating fission and diffusion in BFKL equation

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- Master equation for relative

$$\bar{f}_\sigma(x, t) = f_\sigma(x, t) / \langle f_\sigma(t) \rangle, \quad \langle f_\sigma(t) \rangle = \int dx f_\sigma(x, t)$$

- If  $\frac{d \langle f_\sigma(t) \rangle}{dt} = \lambda_\sigma \langle f_\sigma(t) \rangle$ , master equation is  
**STANDARD**

$$\frac{d\bar{f}_\sigma(x, t)}{dt} = \int dy (w_\sigma(y \rightarrow x) \bar{f}_\sigma(y) - w_\sigma(x \rightarrow y) \bar{f}_\sigma(x))$$



# Separating diffusion and fission – eigenvalue problem

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- Fission coefficient –

$$\lambda_\sigma = \int dx (w_\sigma(y \rightarrow x) - w_-(y \rightarrow x))$$

- Defines the weight function

$$\int dx w_+(y \rightarrow x) \sigma(x) = (\lambda_\sigma + \int dx w_-(y \rightarrow x)) \sigma(y)$$

- BFKL – continuous spectrum with varying diffusion, fission and drift and invariant

$\lambda_0 = \lambda_\sigma - \frac{v_\sigma^2}{4D_\sigma}$  (=4 ln 2) - minimal diffusion and fission, zero drift



# Positivity for BFKL

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- Local loss term – positivity of unintegrated gluon distribution
- Caldwell plot – signalling the decrease of  $u_{gd}$
- Non - linear local loss term – also preserves the positivity
- Any saturating non-linearity -> travelling Kolmogorov-Pokrovsky-Piskunov waves (Peschansky et al.)
- Ambiguity of separation between diffusion and fission -> varying coupling constant case
- Positivity for spin-dependent case -> non-trivial relations between BFKL, BKP,  $\ln^2 x$  resummation...





# Scale arrows

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- Master equation – preserves positivity in ONE direction (opposite direction – negative probabilities) – “scale arrows”
- DGLAP and BFKL – different directions of scale arrows. BFKL- pointing to IR, DGLAP – to UV

# Comparing longitudinal and transverse arrows

Reasons for irreversibility : possibility to consider evolution equations as a kind of Wilson RG.

DGLAP and ERBL -

$$f(x) = \int_0^{Q^2} dk_T^2 f(x, k_T^2) \quad (18)$$

Wilson RG in the MOMENTUM space. Transverse "scale arrow" is directed to UV.

BFKL - "time" is running towards small momenta - Longitudinal "scale arrow" is directed to IR, one may expect Wilson procedure in the coordinate space. And, indeed, it exists (Jalilian-Marian, Kovner, Leonidov, Weigert)!



# Comparing arrows-II

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Why longitudinal and transverse scale arrow are directed in a different way?

Possible simple explanation: angular ordering - angular arrow projection to the perpendicular axis - different directions.

Relation to irreversibility in CFT (Zamolodchikov)?

Bogoliubov RG for single moment (DGLAP) - UV fixed point is necessary, but not sufficient ingredient of irreversibility.

Set of ALL moments - convexity of anomalous dimension.



# Conclusions

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- Positivity of density matrix – number of constraints for npQCD inputs (also GPD's, relation of different twists, fragmentation and fracture functions etc)
- Compatibility with QCD evolution – master form of evolution equation
- Scale arrows – possible relation with Wilson RG and conformal invariance



# Spin dependent DIS

- Two invariant tensors

$$W_A^{\mu\nu} = \frac{-i\epsilon^{\mu\nu\alpha\beta}}{pq} q_\beta \left( g_1(x, Q^2) s_\alpha + g_2(x, Q^2) \left( s_\alpha - p_\alpha \frac{sq}{pq} \right) \right) =$$
$$\frac{-i\epsilon^{\mu\nu\alpha\beta}}{pq} q_\beta \left( (g_1(x, Q^2) + g_2(x, Q^2)) s_\alpha - g_2(x, Q^2) p_\alpha \frac{sq}{pq} \right)$$

- Only the one proportional to  $g_T = g_1 + g_2$  contributes for transverse (appears in Born approximation of PT)
- Both contribute for longitudinal
- Appearance of  $g_1$  only for longitudinal case – result of the definition for coefficients to match the helicity formalism



# Generalized GDH sum rule

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- Define the integral – scales asymptotically as  $\frac{1}{Q^2}$

$$I_1(Q^2) = \frac{2M^2}{Q^2} \Gamma_1(Q^2) \equiv \frac{2M^2}{Q^2} \int_0^1 g_1(x, Q^2) dx .$$

- At real photon limit (elastic contribution subtracted) –  $\frac{1}{Q^2} + \frac{1}{Q^4} + \dots$  - Gerasimov-Drell-Hearn SR

$$I_1(0) = -\frac{\mu_A^2}{4}$$

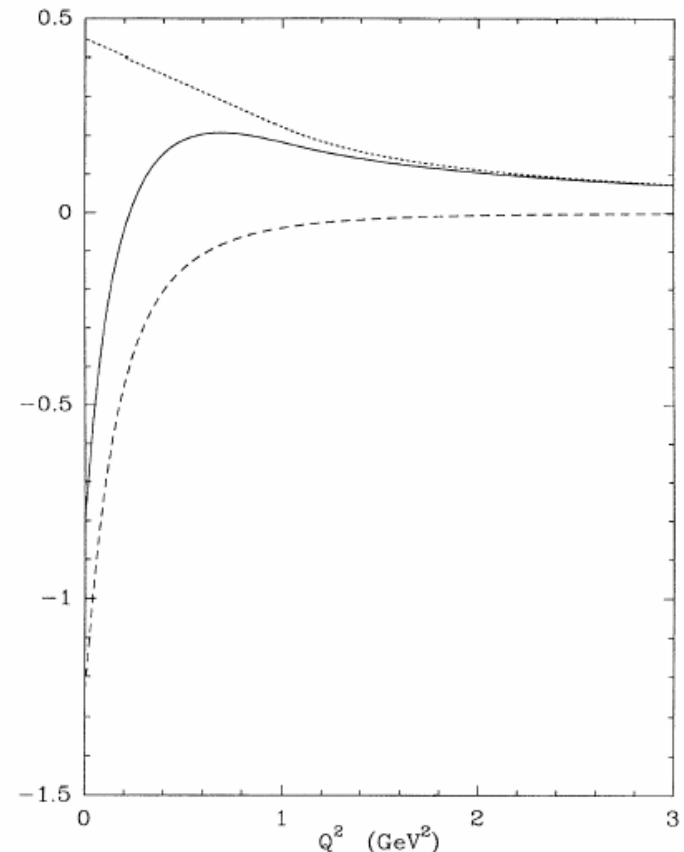
- Proton- dramatic sign change at low Q!

# Decomposition of $g_1 = g_T - g_2$

(J. Soffer, OT '92)

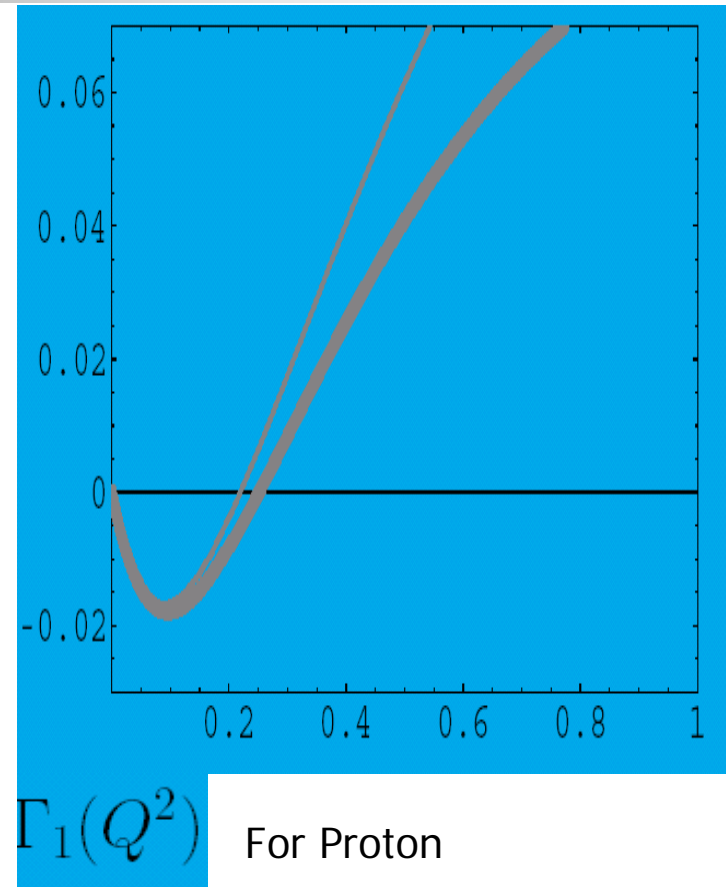
- Supported by the fact that
 
$$I_T(0) = +\frac{\mu_A}{4}$$
- Linear in  $\mu_A$ , quadratic term from  $g_2$
- Natural candidate for NP, like SV(talks!)Z QCD SR analysis – hope to get low energy theorem via WI (C.f. pion F.F. – Radyushkin) - smooth model
- For  $g_2$ -strong  $Q$  – dependence due to Burkhardt-Cottingham SR

$$I_2(Q^2) = \frac{1}{4} \mu G_M(Q^2) [\mu G_M(Q^2) - G_E(Q^2)]$$



# Models for $g_T$ :proton

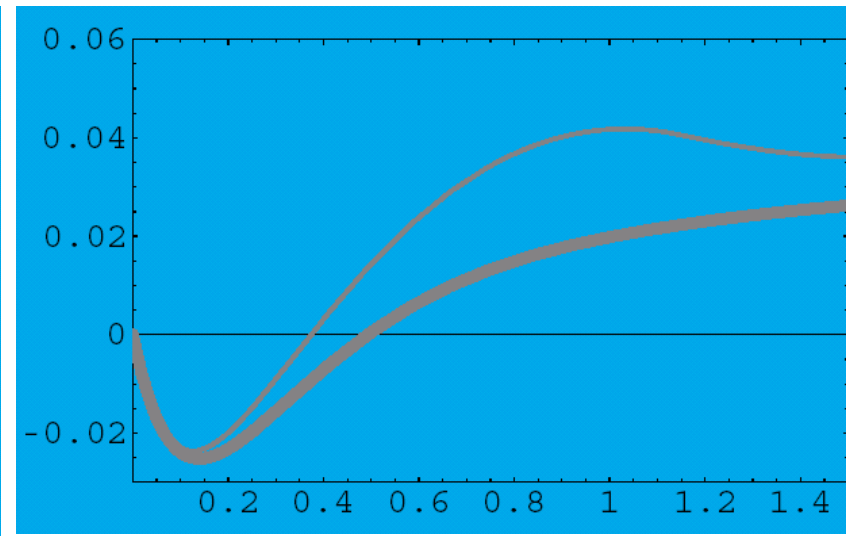
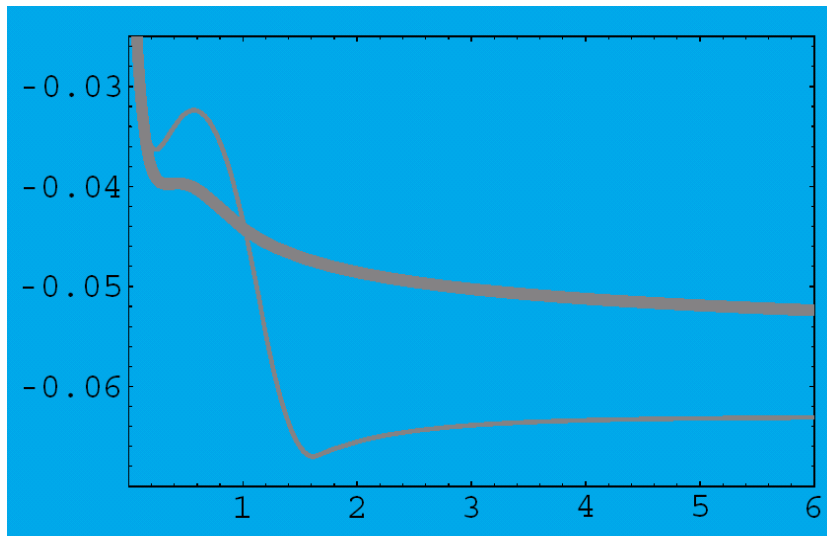
- Simplest - linear extrapolation – PREDICTION (10 years prior to the data) of low (0.2 GeV) crossing point
- Accurate JLAB data – require model account for PQCD/HT correction – matching of chiral and HT expansion
- HT – values predicted from QCD SR (Balitsky, Braun, Kolesnichenko)
- Rather close to the data, like the resonance approach of Burkert and Ioffe (the latter similarity to be discussed below)





# Models for $g_T$ : neutron and deuteron

- Access to the neutron – via the (p-n) difference – linear in  $\mu_A$  ->
- Deuteron – refining the model eliminates the structures



$\Gamma_1(Q^2)$  for neutron and deuteron



# Duality for GDH – resonance approach

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- Textbook (Ioffe, Lipatov. Khoze) explanation of proton GGDH structure – contribution of  $\Delta(1232)$  dominant magnetic transition form factor
- Is it compatible with  $g_2$  explanation?!
- Yes!– magnetic transition contributes entirely to  $g_2$  and as a result to  $g_1 = g_T - g_2$

# $\Delta(1232)$ and Bloom-Gilman

## duality

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- Observation (talks of Y. Prok, P. Solvignon, A. Fantoni):  $\Delta(1232)$  violates BG duality for  $g_1$
- Natural explanation:  $\Delta(1232)$  contributes only via  $g_2$
- For  $g_2$  BG duality is difficult to reach: due to BCSR elastic contribution should compensate all the integral from 0 to 1 (global duality enforced by rotational invariance) while the resonances should just slide (talk of C. Carlson) if BG holds
- $g_T$  -natural candidate for BG duality

# Possible implications for unpolarized

- The best candidates – structure functions protected against such strong global dependence : F2 - momentum conservation

- Positivity bound:

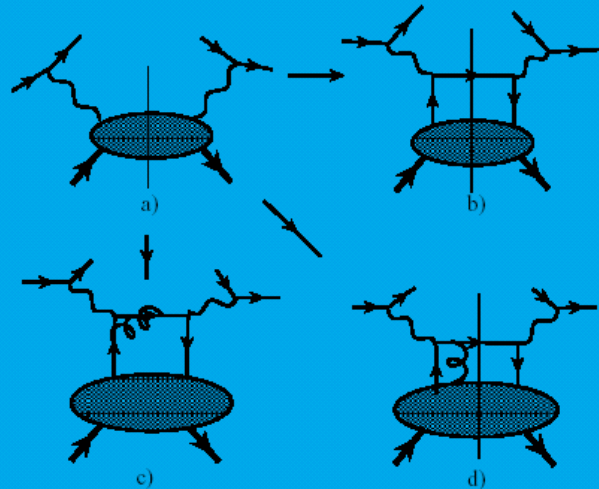
$$A_2 < \sqrt{R(1 + A_1) / 2}$$

- As soon as BG holds for A2 – positive deviations for FL and negative for F1 implied

# Bloom-Gilman duality in QCD and Borel Sum Rules

## ■ Methods of QCD SR

1. Calculate (handbag+higher twists) contribution to DIS



2. Write the (Borel) dispersion relation (with respect to  $s = Q^2/(1-x)$ , which is a natural scale of higher twists)

- Only  $1/(1-x)$  - enhanced (dependent on  $s$ , rather than  $Q$ ) higher twist corrections should be considered (Gardi, Kortschensky, Ross, Tafat)

# Bloom-Gilman duality in QCD and Borel Sum Rules -II

3. Take the ansatz for spectral functions which includes RESONANCE contribution below the threshold defined by DUALITY interval and leading perturbative one above that threshold.

$$\rho(s) = \theta(s - s_0)\rho^{pert}(s) + \theta(s_0 - s)\rho^{Res}(s) \quad (1)$$

4. Put Borel parameter  $M \rightarrow \infty$  (higher twists corrections disappear) and assume the finite limit of duality interval  $\rightarrow$  BG duality.

Determination of the duality interval from QCD - requires the power corrections calculation.



# Different view at High Twist

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- Expected to be cancelled to allow for duality with leading term
- Instead - large but determine only the interval for duality with leading term
- Special role of  $1/(1-x)$  enhanced HT  
(first indications? - talks of W.  
Melnitchouk, D; Stamenov, A. Fantoni)



# CONCLUSIONS

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- Transverse polarization is described by the single invariant amplitude –advantage for duality studies.
- $g_T$  - natural candidate for Bloom-Gilman duality and allows for good description of GGDH SR
- Methods from QCD SR are helpful, in particular BG duality may be quantitatively understood in the framework of Borel sum rules
- Large  $x$  HT corrections are important.





# Single Spin Asymmetries

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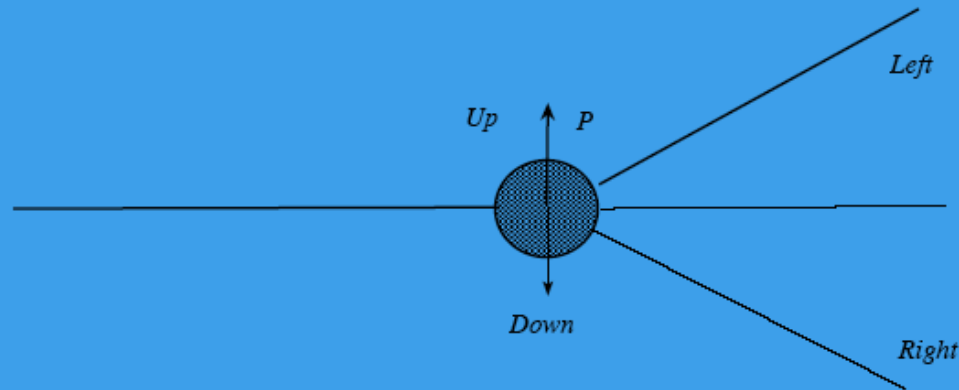
Simpler experimentally – more difficult theoretically. Main properties:

- Parity: transverse polarization
- Imaginary phase – can be seen from the imaginary  $i$  in the (quark) density matrix

Various mechanisms – various sources of phases

# Non-relativistic Example

Simplest example - (non-relativistic) elastic pion-nucleon scattering  $\pi \vec{N} \rightarrow \pi N$



$M = a + ib(\vec{\sigma}\vec{n})$   $\vec{n}$  is the normal to the scattering plane.

Density matrix:  $\rho = \frac{1}{2}(1 + \vec{\sigma}\vec{P})$ ,

Differential cross-section:  $d\sigma \sim 1 + A(\vec{P}\vec{n})$ ,  $A = \frac{2\text{Im}(ab^*)}{|a|^2 + |b|^2}$



# Phases in QCD-I

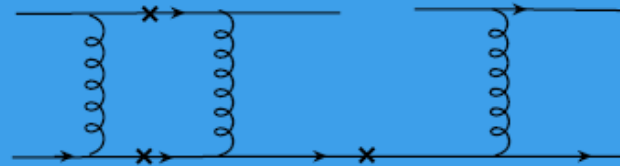
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- Perturbative (a la QED: Barut, Fronsdaal (1960), found at JLAB recently):  
Kane, Pumplin, Repko (78) Efremov (78),  
Efremov, O.T. (80), ...

# Perturbative PHASES IN QCD

QCD factorization: where to borrow imaginary parts?

Simplest way: from short distances - loops in partonic subprocess. Quarks elastic scattering (like  $q - e$  scattering in DIS):

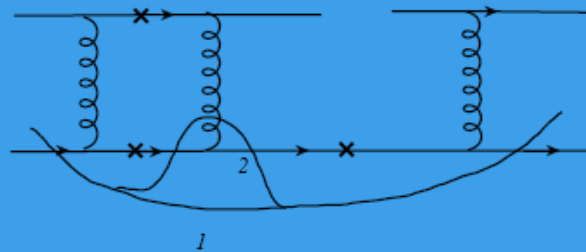


$$A \sim \frac{\alpha_S^{m_{PT}}}{p_T^2 + m^2}$$

Large SSA "...contradict QCD or its applicability"

# Twist 3 correlators

Escape: QCD factorization - possibility to shift the borderline between large and short distances



At short distances - Loop  $\rightarrow$  Born diagram

At Large distances - quark distribution  $\rightarrow$  quark-gluon correlator.

Physically - process proceeds in the external gluon field of the hadron.

Leads to the shift of  $\alpha_S$  to non-perturbative domain AND

"Renormalization" of quark mass in the external field up to an order of hadron's one

$$\frac{\alpha_S m p_T}{p_T^2 + m^2} \rightarrow \frac{M b(x_1, x_2) p_T}{p_T^2 + M^2}$$

Further shift of phases completely to large distances - T-odd fragmentation functions. Leading twist transversity distribution - no hadron mass suppression.



# Phases in QCD-II

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- Distribution (Sivers, Boer)– no positive kinematic variable producing cut/phase
- Emerge only due to interaction between hard and soft parts of the process: “Effective” or “non-universal” SH interactions by physical gluons – Twist-3 :Efremov, O.T. (fermionic poles, 85); Qiu, Sterman (gluonic poles, 91).
- Brodsky-Hwang-Schmidt model:the same SH interactions as twist 3 but non-suppressed by  $Q$ : Sivers – leading (twist 2)?

# What is “Leading” twist?

- Practical Definition - Not suppressed as  $M/Q$
- However – More general definition:  
Twist 3 may be suppressed  
as  $M/P_T$

Twist 3 may contribute at leading order  
in  $1/Q$  !



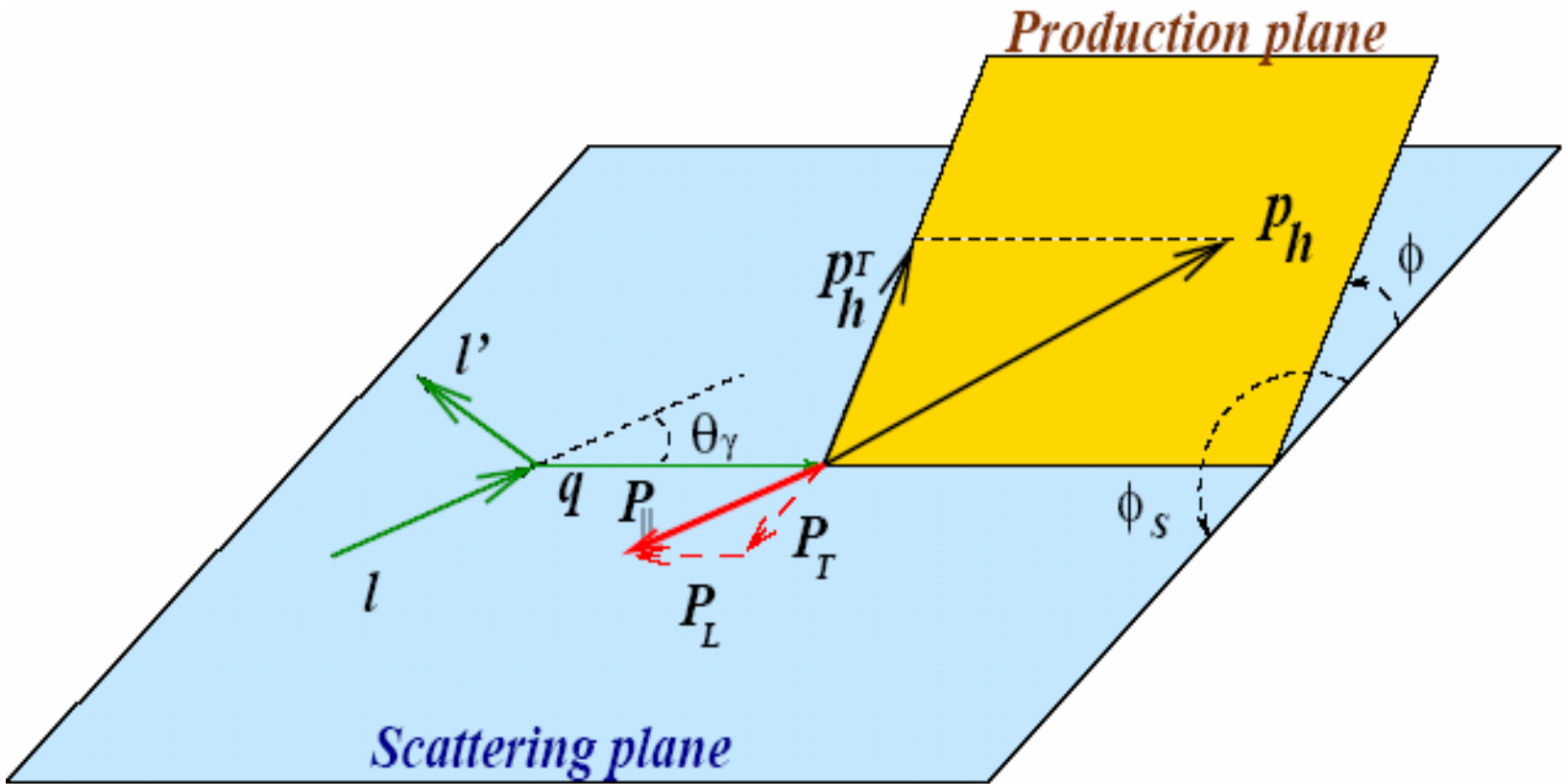
# Phases in QCD -III

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- Non-perturbative - positive variable –
- Jet mass-Fragmentation function: Collins(92); Efremov, Mankiewicz, Tornqvist (92),
- Correlated fragmentation: Fracture function: Collins (95), O.T. (98).

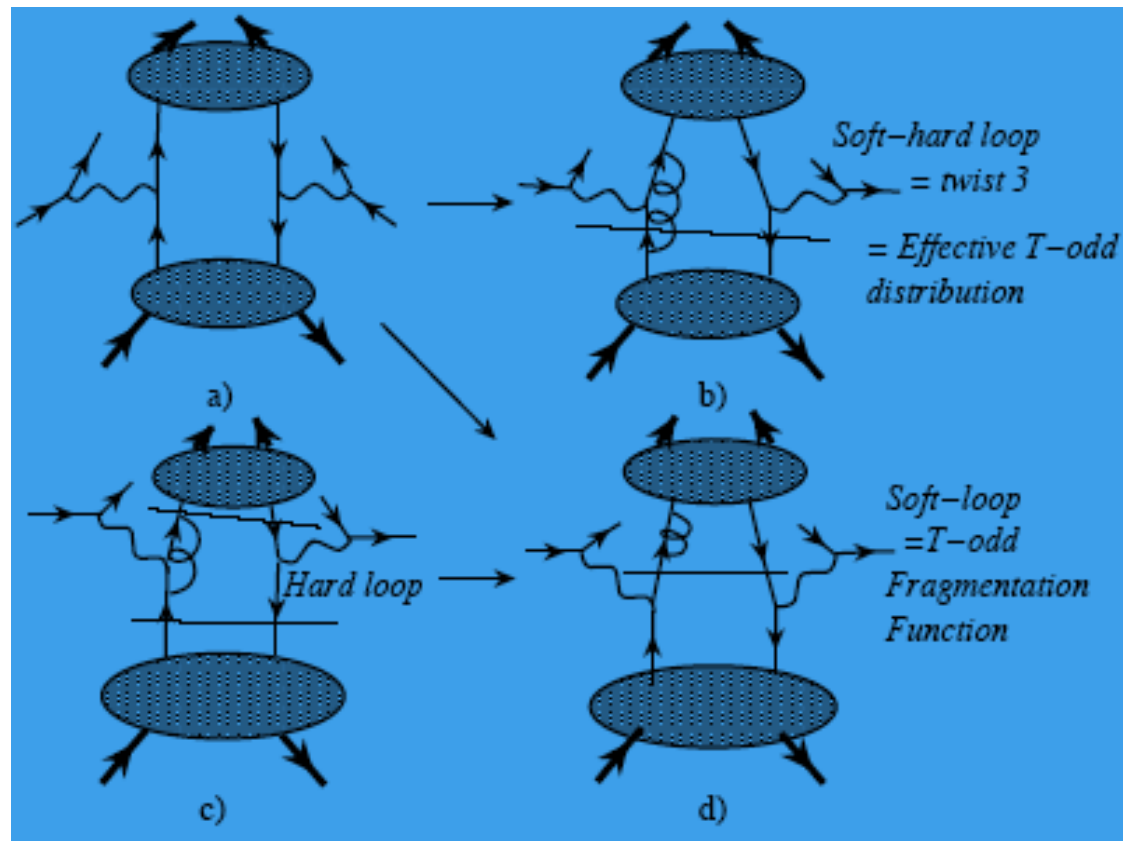


# Test ground for SSA : Semi-Inclusive DIS - kinematics



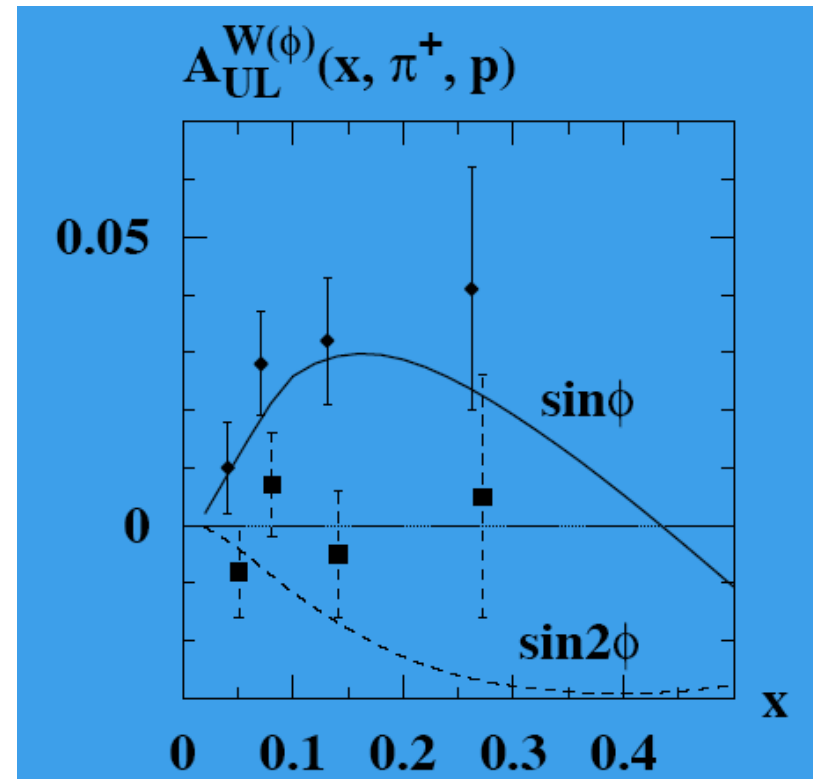
# Sources of Phases in SIDIS

- a) Born - no SSA
- b) -Sivers (can be only effective)
- c) Perturbative
- d) Collins

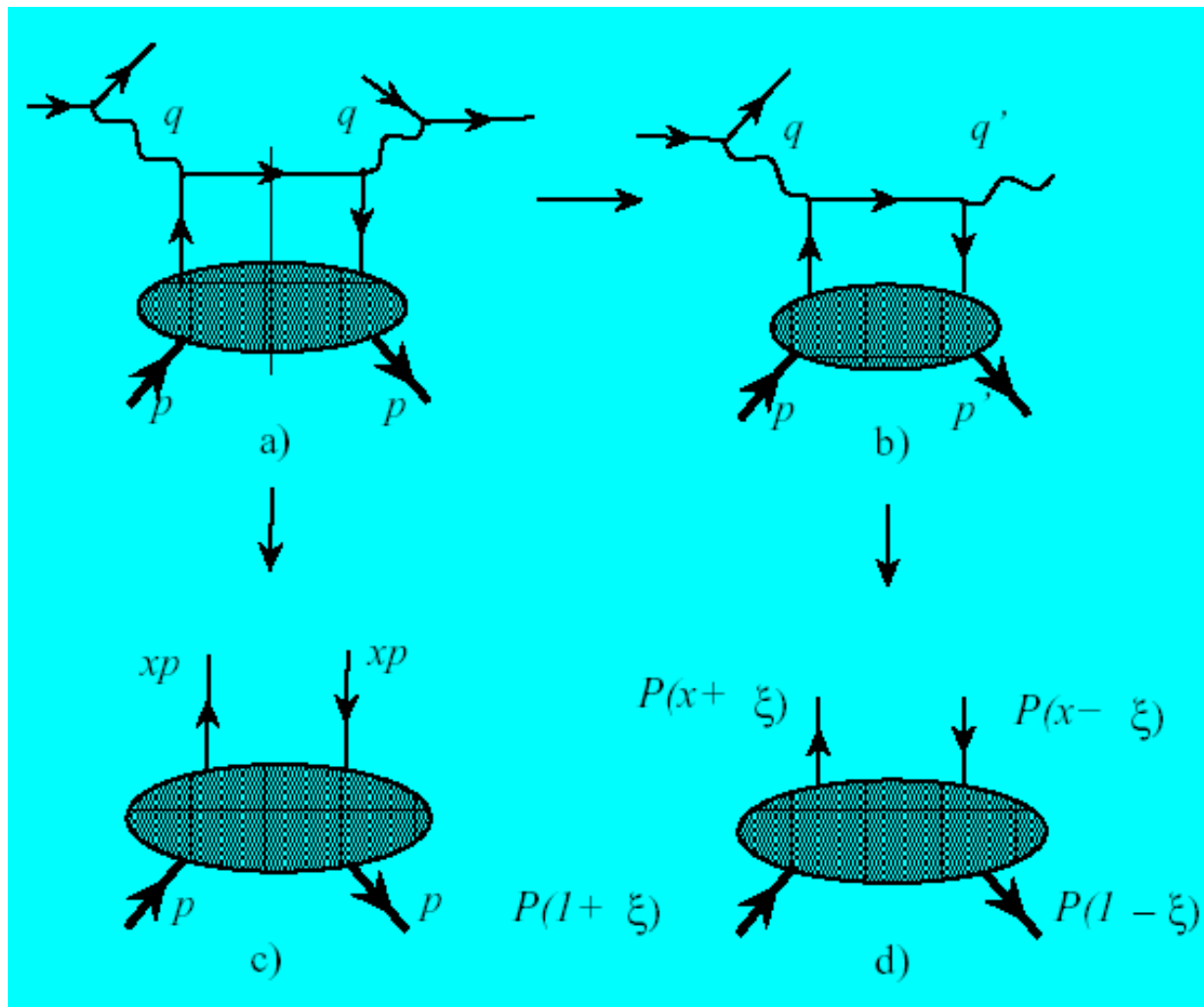


# Typical observable SSA in SIDIS

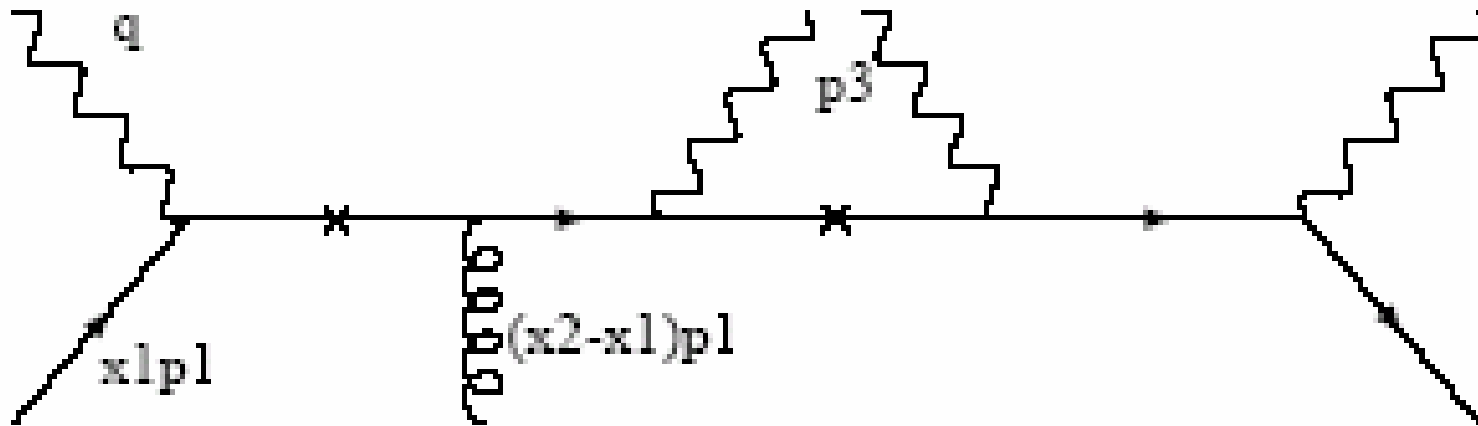
- Theory - Efremov, Goeke, Schweitzer
- Phase - from Collins function - extracted earlier from jets spin correlations qt LEP
- Spin of proton - transversity - from chiral soliton model



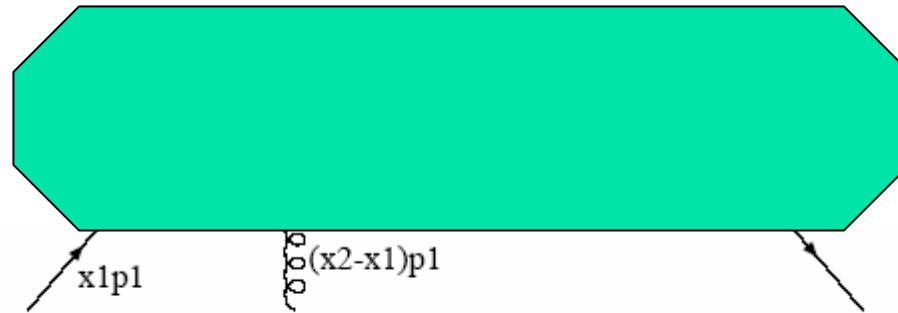
# Final Pion $\rightarrow$ Photon: SIDIS $\rightarrow$ SIDVCS (easier than exclusive) - analog of DVCS



# Twist 3 partonic subprocesses for photons SIDIS



# Quark-gluon correlators



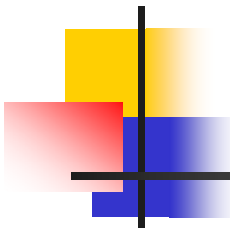
- Non-perturbative NUCLEON structure – physically mean the quark scattering in external gluon field of the HADRON.
- Depend on TWO parton momentum fractions
- For small transverse momenta – quark momentum fractions are close to each other- gluonic pole: probed if :
- $Q \gg P_T \gg M$



Low  $P_T$  probe small  $x_2 - x_1 =$

---

$$\delta = \frac{p_T^2}{Q^2} \frac{x_{Bj}}{(1-z)^2}$$



# Real and virtual photons - most clean tests

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- Both initial and final – real :Efremov, O.T. (85)
- Initial - real, final-virtual (or quark/gluon) –Korotkiian, O.T. (94)
- Initial –virtual, final-real: O.T., Srednyak (05, in preparation).





# Spin-dependent cross-section

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$$\begin{aligned}d\sigma^{\rightarrow} - d\sigma^{\leftarrow} = & \\ & M_{pTb_A}(0, x_2)(M_{A0}\sin(\phi_s) + N_{A0}\sin(\phi_s^h))s_T + \\ & M_{pTb_A}(x_1, x_2)(M_{A1}\sin(\phi_s) + N_{A1}\sin(\phi_s^h))s_T + \\ & M_{pTb_V}(0, x_2)(M_{V0}\sin(\phi_s) + N_{V0}\sin(\phi_s^h))s_T + \\ & M_{pTb_V}(x_1, x_2)(M_{V1}\sin(\phi_s) + N_{V1}\sin(\phi_s^h))s_T\end{aligned}$$



# Properties of spin-dependent cross-section

---

- Complicated expressions
- Sivers (but not Collins) angle naturally appears
- Not suppressed as  $1/Q$  provided gluonic pole exist
- Proportional to correlators with arguments fixed by external kinematics-twist-3 “partonometer”

# Low transverse momenta:

$$d\sigma_{total} = f(x_{Bj}) 8Q^2 \frac{x_{Bj}^2 (1 + (1 - y)^2)(1 + (1 - z)^2)}{y^2 z \delta} \quad (12)$$

$$d\sigma_{ax1x2} = b_A(x_{Bj}, x_2) 8M_{pT} \frac{x_{Bj} (1 + (1 - y)^2)(2 - z)}{y^2 (1 - z) \delta} s_T \sin(\phi_s^h) \quad (13)$$

$$d\sigma_{vx1x2} = b_V(x_{Bj}, x_2) 8M_{pT} \frac{x_{Bj} (1 + (1 - y)^2)(1 + (1 - z)^2)}{y^2 z (1 - z) \delta} s_T \sin(\phi_s^h) \quad (14)$$

$$d\sigma_{a0x2} = -b_A(0, x_2) 8M_{pT} \frac{x_{Bj}^2 (2(1 - y)(1 - 2z) + y^2(1 - z))}{y^2 z^2 \delta} s_T \sin(\phi_s^h)$$

(14) - non-suppressed for large Q if Gluonic pole exists=effective Sivers function; spin-dependent looks like unpolarized (soft gluon)



# Experimental options

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Natural extension of DVCS studies:  
selection of elastic final state –

**UNNECESSARY**

BUT : Necessity of BH contribution also  
- interference may produce SSA



# Theoretical Implications

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- Twist - 3 SSA survive in Bjorken region provided gluonic poles exist
- The form of SSA - similar to the one provided by Sivers function
- Twist-3 (but non-suppressed as  $1/Q$ ) effective Sivers function is found



# CONCLUSIONS

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- Semi-inclusive DVCS - new interesting hard process
- SSA in SIDVCS - direct probe of twist-3 correlators
- Low transverse momenta - effective twist 3 Sivers function
- Experimentally - naturally to do alongside DVCS

Pion from real photons –simple  
 expression for asymmetry  $A=$

$$\frac{b_A(0, x) - b_V(0, x)}{f(x)} \times \frac{(1 - x_F)(C_F x_F - (x_F + 1)C_A/2)}{C_F(1 + x_F^2)} \frac{2M p_T}{m_T^2}$$



# Properties of pion SSA by real photons

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- Does not sensitive to gluonic poles
- Probe the specific (chiral) combinations of quark-gluon correlators
- Require (moderately) large  $P_T$  - may be advantageous with respect to DIS due to the specific acceptance.



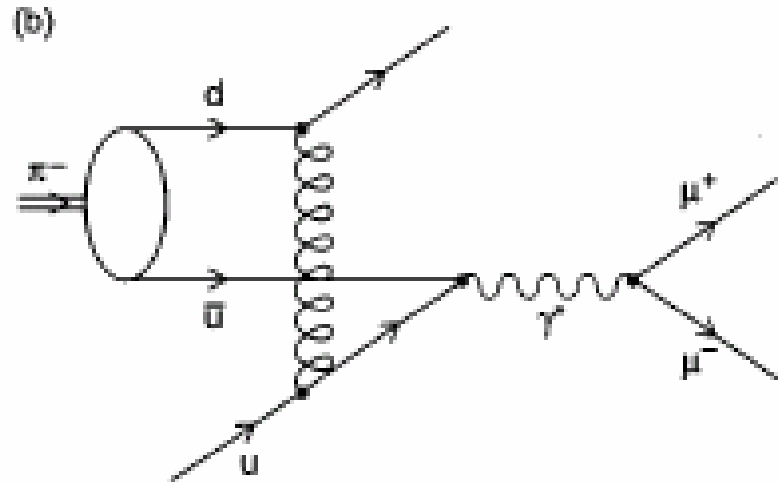
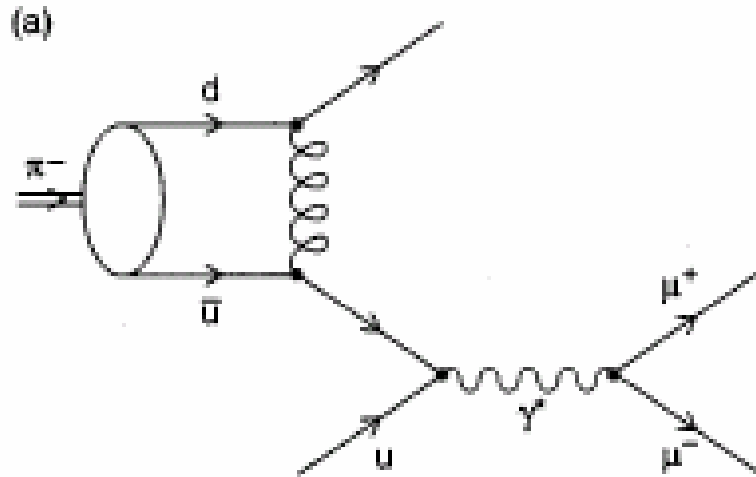


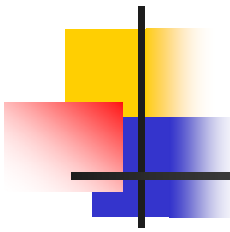
# Pion beam + polarized target

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- Allows to study various ingredients of pion structure – rather different from nucleon
- Most fundamental one – pion-light cone distribution – manifested in SSA in DY:  
Brandenburg, Muller, O.T. (95)  
Where to measure?! COMPASS(Torino)?!!

# Pion Light-cone Distribution in pion-(q)proton scattering





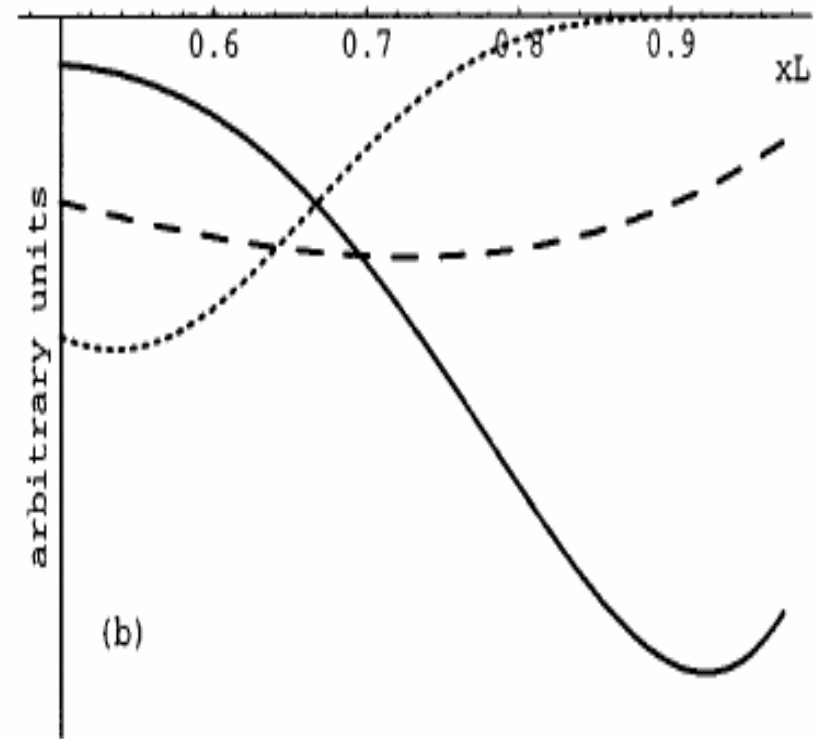
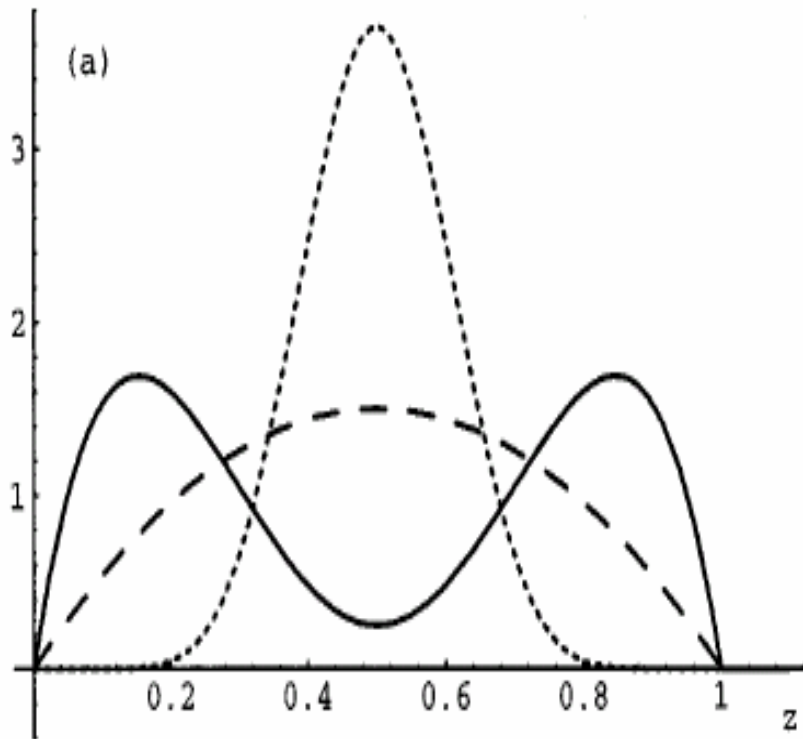
# Simplest case-longitudinal polarization- “partonometer”

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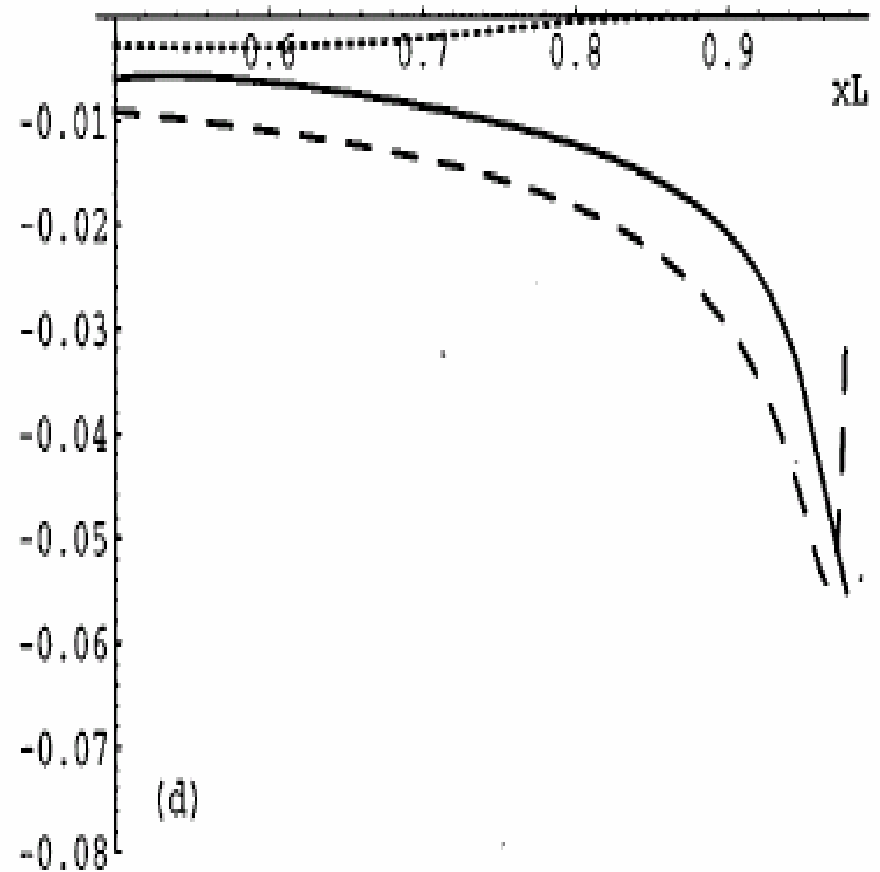
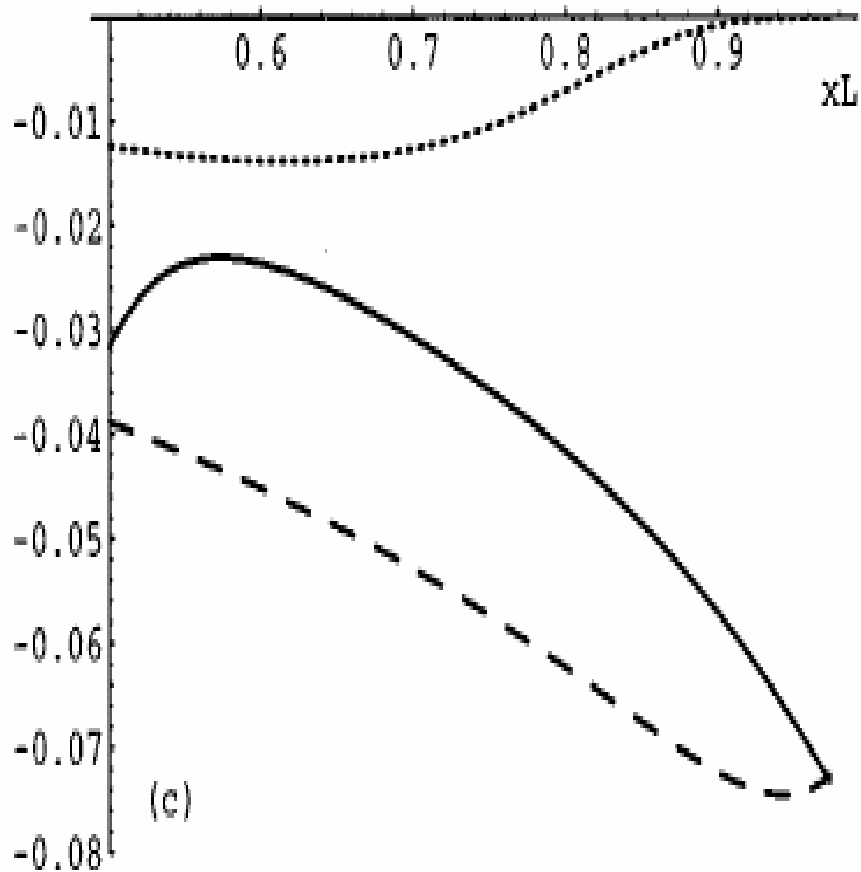
- Two extra terms in angular distribution, proportional to longitudinal polarization

$$\overline{\mu} \sin 2\theta \sin \phi + \frac{\nu}{2} \sin^2 \theta \sin 2\phi$$

# Models for light-cone distributions and angular-weighted x-sections



# Size of coefficients in angular distributions





# Transverse polarization

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- Much more complicated – many contributions
- Probe of transversity (X Boer T-odd effective distribution), Sivers function, twist-3 correlations, pion chiral-odd distributions)



# CONCLUSIONS-I

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- (Moderately) high Pions SSA by real photons – access to quark gluon correlators
- Real photons SSA: direct probe of gluonic poles, may be included to DVCS studies



# CONCLUSIONS-II

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- Pion beam scattering on polarized target – access to pion structure
- Longitudinal polarization – sensitive to pion distribution
- Transverse polarization – more reach and difficult