

Nucleon Form Factors in QCD

Vladimir M. Braun

University of Regensburg



Outline

Experiment:

- G_E^p / G_M^p and the LT/PT controversy
- Form factors in time-like region using ISR technique

Theory 1:

- Lattice calculations
- Dispersion relations

Theory 2:

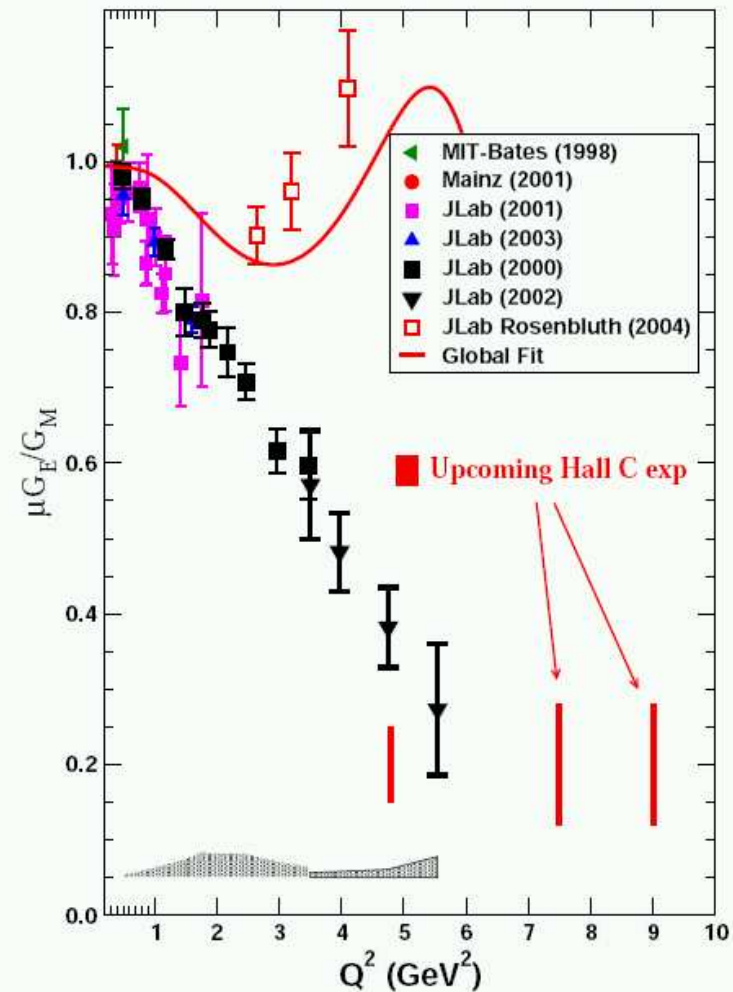
- Status of perturbative factorization
- Time-like form factors: Sudakov resummation
- Light-Cone Sum Rules



Present and ...

Future G_E/G_M at JLab in Hall C

- FPP has been built at Dubna and will be installed in the HMS
- A large calorimeter has been assembled with help of IHEP and Yerevan.
- Scheduled to run in 2007.
- With the 12 GeV upgrade at JLab can reach $Q^2 = 14$

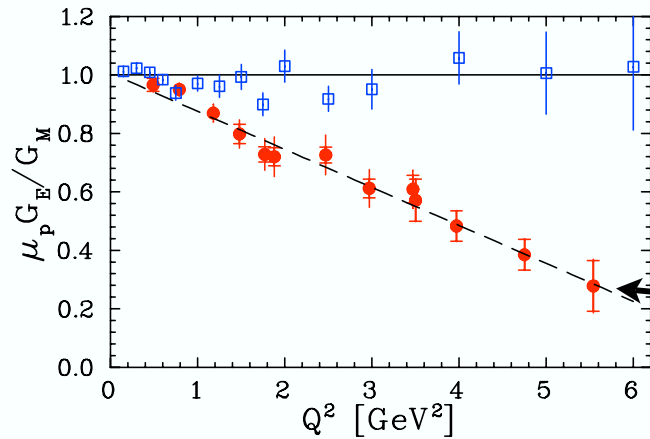


M.Jones, 12.10.05

Nucleon05 - p.15/26



Proton G_E/G_M Ratio



Rosenbluth (Longitudinal-Transverse) Separation

Polarization Transfer

LT

$$\sigma_R = G_M^2(Q^2) + \frac{\varepsilon}{\tau} G_E^2(Q^2)$$

$$\tau = Q^2/4M^2$$

$$\varepsilon = [1 + 2(1 + \tau) \tan^2 \theta/2]^{-1}$$

G_E/G_M from slope in ε plot

PT

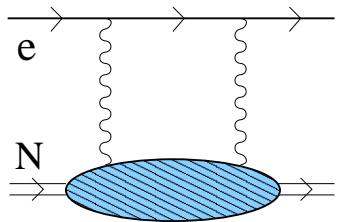
$$\frac{G_E}{G_M} = -\sqrt{\frac{\tau(1 + \varepsilon)}{2\varepsilon}} \frac{P_T}{P_L}$$

$P_{T,L}$ polarization of recoil proton

W.Melnitchouk, 12.10.05

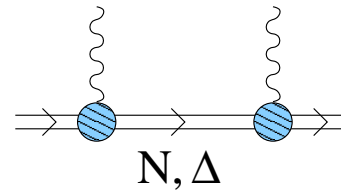


Two-Photon Corrections

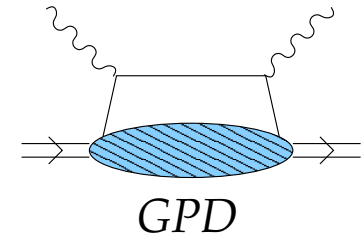


+ crossed

?



or



Several calculations exist:

- Nucleon elastic intermediate state resolves most of LT/PT G_E^p/G_M^p controversy
- Δ opposite sign cf. nucleon but smaller

Blunden, Melnichouk, Tjon

- GPD-based quark-level calculations indicate substantial short-distance contributions

Afanasev, Brodsky, Carlson, Chen, Vanderhaeghen

Various proposals to measure the 2γ contribution:

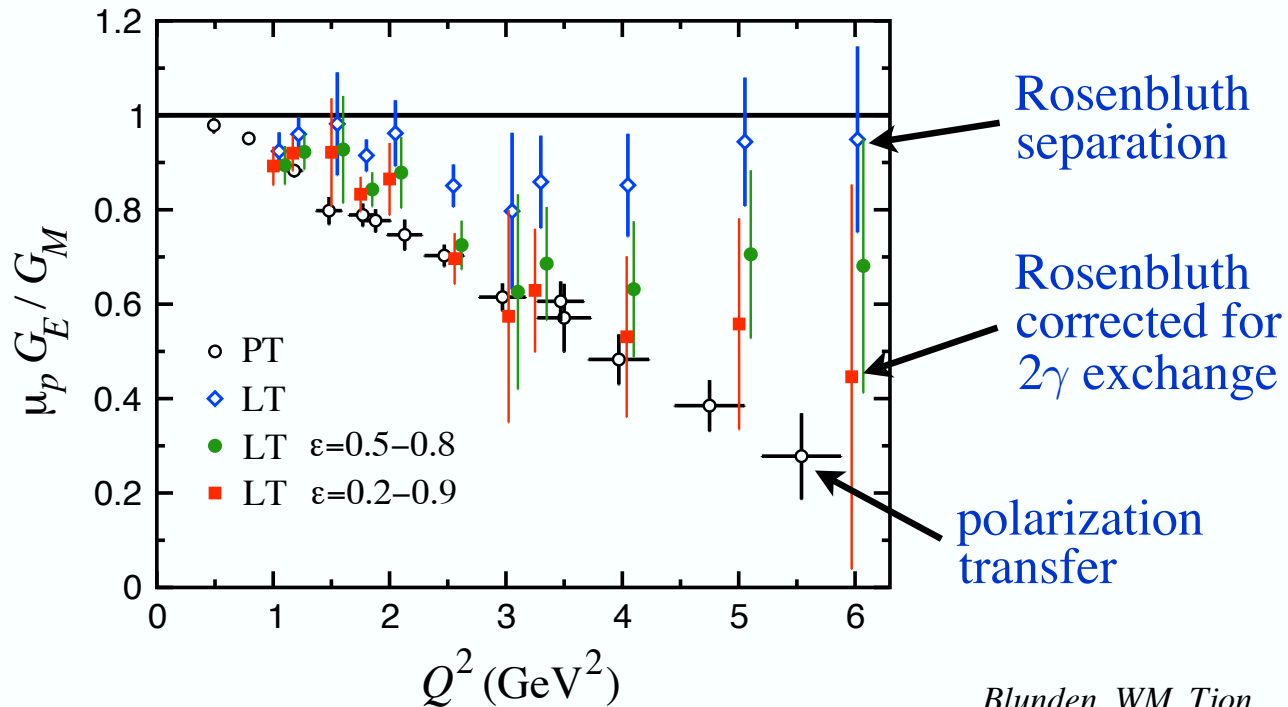
- 1γ exchange changes sign under $e^+ \leftrightarrow e^-$, 2γ exchange invariant
- ⇒ Simultaneous e^+p/e^-p measurements planned in JLAB Hall B (up to $Q^2 = 1 \text{ GeV}^2$)
- Precision ϵ -dependence of σ_{e-p} ; ϵ -dependence of P_T/P_L



Two-photon Corrections (cont.-d)

W.Melnitchouk, 12.10.05

G_E^p / G_M^p ratio



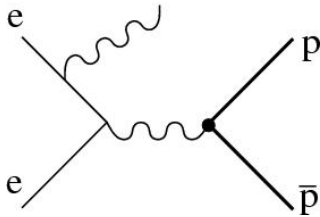
Blunden, WM, Tjon
*Phys. Rev. C*72 (2005) 034612

⇒ resolves much of the form factor discrepancy



ISR method

V.Druzhinin, 13.10.05



Mass spectrum of pp system in the $e^+e^- \rightarrow pp\gamma$ reaction is related to cross section of $e^+e^- \rightarrow p\bar{p}$ reaction at $E=m$.

$$\frac{d\sigma(e^+e^- \rightarrow p\bar{p}\gamma)}{dm d\cos\theta} = \frac{2m}{s} W(s, x, \theta) \sigma(e^+e^- \rightarrow p\bar{p})(m), \quad x = \frac{2E_\gamma}{\sqrt{s}} = 1 - \frac{m^2}{s},$$

$$W(s, x, \theta) = \frac{\alpha}{\pi x} \left(\frac{2 - 2x + x^2}{\sin^2\theta} - \frac{x^2}{2} \right), \quad \theta \gg \frac{m_e}{\sqrt{s}}.$$

$e^+e^- \rightarrow pp$ cross section depends on two form factors, electric G_E and magnetic G_M .

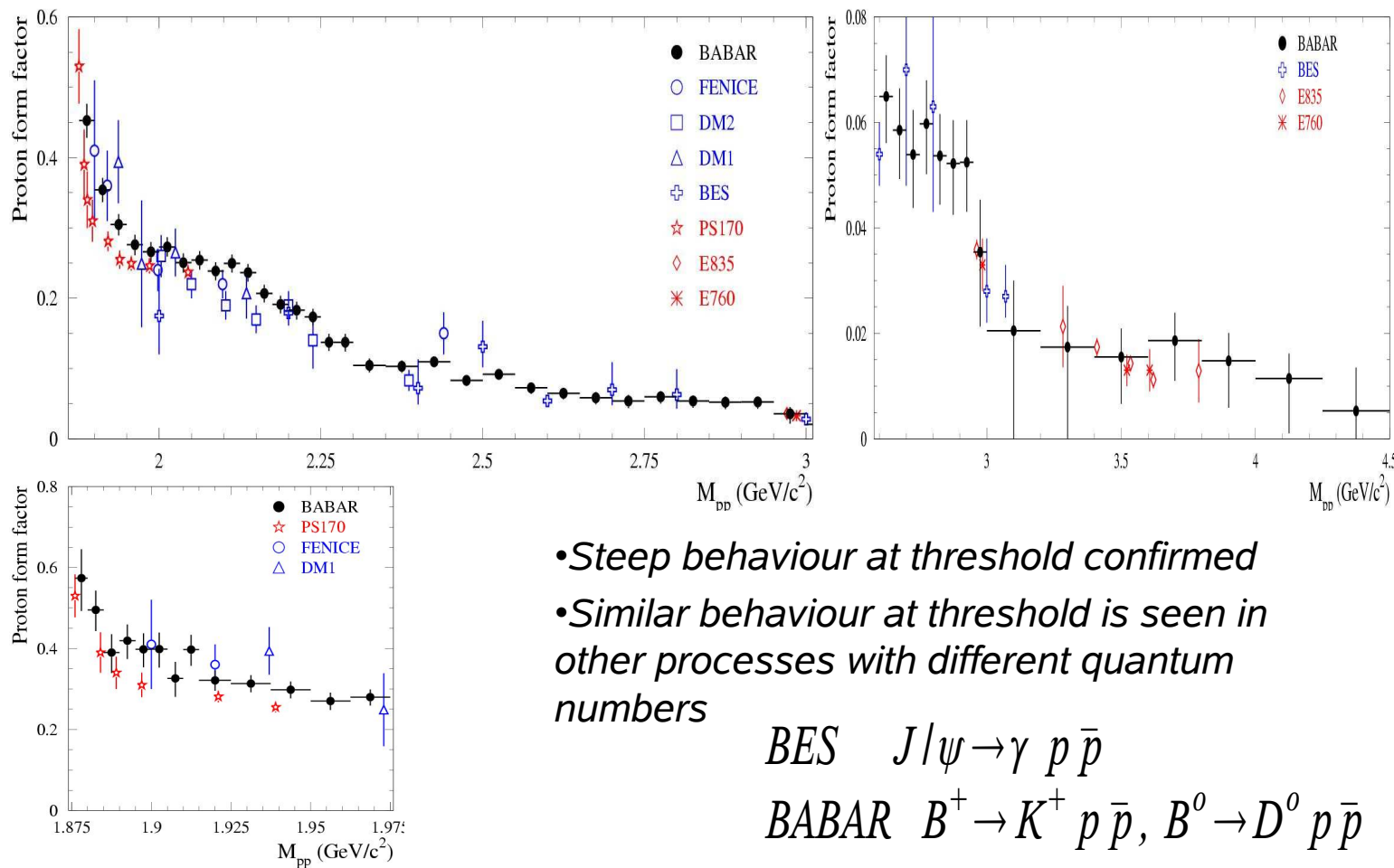
$$\sigma(e^+e^- \rightarrow p\bar{p}) = \frac{4\pi\alpha^2 \beta C}{3m^2} \left(|G_M|^2 + \frac{2m_p^2}{m^2} |G_E|^2 \right)$$

The ratio of form factors $|G_E/G_M|$ can be obtained from the analysis of the proton angular distribution. The terms corresponding G_M and G_E have angular dependence close to $1 + \cos^2\theta$ and $\sin^2\theta$, respectively.



Time-like form factors (cont.-d)

Effective proton form factor V.Druzhinin, 13.10.05



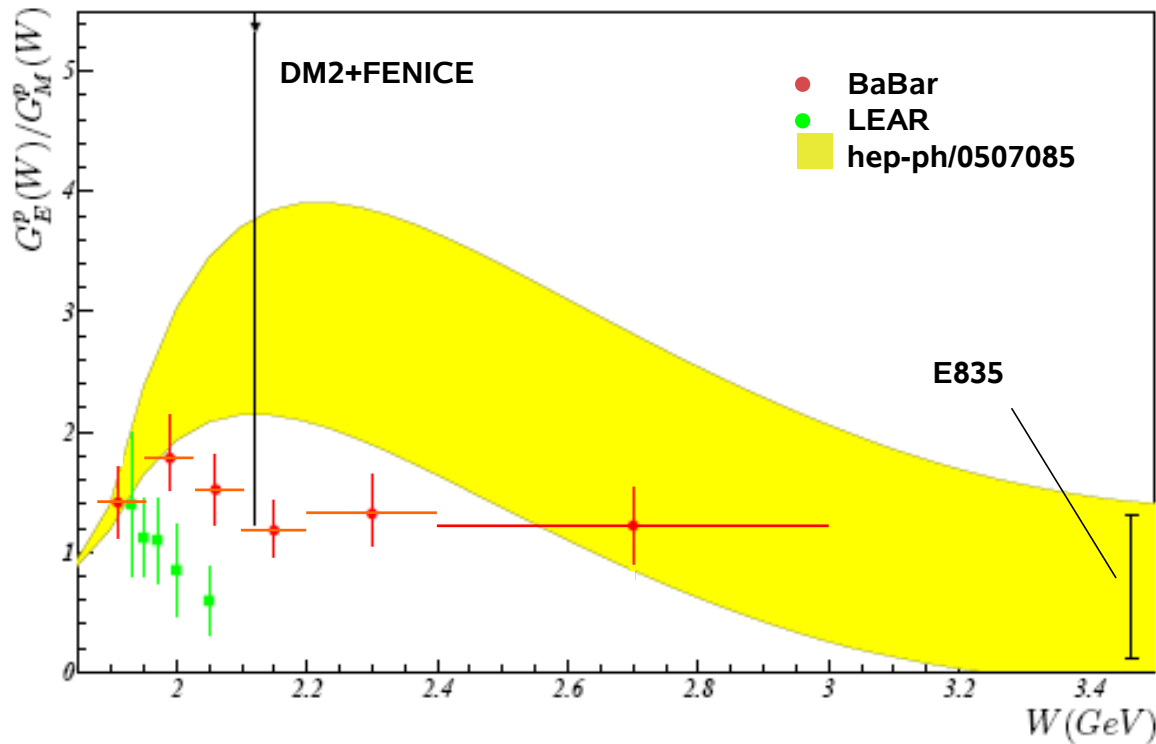


Time-like form factors (cont.-d)

$|G_E/G_M|$ ratio

V.Druzhinin, 13.10.05

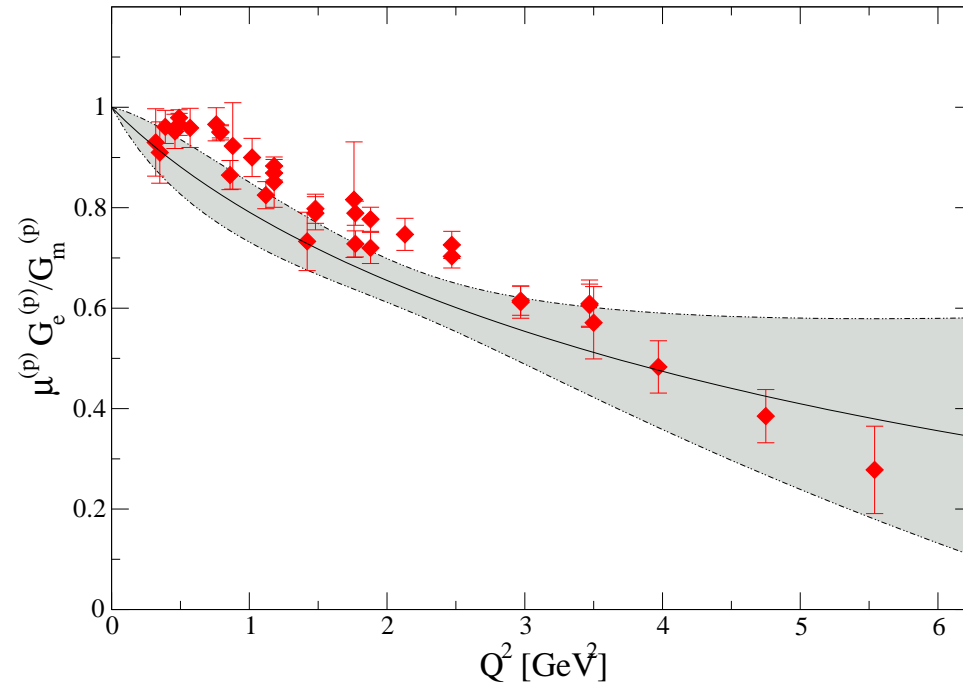
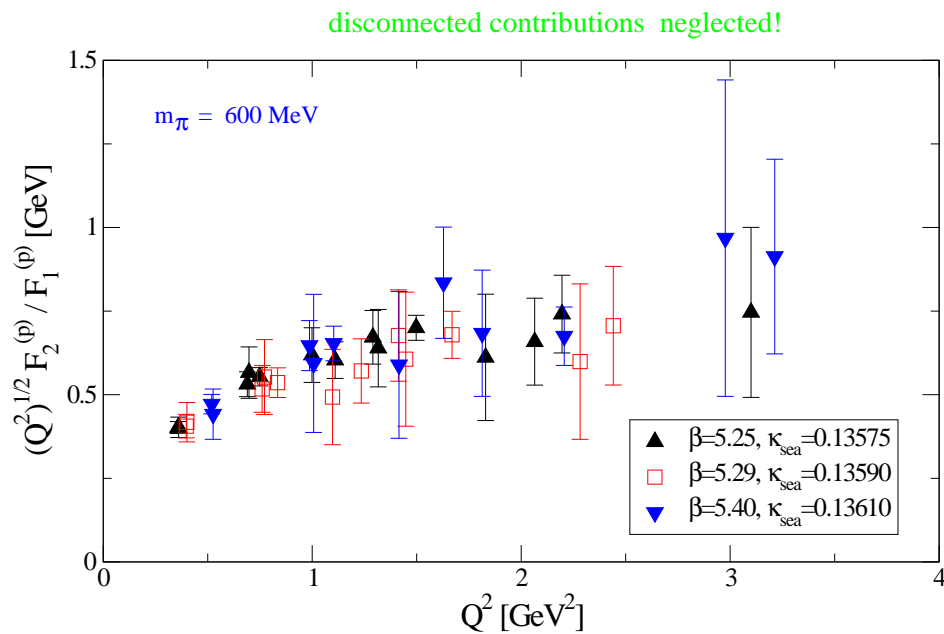
BaBar $|G_E/G_M|$ measurements vs previous ones and dispersion relation prediction (yellow) based on JLab space-like G_E/G_M and analyticity





QCDSF–UKQCD Collaboration

- Nonperturbatively $O(a)$ improved Wilson (clover) fermions
- $N_f = 2$ dynamical configurations
- $N_f = 2$ lattice spacing $a = 0.07 - 0.11$ fm; Length of the spacial box $L = 1.4 - 2$ fm
- $N_f = 2$ dynamical configurations



- chiral extrapolation compared with JLab data

M.Goeckeler, 14.10.05

Also N to Δ transition form factors, C. Alexandrou *et al.* PRL94(2005)021601



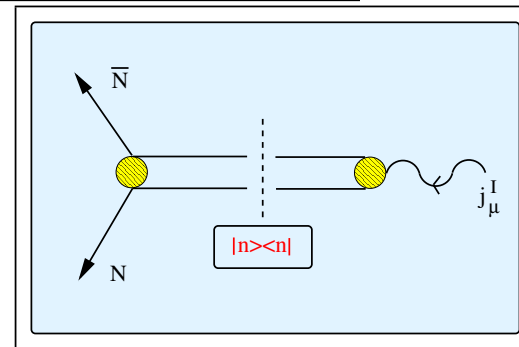
Dispersion Relations

SPECTRAL FUNCTIONS – GENERALITIES

- Spectral decomposition:

$$\text{Im} \langle \bar{N}(p') N(p) | J_\mu^I | 0 \rangle \sim \sum_n \langle \bar{N}(p') N(p) | n \rangle \langle n | J_\mu^I | 0 \rangle \Rightarrow \text{Im } F$$

- ★ on-shell intermediate states
- ★ generates imaginary part
- ★ accessible physical states



- *Isoscalar* intermediate states: $3\pi, 5\pi, \dots, K\bar{K}, K\bar{K}\pi, \pi\rho, \dots +$ poles $\rightarrow t_0 = 9M_\pi^2$
- *Isovector* intermediate states: $2\pi, 4\pi, \dots +$ poles $\rightarrow t_0 = 4M_\pi^2$
- Note that some poles are *generated* from the appropriate continua



SPACE-LIKE FORM FACTORS

PRELIMINARY

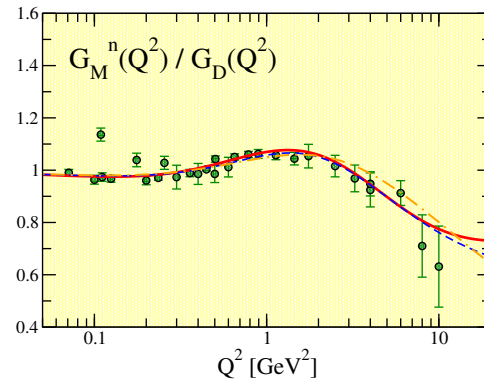
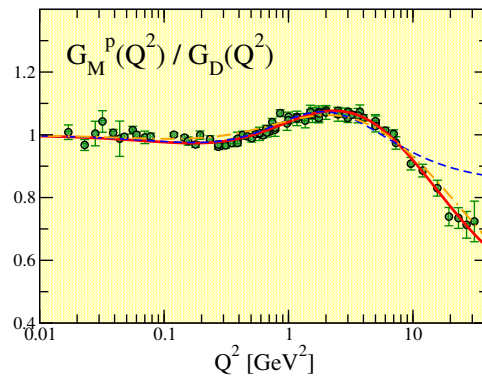
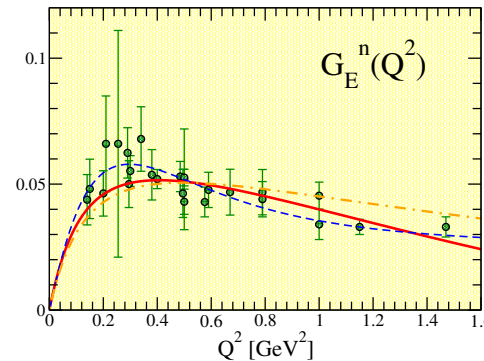
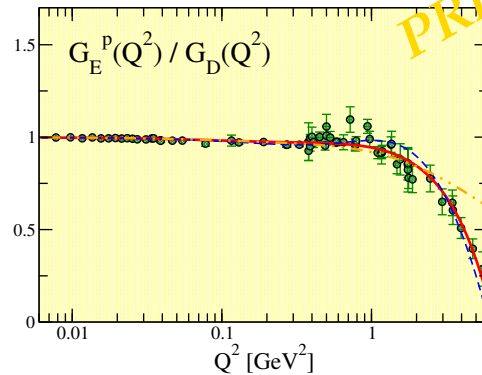
- present best fit
- 4 effective IS poles
- 3 effective IV poles
- $\chi^2/\text{dof} = 0.84$

Improved description

- ★ JLab data described
- ★ higher mass poles not at physical values

MMD 96, HMD 96, HM 04 (— · —)

$$G_D(Q^2) = \left(1 + \frac{Q^2}{0.71 \text{ GeV}^2}\right)^{-2}$$





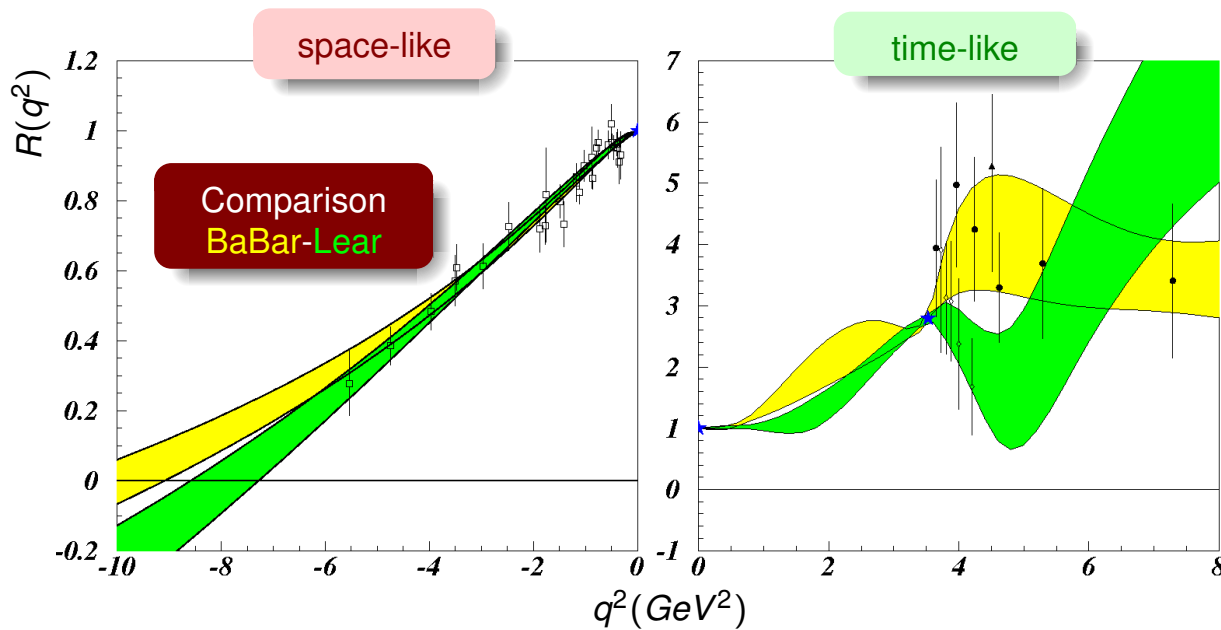
Dispersion Relations (cont.-d)

Dispersive description of the ratio G_E/G_M
The "inverse problem"
Two photon contribution to $e^+e^- \rightarrow p\bar{p}$

Introduction
Dispersive approach
Results and conclusions

$R(q^2)$

Reconstructed R in space-like and time-like region

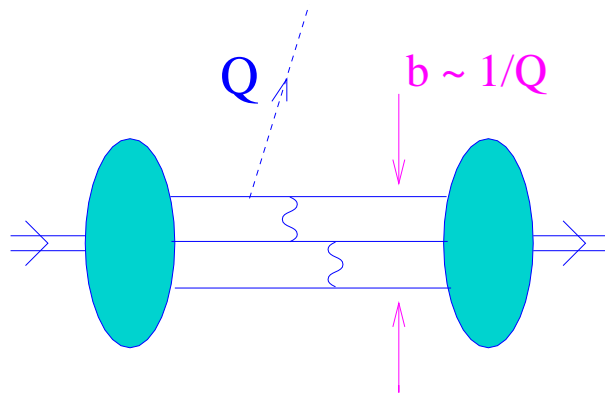


Simone Pacetti

Ratio $|G_E^p(q^2)/G_M^p(q^2)|$ and dispersion relations

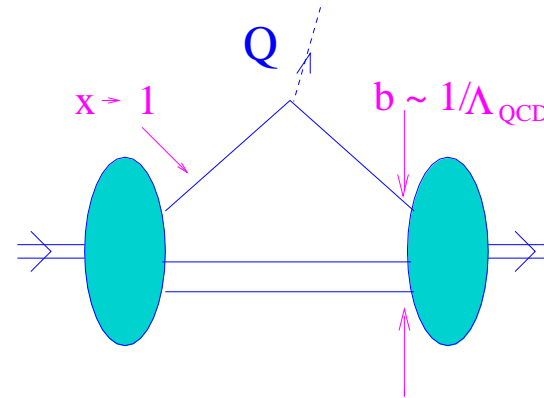


Paradigm: Soft vs. Hard



Hard rescattering:

Small b
Average $0 < x < 1$



Soft (Feynman):

Average b
Large $x \rightarrow 1$

- Dominance of hard rescattering is only true for simplest reactions
- Soft contributions enter at the same power in $1/Q^2$ as higher-twist hard contributions
- Separation of soft and hard contributions is nontrivial and not unique
- Estimates of soft terms require a nonperturbative approach that would be explicitly consistent with perturbative QCD factorization



Nucleon Distribution Amplitudes

Twist-3

$$\langle 0 | \varepsilon^{ijk} \left(u_i^\uparrow(a_1 z) C \not{z} u_j^\downarrow(a_2 z) \right) \not{z} d_k^\uparrow(a_3 z) | P(P, \lambda) \rangle = -2pz \not{z} N^\uparrow(P) \int \mathcal{D}\xi e^{-ipz \sum \xi_i a_i} \Phi_3(\xi_i)$$

Twist-4

$$\begin{aligned} \langle 0 | \varepsilon^{ijk} \left(u_i^\uparrow(a_1 z) C \not{z} u_j^\downarrow(a_2 z) \right) \not{p} d_k^\uparrow(a_3 z) | P(P, \lambda) \rangle &= -2pz \not{p} N^\uparrow(P) \int \mathcal{D}\xi e^{-ipz \sum \xi_i a_i} \Phi_4^\parallel(\xi_i) \\ \langle 0 | \varepsilon^{ijk} \left(u_i^\uparrow(a_1 z) C \gamma_\perp u_j^\downarrow(a_2 z) \right) \gamma_\perp \not{z} d_k^\downarrow(a_3 z) | P(P, \lambda) \rangle &= 4m_N \not{z} N^\uparrow(P) \int \mathcal{D}\xi e^{-ipz \sum \xi_i a_i} \Phi_4^\perp(\xi_i) \\ \langle 0 | \varepsilon^{ijk} \left(u_i^\uparrow(a_1 z) C \not{p} \not{z} u_j^\downarrow(a_2 z) \right) \not{z} d_k^\uparrow(a_3 z) | P(P, \lambda) \rangle &= 2m_N pz \not{z} N^\uparrow(P) \int \mathcal{D}\xi e^{-ipz \sum \xi_i a_i} \Phi_4^T(\xi_i) \end{aligned}$$

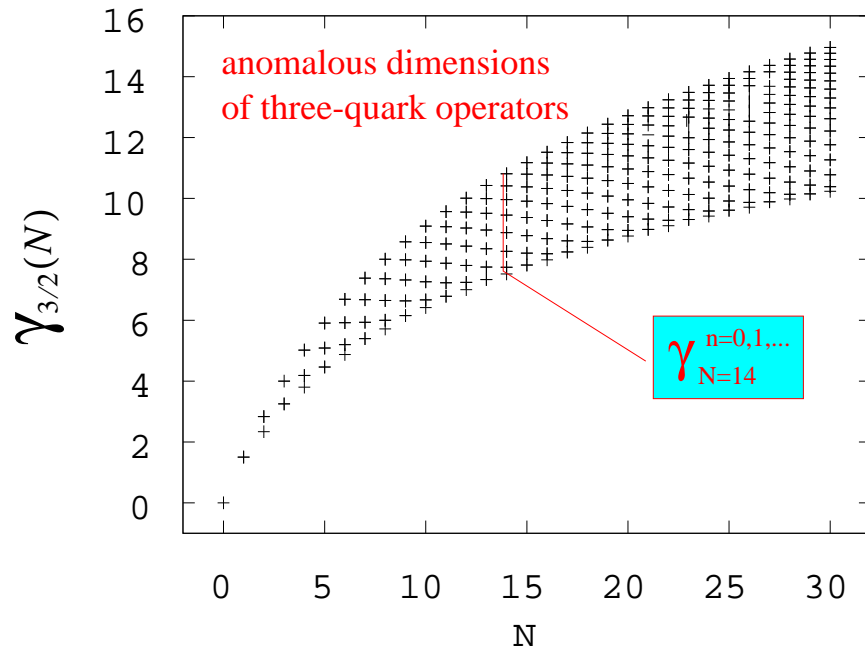
- Momentum fraction distributions of quarks in the state with minimum number of Fock constituents at small (zero) transverse separations
- Complementary to parton distributions that include summation of all Fock states
- Largely unknown



Scale Dependence

The three quarks in the nucleon with the given spin J can still be in different parton states

⇒ a nontrivial mixing matrix:



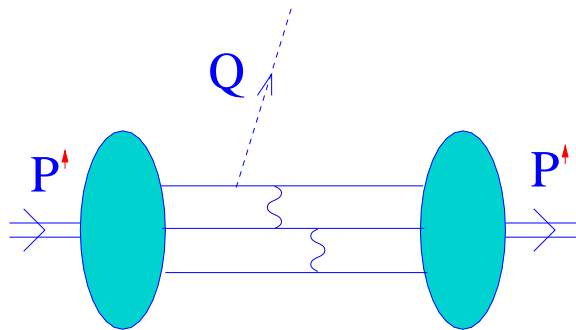
The lowest anomalous dimension is separated from the rest by a finite ‘mass gap’. This is due to ‘binding’ of the scalar diquark and in a different context may lead to color superconductivity

- Large parts of the evolution equations are completely integrable
- Large part of the two-loop kernel calculated (Belitsky, Korchemsky, Mueller '05)

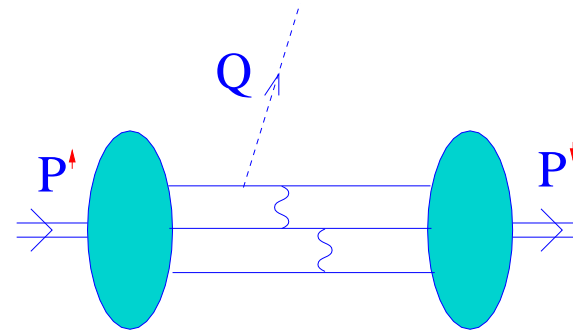


PQCD: Collinear factorization

Classical Brosky-Lepage framework: two hard gluon exchanges



$$F_1^{p,n} \sim \frac{\alpha_s^2(Q)}{Q^4}$$



$$F_2^{p,n} \sim \frac{\alpha_s^2(Q)}{Q^6} \ln^2 Q^2 / \mu_{IR}^2 \equiv \frac{1}{Q^6}$$

- **Pauli form factor corresponds to the twist-3 — twist-4 interference;**

Explicit calculation (Belitsky, Ji, Yuan '03) confirms that collinear factorization is broken

- **The experimental behaviour $F_2(Q^2)/F_1(Q^2) \sim 1/Q$ is not supported by QCD**
- **Reason for the large difference between space-like and time-like form factors unclear**

?

Applicability at realistic values of Q^2 Wide-spread scepticism

?

Possible and necessary to calculate the radiative correction (new color structures)



PQCD: Modified (k_t) factorization

Sterman, Li, '92: make use of the Sudakov suppression of large transverse separations

- Introduce transverse-momentum dependent pion wave functions

$$F_\pi(Q^2) = \int dx_1 dx_2 \int d^2b \phi_\pi(x_1, b) \phi_\pi(x_2, b) e^{-S(x_i, b)} T_H(x_i, Q, b)$$

- Retain the transverse-momentum dependence of the hard kernel

$$T_H^{LO}(x_i, Q, b) = \frac{4g^2 C_F}{x_1 x_2 Q^2 + (k_{1\perp} + k_{2\perp})^2}$$

- Sudakov suppression factors: $b \sim 1/Q$

$$e^{-S(x_i, b)} = \exp \left\{ -\frac{C_F}{8\pi} \sum_{i=1}^2 \ln^2 \frac{b^2 x_i^2 Q^2}{b_0^2} + (x_i \leftrightarrow 1 - x_i) \right\}$$

? Exponentiated π^2 -terms: difference between space-like and time-like form factors

◇ Sudakov suppression too weak: “Intrinsic” transverse-separation dependence of the wave functions cannot be ignored

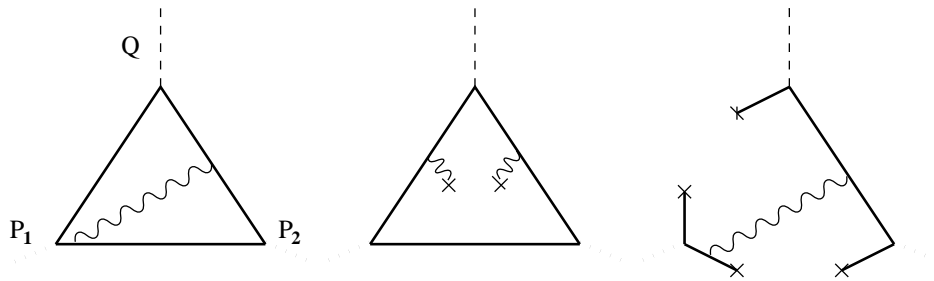
◇ Considerable model dependence (e.g. Bolz *et al.* '95)



Adding soft terms: Why **Light-Cone** Sum Rules?

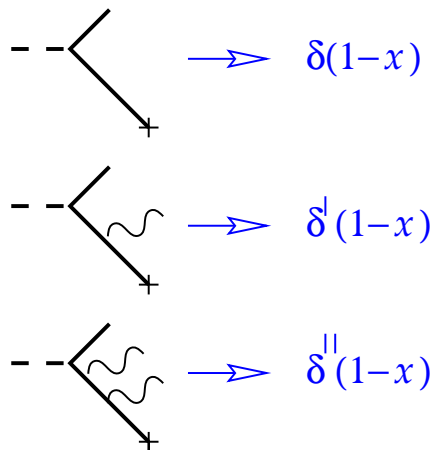
QCD Sum Rules

Nesterenko, Radyushkin '82; Ioffe, Smilga '82



$$= \left(\frac{1}{Q^4} + \frac{\alpha_s(Q)}{Q^2} \right) + \text{const} \cdot \left\langle \frac{\alpha_s}{\pi} G^2 \right\rangle + Q^2 \cdot \langle \bar{q}q \rangle^2 + \dots$$

• Power counting in Q^2 is not consistent with OPE ?



Expansion goes in derivatives of the delta-function!

Sum of all orders: \rightarrow *const (1-x)*



⇒ **Need resummation of the OPE to all orders**

SVZ Sum Rules

- **Short-distance Expansion**
- **Local operators**
- **Parameter: Dimension**
- **Vacuum Condensates**

Light-Cone Sum Rules

- **LC-Expansion**
- **Light-ray operators**
- **Parameter: twist**
- **Conformal expansion**
- **Hadron Distribution amplitudes**

◇ **Mean-field-approach (SVZ) vs. the expansion in rapidly varying background fields (LCSR)**

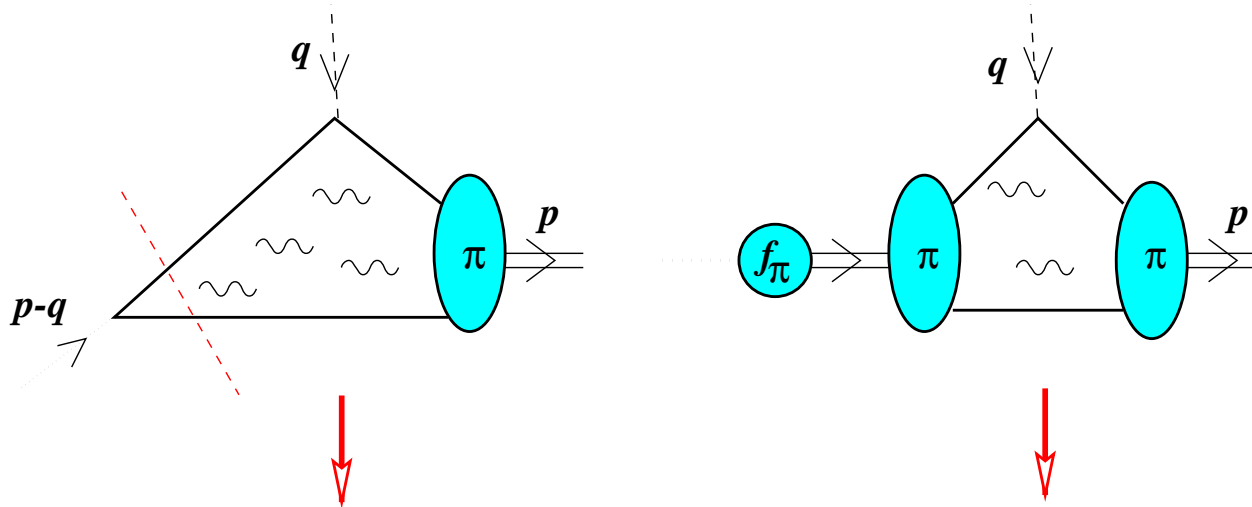


Premium: Consistent with the expansion in powers of large momentum (mass)



Example: Light-Cone Sum Rule for the Pion Form Factor

duality:



$$\int_0^{s_0} ds \frac{\text{Disc}_{(p-q)^2} T(p, q)}{s - (p-q)^2} \Big|_{p^2 \sim -1\text{GeV}^2} = f_\pi \cdot \frac{1}{m_\pi^2 - (p-q)^2} \cdot F_\pi(Q^2)$$

- $T(p, q)$ is calculated in terms of pion wave functions of increasing twist
- No condensates!
- Dispersion relation in one variable

Braun, Halperin, '94

Braun, Khodjamirian, Maul, '99



Study Case: asymptotic distribution amplitude

Expanding the sum rule at $Q^2 \gg s_0$

$$F_\pi(Q^2) = \frac{3\alpha_s C_F}{2\pi Q^2} \int_0^{s_0} ds e^{-s/M^2} + \frac{6}{Q^4} \int_0^{s_0} ds s e^{-s/M^2} \left\{ 1 - \frac{\alpha_s C_F}{4\pi} \left[10 - \frac{\pi^2}{3} + \ln^2 \frac{Q^2}{s} \right] \right\} + \dots$$

The leading term: $\int_0^{s_0} ds e^{-s/M^2} \rightarrow 4\pi^2 f_\pi^2$

$$F_\pi^{\text{as}}(Q^2) \rightarrow \frac{8\pi\alpha_s f_\pi^2}{Q^2} = \frac{8\pi\alpha_s f_\pi^2}{9Q^2} \left| \int_0^1 du \frac{\varphi_\pi(u)}{\bar{u}} \right|^2$$

Soft-hard separation with explicit momentum-fraction cutoff:

$$\int_0^1 du = \int_0^{u_0} du + \int_{u_0}^1 du; \quad u_0 = 1 - s_0/Q^2$$

$$F_\pi^{\text{hard}}(Q^2) = \frac{3\alpha_s C_F}{2\pi Q^2} s_0 \left\{ 1 - \frac{s_0}{Q^2} \left[\frac{13}{2} - \frac{\pi^2}{6} + \ln \frac{Q^2}{s_0} \ln \frac{\mu^2}{s_0} + \ln \frac{\mu^2}{s_0} + 2 \ln \frac{Q^2}{s_0} \right] \right\}$$

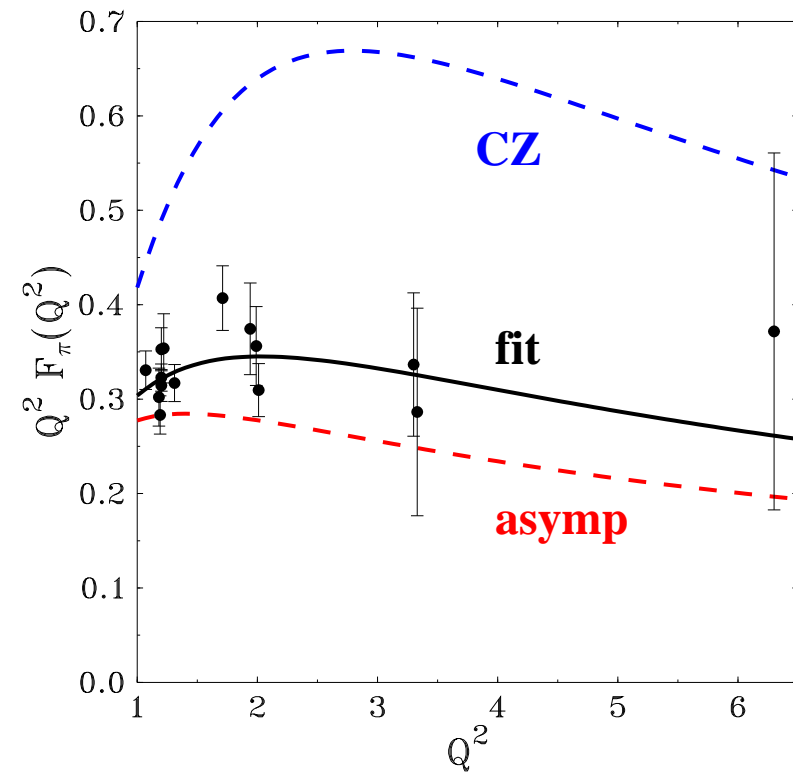
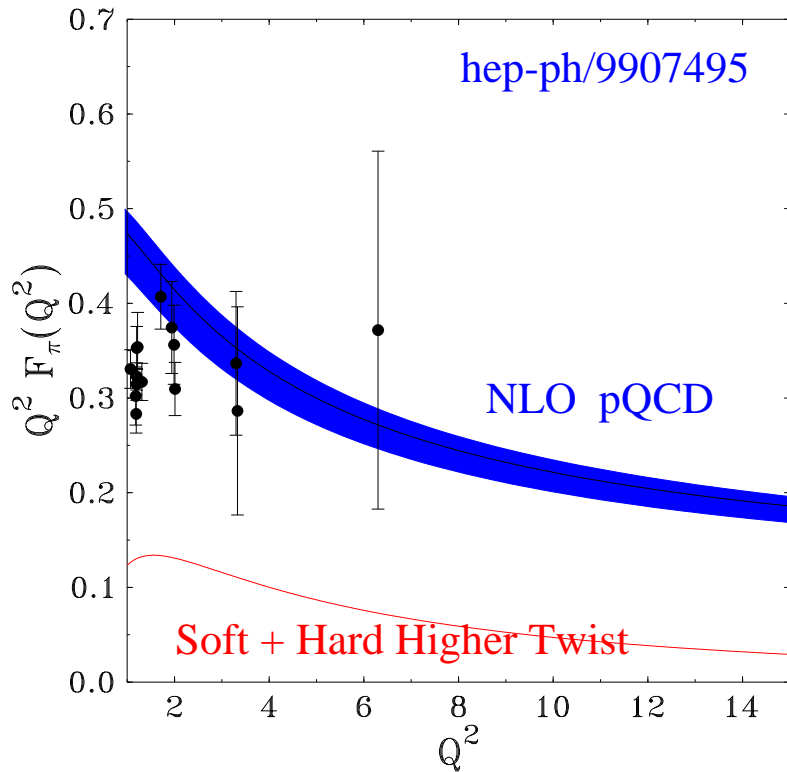
$$F_\pi^{\text{soft}}(Q^2) = \frac{3s_0^2}{Q^4} + \frac{3\alpha_s C_F}{4\pi Q^4} s_0^2 \left\{ \frac{5}{2} + \ln^2 \frac{\mu^2}{s_0} - \ln^2 \frac{Q^2}{\mu^2} + 2 \ln \frac{\mu^2}{s_0} + 3 \ln \frac{Q^2}{s_0} \right\}$$



Lessons to be learnt



LCSR are fully consistent with pQCD:



- **Observe a complicated interplay of soft and hard contributions;**
perturbation theory might be rescued by the cancellation between soft and hard higher-twist corrections
- **Further theoretical progress possible**

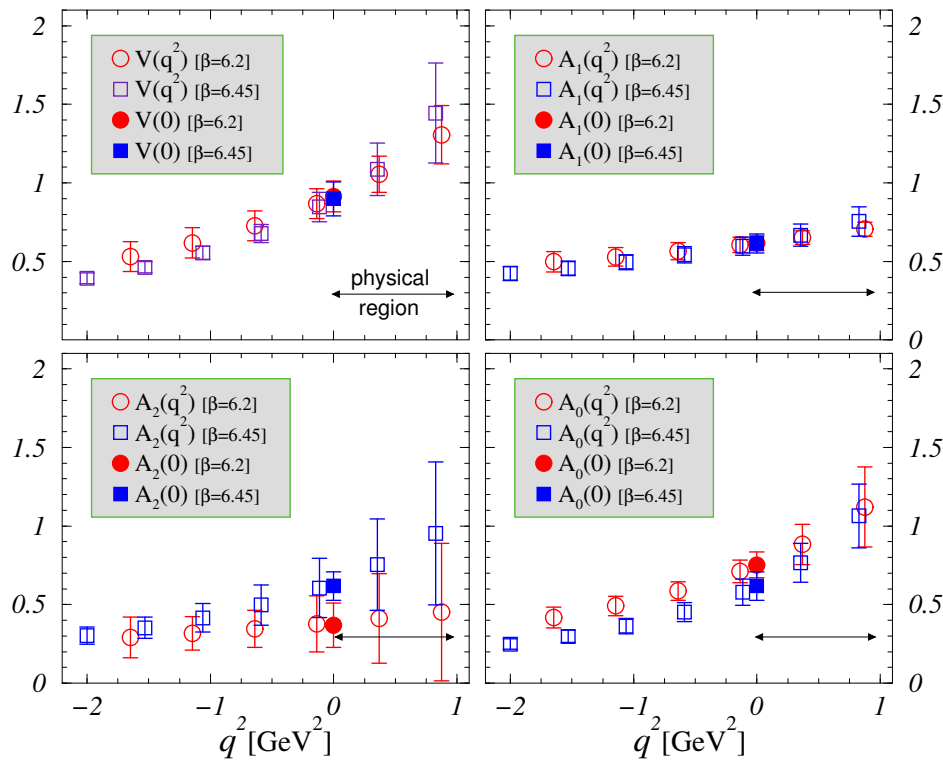


LCSR for Heavy meson decays

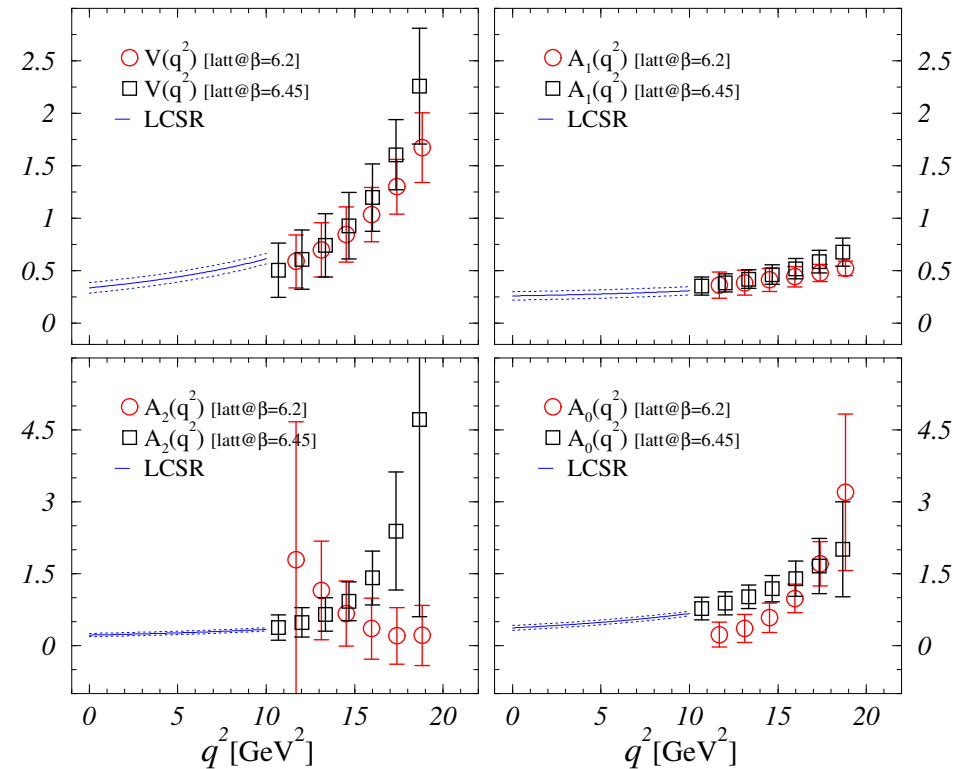
Lattice calculations: **A. Abada *et al.*, hep-lat/0209116**

LCSR: **P. Ball, V. Braun, PRD 58 (1998) 094016**

$D \rightarrow K^* l \nu_l$



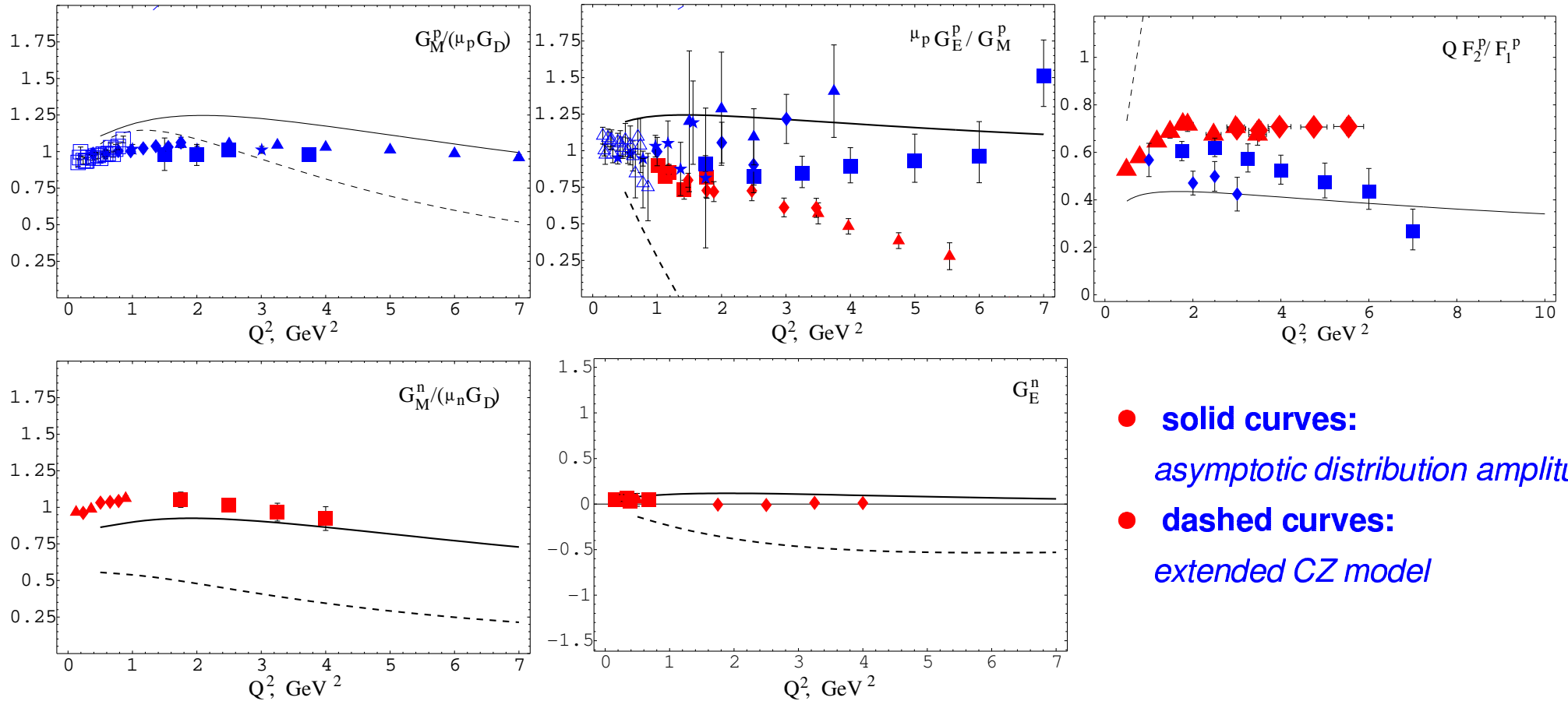
$B \rightarrow \rho l \nu_l$





Nucleon electromagnetic form factors

● Tree-level light-cone sum rules:



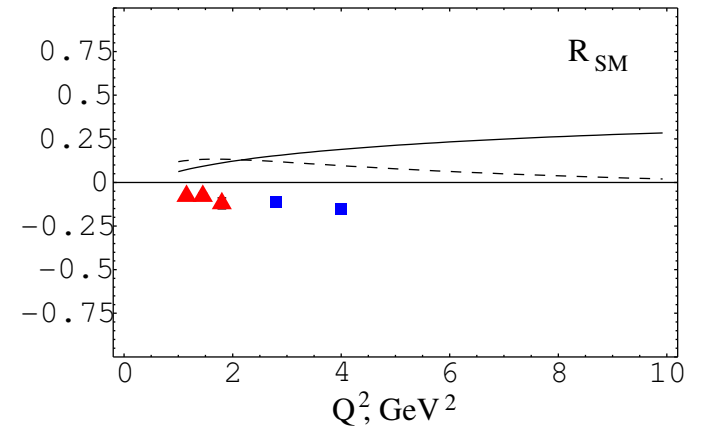
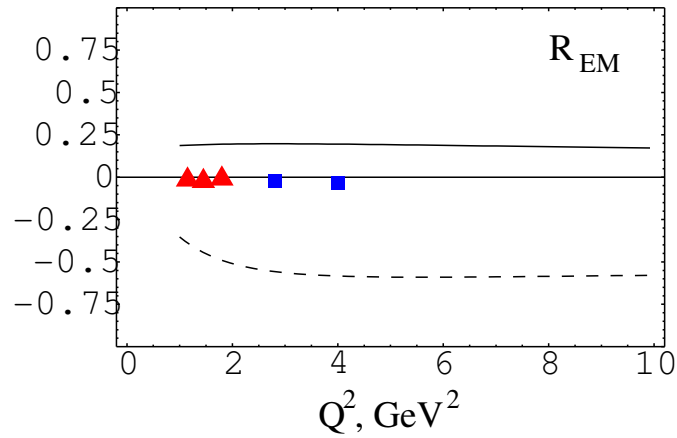
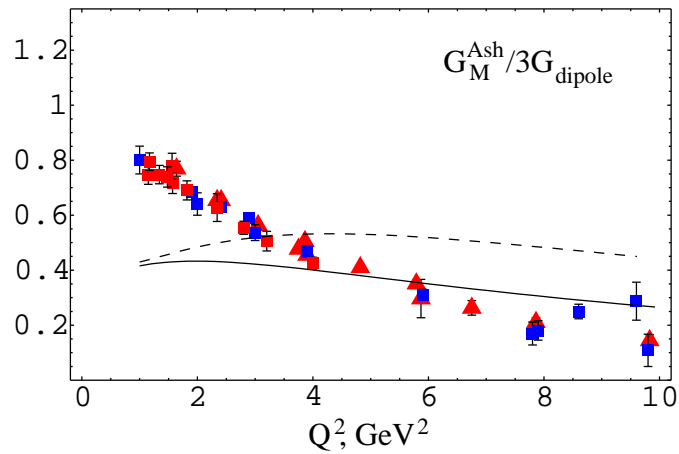
- **solid curves:**
asymptotic distribution amplitudes
- **dashed curves:**
extended CZ model

V. Braun, A. Lenz, M. Wittmann
paper in preparation



$N\Delta\gamma$ transition form factors

- **Tree-level light-cone sum rules:**



- **solid curves:** *asymptotic distribution amplitudes*
- **dashed curves:** *extended CZ model*

V. Braun, A. Lenz, G. Peters, A. Radyushkin
[hep-ph/0510237](https://arxiv.org/abs/hep-ph/0510237)



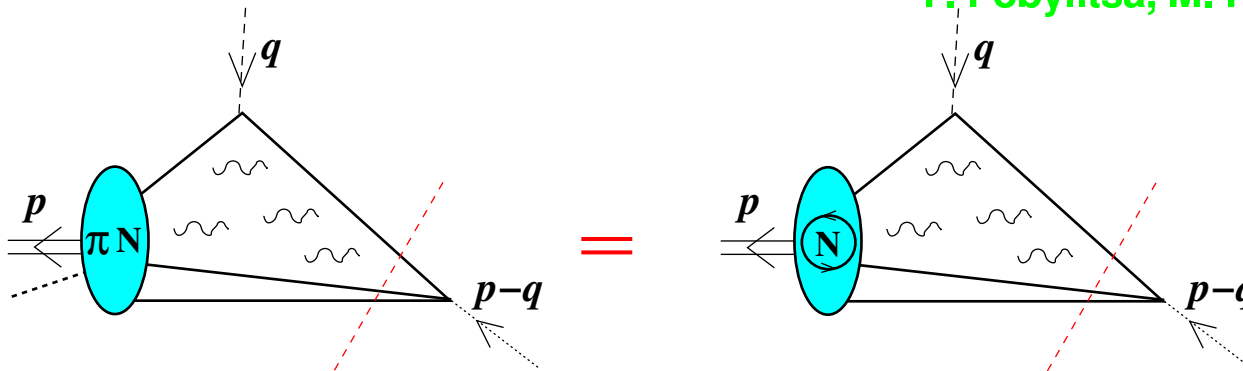
Electroproduction of soft pions

- $Q^2 \rightarrow \infty$ does not commute with the chiral limit $m_\pi \rightarrow 0$: $Q^2 \ll \Lambda^3/m_\pi$ vs. $Q^2 \gg \Lambda^3/m_\pi$ at the threshold

$$\langle N\pi(P - q) | j_\mu^{\text{em}} | N(P) \rangle = \frac{i}{f_\pi} \bar{N}(P - q) \left[\gamma_\mu \gamma_5 G_A^{\pi N}(Q^2) - \frac{1}{2m} \gamma_5 q_\mu G_P^{\pi N}(Q^2) \right] N(P)$$

chiral rotation:

P. Pobylitsa, M. Polyakov, M. Strikman '01



$$|p \uparrow\rangle = \frac{\phi_s(x)}{\sqrt{6}} |2u_\uparrow d_\downarrow u_\uparrow - u_\uparrow u_\downarrow d_\uparrow - d_\uparrow u_\downarrow u_\uparrow\rangle + \frac{\phi_s(x)}{\sqrt{2}} |u_\uparrow u_\downarrow d_\uparrow - d_\uparrow u_\downarrow u_\uparrow\rangle$$

$$|p \uparrow \pi^0\rangle = \frac{\phi_s(x)}{2\sqrt{6}f_\pi} |6u_\uparrow d_\downarrow u_\uparrow + u_\uparrow u_\downarrow d_\uparrow + d_\uparrow u_\downarrow u_\uparrow\rangle - \frac{\phi_s(x)}{2\sqrt{2}f_\pi} |u_\uparrow u_\downarrow d_\uparrow - d_\uparrow u_\downarrow u_\uparrow\rangle$$

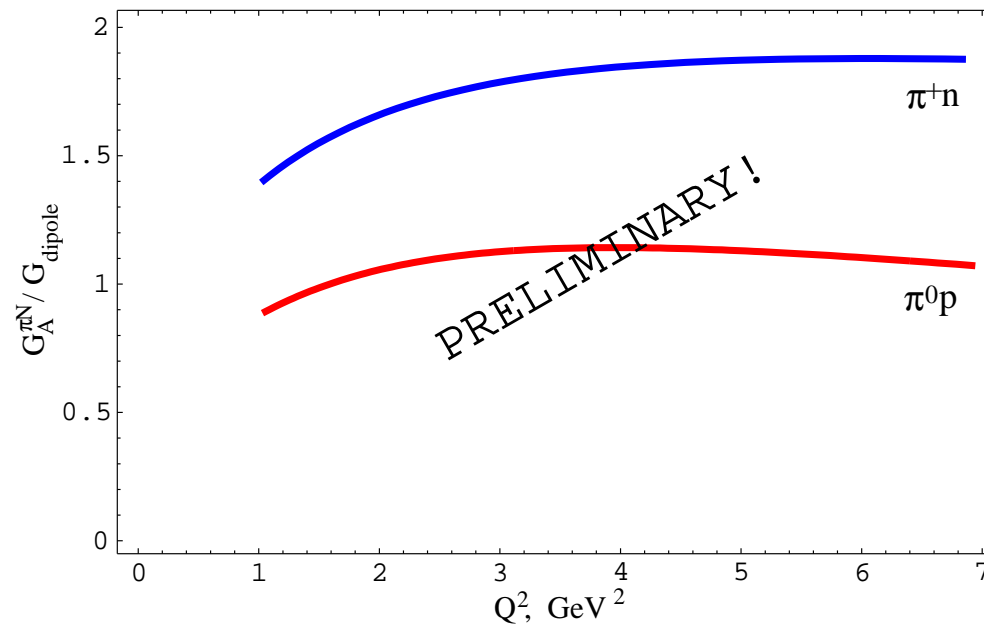
$$|n \uparrow \pi^+\rangle = \frac{\phi_s(x)}{\sqrt{12}f_\pi} |2u_\uparrow d_\downarrow u_\uparrow - 3u_\uparrow u_\downarrow d_\uparrow - 3d_\uparrow u_\downarrow u_\uparrow\rangle - \frac{\phi_s(x)}{2f_\pi} |u_\uparrow u_\downarrow d_\uparrow - d_\uparrow u_\downarrow u_\uparrow\rangle$$

V. Braun, A. Lenz, A. Peters *work in progress*



Pion electroproduction — continued

- **Tree-level light-cone sum rules, preliminary:**



- **solid curves:** *asymptotic distribution amplitudes*

V. Braun, A. Lenz, A. Peters; work in progress



Outlook

- ◇ many new experimental data; more to come
- ◇ Numerical experiment increasing in importance
- ◇ Understanding form factors:
 - ? Interplay of soft and hard mechanisms
 - ? Time-like vs. Space-like
- ◇ To be done:
 - ◇ Two-loop PQCD
 - ◇ radiative corrections to LCSR
 - ◇ soft-pion emission in hard processes
- ◇ Mid-term goal: information on nucleon distribution amplitude, in particular average momentum fraction carried by the three valence quarks to 5-7% precision

	u^\uparrow	u^\downarrow	d^\uparrow
asymptotic	33%	33%	33%
CZ model	58%	19%	23%