

# Transverse Lambda Polarization at HERMES

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Help and support

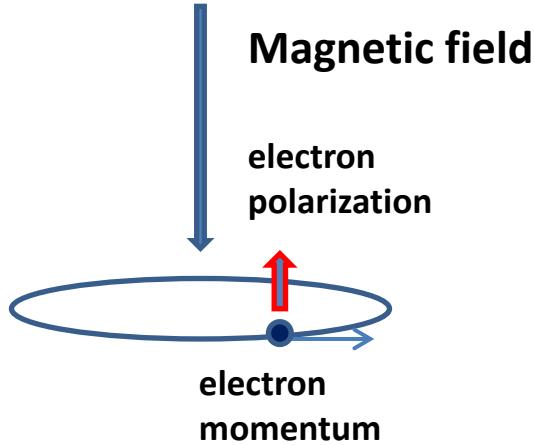
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# Spontaneous Transverse Polarization

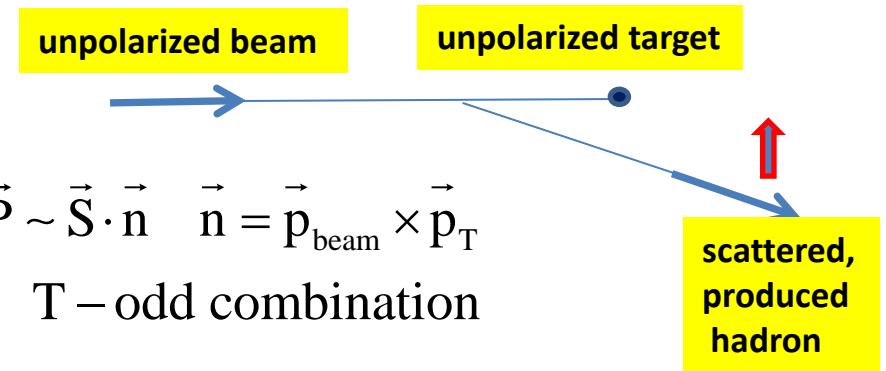
## Sokolov-Ternov effect



Directed along magnetic field

Mechanism understood  
in the frame of QED

## Transverse polarization in hadron scattering



Polarization along normal  $\mathbf{n}$  to scattering  
(production) plane

Well known phenomenon in  $p p$ ,  $\pi p$ ,  $p A$   
scattering in GeV energy domain.

In general Single Spin Asymmetry SSA

# SSA at High Energies

Perturbative QCD in collinear factorization approach, e.g. contribution subprocess  $qg \rightarrow qg$ : quark of  $p_{beam}$  interacts with gluon of  $p_{target}$

$$\sigma \sim q(x_b) \otimes g(x_t) \otimes \hat{\sigma}_{qg \rightarrow qg} \otimes D_{q \rightarrow \Lambda}(z) \quad (D_{q \rightarrow \Lambda}(z) \text{ in collinear approach})$$

$$P_{qg \rightarrow qg} \sim \alpha_s \frac{m_q}{\sqrt{S}} \text{ small} \Rightarrow 0 \text{ at } m_q \rightarrow 0$$

*G.L.Kane, et al PRL 1978*

At high energy substantial spin effects phenomena of produced hyperons are observed in disagreement with naïve perturbative QCD expectation

In order to see transverse spin transverse momentum to take into account:

$$D_{q \rightarrow \Lambda}(z) \Rightarrow D_{q \rightarrow \Lambda}(k_\perp, z) = D_{q \rightarrow \Lambda}^\uparrow(k_\perp, z) + D_{q \rightarrow \Lambda}^\downarrow(k_\perp, z)$$

$$P_\Lambda = \frac{\sigma_\Lambda^\uparrow - \sigma_\Lambda^\downarrow}{\sigma_\Lambda^\uparrow + \sigma_\Lambda^\downarrow} \sim \frac{D_{q \rightarrow \Lambda}^\uparrow(k_\perp, z) - D_{q \rightarrow \Lambda}^\downarrow(k_\perp, z)}{D_{q \rightarrow \Lambda}^\uparrow(k_\perp, z) + D_{q \rightarrow \Lambda}^\downarrow(k_\perp, z)}$$

*Collins Fragmentation Function,  
P.J.Mulders and R.D.Tangerman,  
Nucl.Phys 1996*

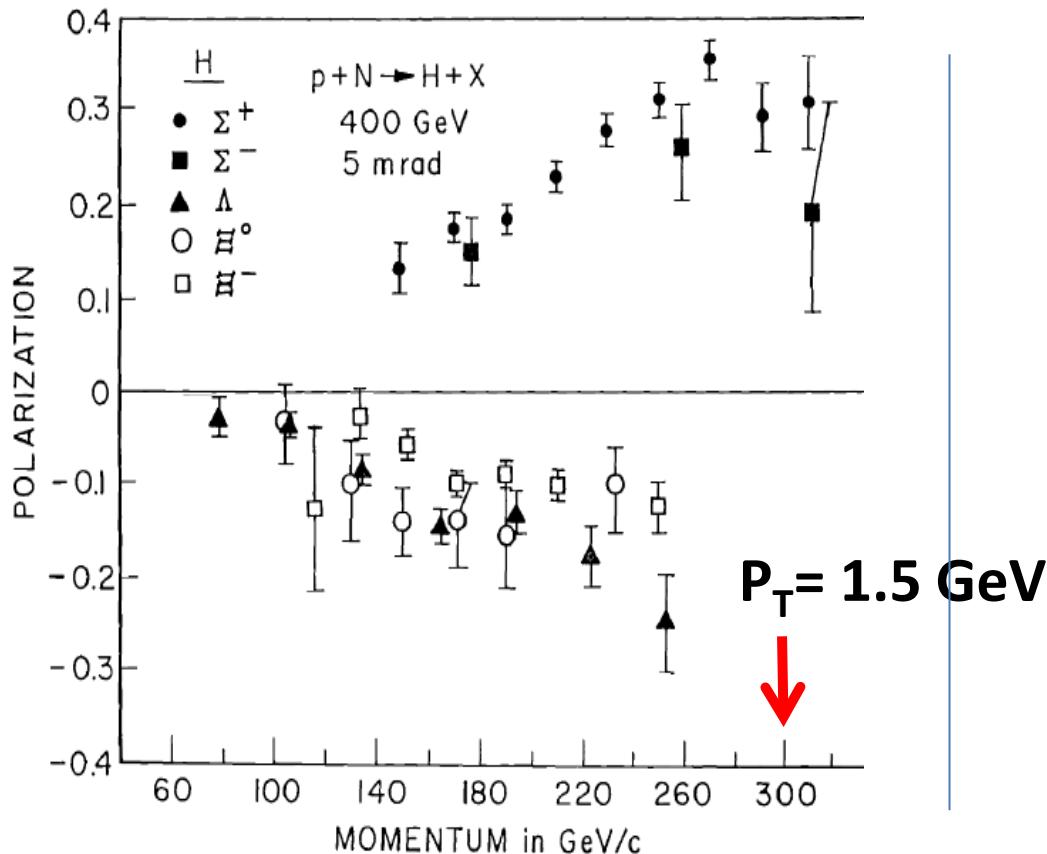
Transverse polarization is nonperturbative effect.

Unpolarized quark becomes polarized in hadronization process.

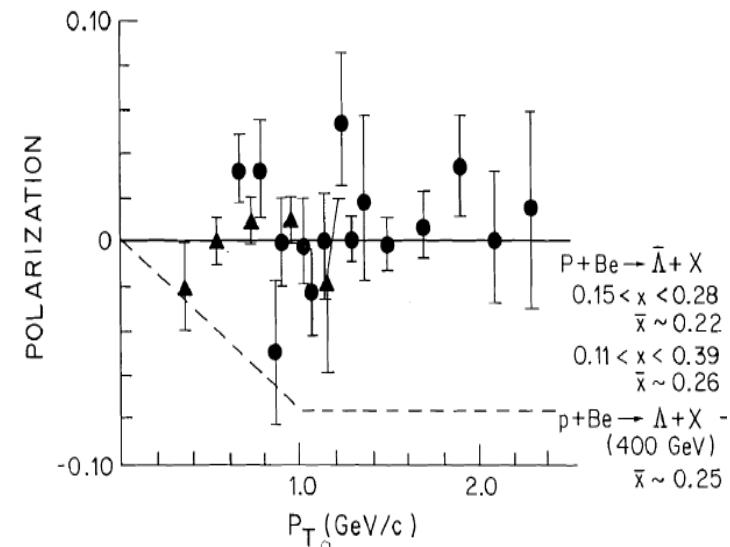
Recently: twist-3 FF (quark-gluon correlations)  $\rightarrow$  SSA

K.Kanazawa and Y.Koike 2013-2015 based on idea of Efremov and Teryaev

# Hyperon Polarization . Famous Fermilab Data.



$$P_{\bar{\Lambda}} \approx 0$$



Interpretation: DeGrand and Mettinen PRD 1981,1985

# Hyperon Octet Spin Structure $SU(3)_f$

	$\Delta (u + \bar{u})$	$\Delta (d + \bar{d})$	$\Delta (s + \bar{s})$
p(uud)	0.84	-0.43	-0.09
n(udd)	-0.43	0.84	-0.09
$\Lambda^0$ (uds)	-0.16	-0.16	0.64
$\Sigma^+$ (uus)	0.84	-0.09	-0.43
$\Sigma^0$ (uds)	0.375	0.375	-0.43
$\Sigma^-$ (dds)	-0.09	0.84	-0.43
$\Xi^0$ (uss)	-0.43	-0.09	0.84
$\Xi^-$ (dss)	-0.09	-0.43	0.84

$\Delta\Sigma=0.32$  HERMES/COMPASS

$$\Delta\Sigma = \Delta (u + \bar{u}) + \Delta (d + \bar{d}) + \Delta (s + \bar{s})$$

Assuming that

**u(d) quark positively polarized**

**$\Lambda^0 \Xi^0 \Xi^-$  polarization negative**

**$\Sigma^+ \Sigma^-$  polarization positive**

Lattice-QCD

$\Delta u = \Delta d = -0.02$        $\Delta s = 0.68 \quad (\pm 0.04)$

# More about $\Lambda$ polarization. LHC/ATLAS Results.

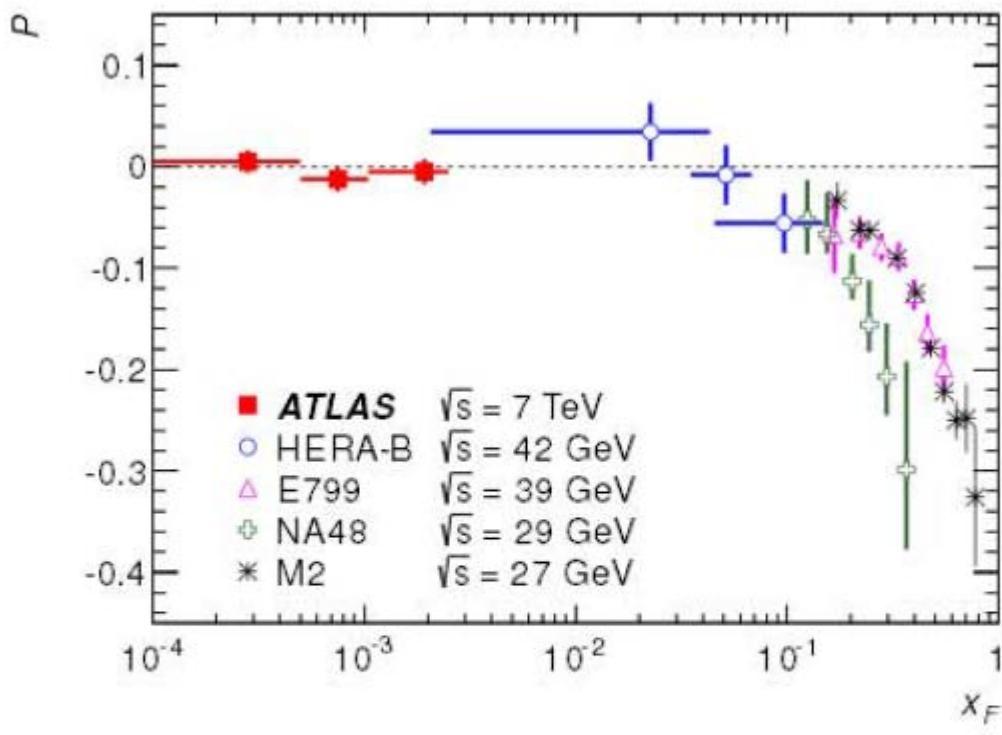
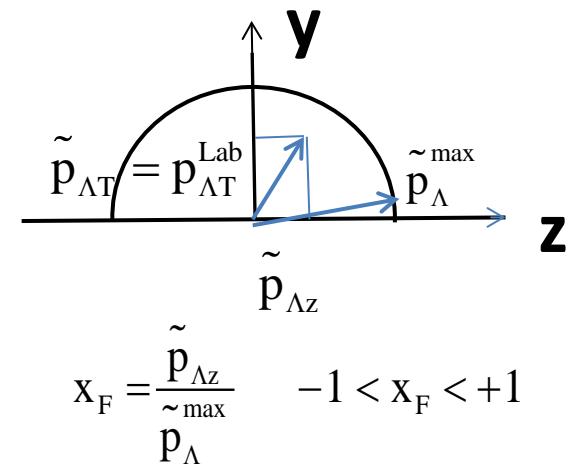


FIG. 8. The  $\Lambda$  transverse polarization measured by ATLAS compared to measurements from lower center-of-mass energy experiments. HERA-B data are taken from Ref. [5], NA48 from Ref. [4], E799 data from Ref. [3], and M2 from Ref. [2]. The HERA-B results are transformed to positive values of  $x_F$  using Eq. (1).

## $x_F$ variable



$\tilde{p}_{\Lambda z}, \tilde{p}_{\Lambda}^{\max}$  in beam-target c.m. frame ,  
z along beam particle momentum

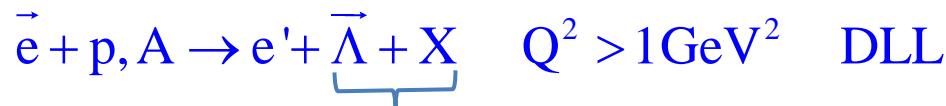
# Transverse $\Lambda$ polarization summary

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- $P_\Lambda$  weakly depending of the beam momentum
- Its magnitude rises with  $p_T$  and  $x_F$  up to  $P_\Lambda \approx 0.3 \div 0.4$  at  $p_T = 1 \text{ GeV}$
- At  $1 < p_T < 3 \text{ GeV}$   $P_\Lambda$  stays constant (at larger  $p_T$  no data available)
- In  $pp$ ,  $pA$  inclusive production  $P_\Lambda$  negative
- Polarization of anti $\Lambda$  compatible with zero
- For  $K^-$ ,  $\Sigma^-$  beams  $P_\Lambda$  positive (valence s-quark)
- $P_\Lambda$  shows a tendency to decrease with  $A$  (by 20% for Cu vs Be)
- At heavy ion collisions  $P_\Lambda$  similar to  $pp$  at  $p_T > 2 \text{ GeV}$
- $P_\Lambda$  in electro/photo production practically unknown  
(2 tagged experiments CERN ,SLAC very poor statistics)

# Transverse $\Lambda$ polarization in photoproduction at HERMES

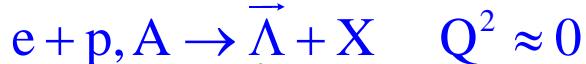
Deep Inelastic Scattering regime



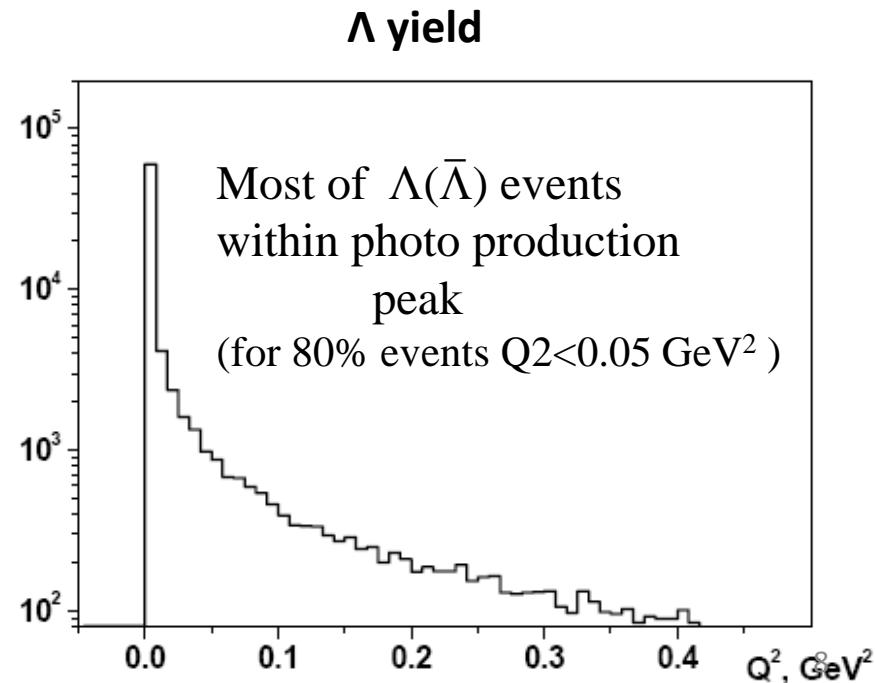
both detected,  
 $Q^2$  defined

$$Q^2 = -4E_e E_{e'} \sin^2 \frac{\theta_{\text{Lab}}}{2} \quad Q^2 \rightarrow 0 \quad \text{at } \theta_{\text{Lab}} \rightarrow 0$$

Quasi-real photoproduction regime



only detected  
(inclusively)



# Kinematics of Quasi-Real Photoproduction

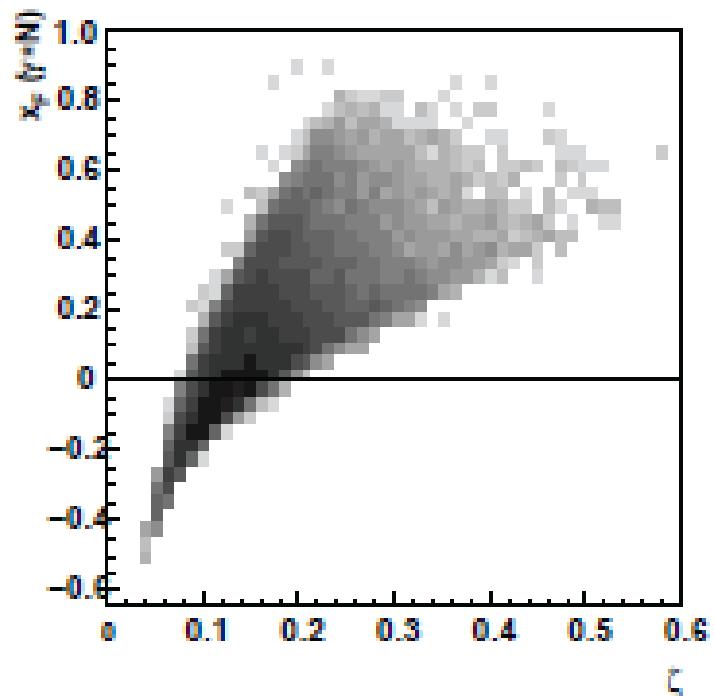
$$\gamma^* + p, A \rightarrow \Lambda + X \quad \Lambda \rightarrow p\pi^- \quad \langle E_{\gamma^*} \rangle \approx 15 \text{ GeV} \text{ at HERMES}$$

$$\gamma^* + p, A \rightarrow \bar{\Lambda} + X \quad \bar{\Lambda} \rightarrow \bar{p}\pi^+$$

As photons not tagged and only measured are  $\Lambda$  (anti $\Lambda$ ) momentum components  $p_{\Lambda T}$  and  $p_{\Lambda z}$ ,  $x_F$  cannot be built (!)

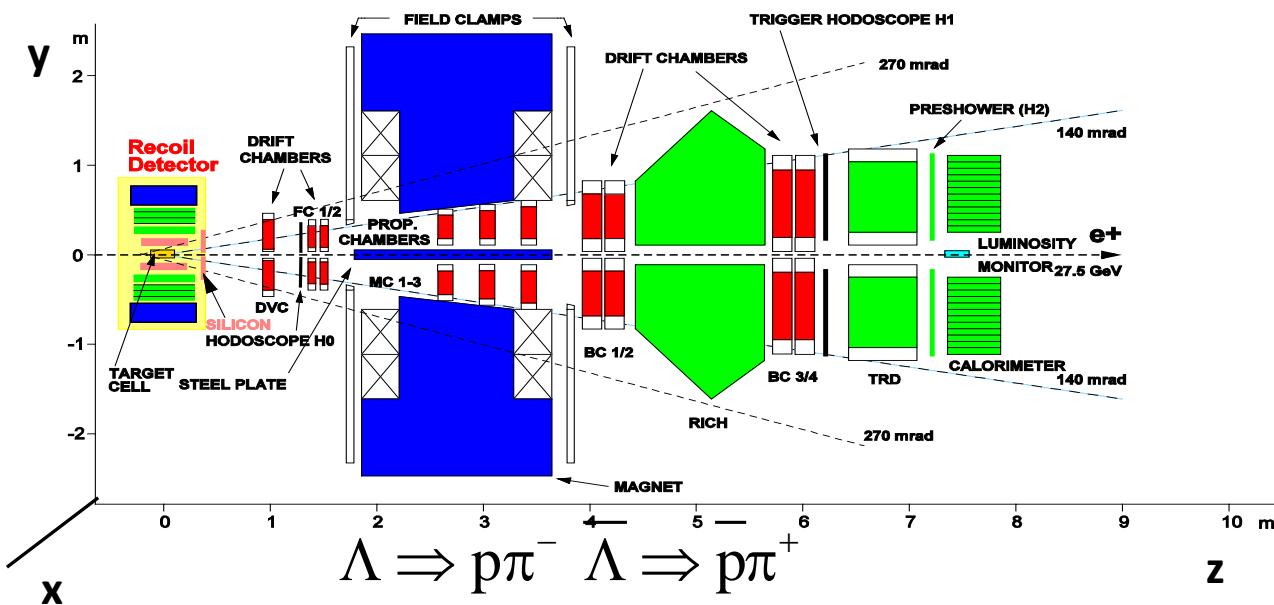
$$x_F \rightarrow \zeta = \frac{E_\Lambda + p_{z\Lambda}}{E_e + p_{z\Lambda}} \text{ light cone variable}$$

two variables define reaction kinematics  
transverse momentum  $p_{\Lambda T}$   
and  
light - cone variable  $\zeta$

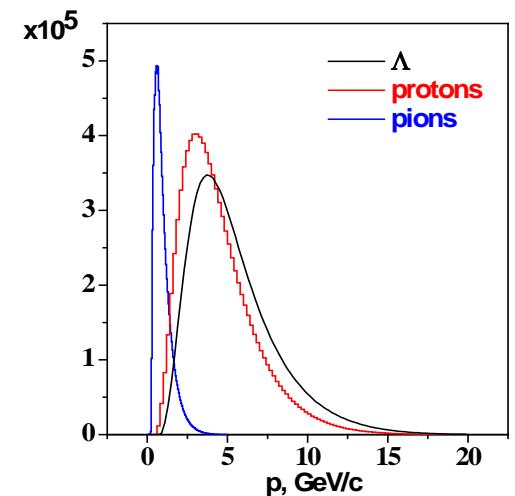
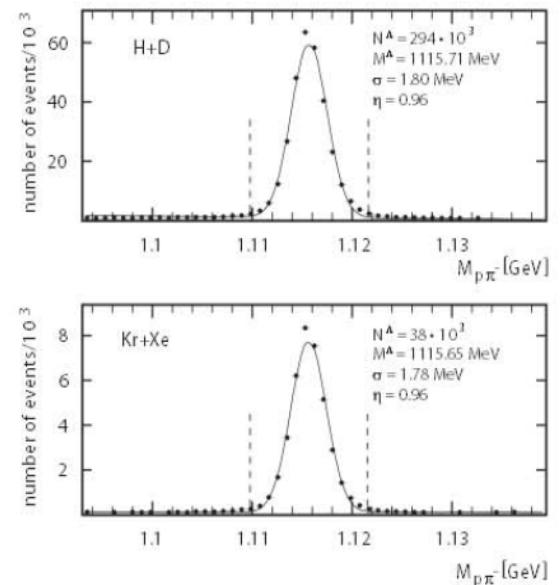


$x_F$  vs light -cone variable for HERMES  
from PYTHIA MC

# $\Lambda$ detection with HERMES spectrometer



- Forward spectrometer with  $\Delta p/p = 0.01$  and  $\Delta\theta = 0.001$
- Polarized beam and H,D targets
- Gas targets H, D, He, Ne, Kr, Xe
- Up/down mirror symmetry (except solenoid fields)
- $0.6 < p_\pi < 2.5$  GeV cutoff low momentum pions
- Well-operational RICH, effective bgr suppression



# $\Lambda$ polarization measurement

Standard way to measure particle polarization is scattering off analyzing target:

$$\frac{d\sigma}{d\Omega_{\text{anal}}} = \frac{d\sigma}{d\Omega_0} (1 + PA \cos \phi) \quad P \text{ from azimuthal (left-right) asymmetry measurements.}$$

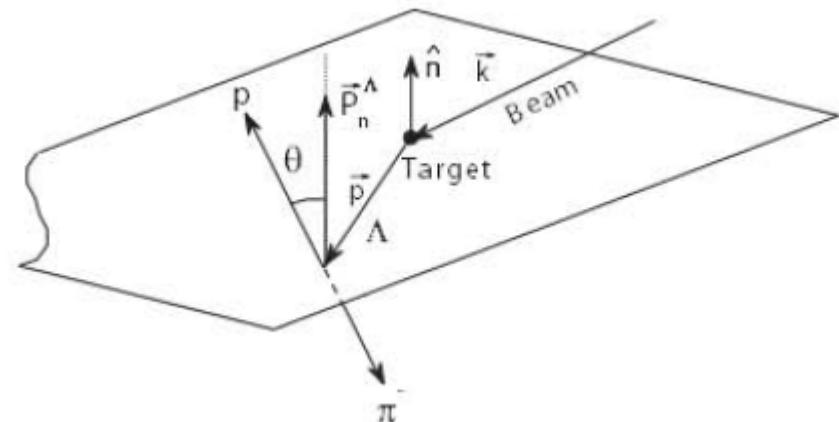
Difficulty: at high energy A small

$\Lambda \rightarrow p\pi$  weak decay is self –analyzing:

$$\frac{d\sigma}{d\Omega_p} (\Lambda \rightarrow p\pi) = \frac{d\sigma}{d\Omega_{p0}} (1 + P_\Lambda \alpha \cos \theta_p) \text{ in } \Lambda \text{ rest frame}$$

$$\alpha = 0.642 \pm 0.13 \text{ for } \Lambda \rightarrow p\pi^-$$

$$\text{and } \alpha = -0.642 \text{ for } \bar{\Lambda} \rightarrow \bar{p}\pi^+$$



$p_\Lambda$  from forward – backward decay  
asymmetry in  $\Lambda$  rest fame

# Extraction of $\Lambda$ polarization

$$\frac{dN}{d\Omega_p} = \frac{dN_0(\cos\theta_p)}{d\Omega_p} (1 + \alpha P_\Lambda \cos\theta_p)$$

$$\frac{dN_0(\cos\theta_p)}{d\Omega_p} \sim \varepsilon(\cos\theta_p)$$

Maximum likelihood ( Moment method)

Normalized probability to detect  $\Lambda$  event

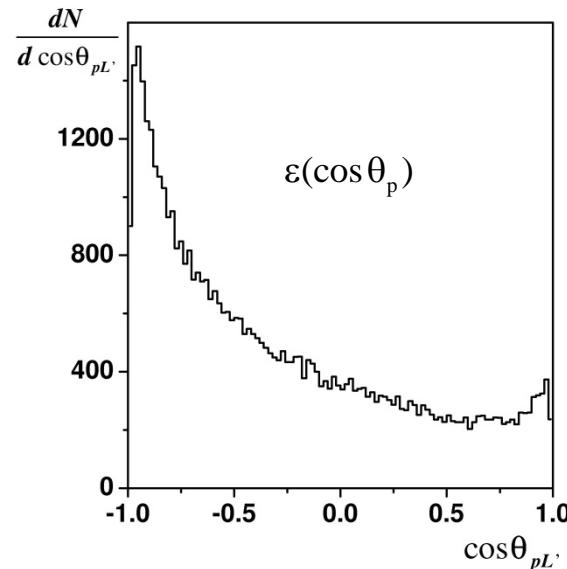
$$\omega_i = \frac{1 + \alpha P_\Lambda \cos\theta_{pi}}{1 + \alpha P_\Lambda <\cos\theta_p>_0}$$

$$<\cos\theta_p>_0 = \frac{\int \varepsilon(\cos\theta_p) \cos\theta_p d\Omega}{\int \varepsilon(\cos\theta_p) d\Omega = 1} = \int \varepsilon(\cos\theta_p) \cos\theta_p d\Omega \quad \cos\theta_p = \frac{p_{\pi x} p_{py} - p_{\pi y} p_{px}}{q \cdot p_{AT}} \quad \cos\theta_p \rightarrow -\cos\theta_p \text{ at } y \rightarrow -y$$

Up/Down mirror symmetry  $\Rightarrow \varepsilon(-p_{\pi y}, -p_{py}) = \varepsilon(p_{\pi y}, p_{py}) \quad <\cos\theta_p>_0 = 0$

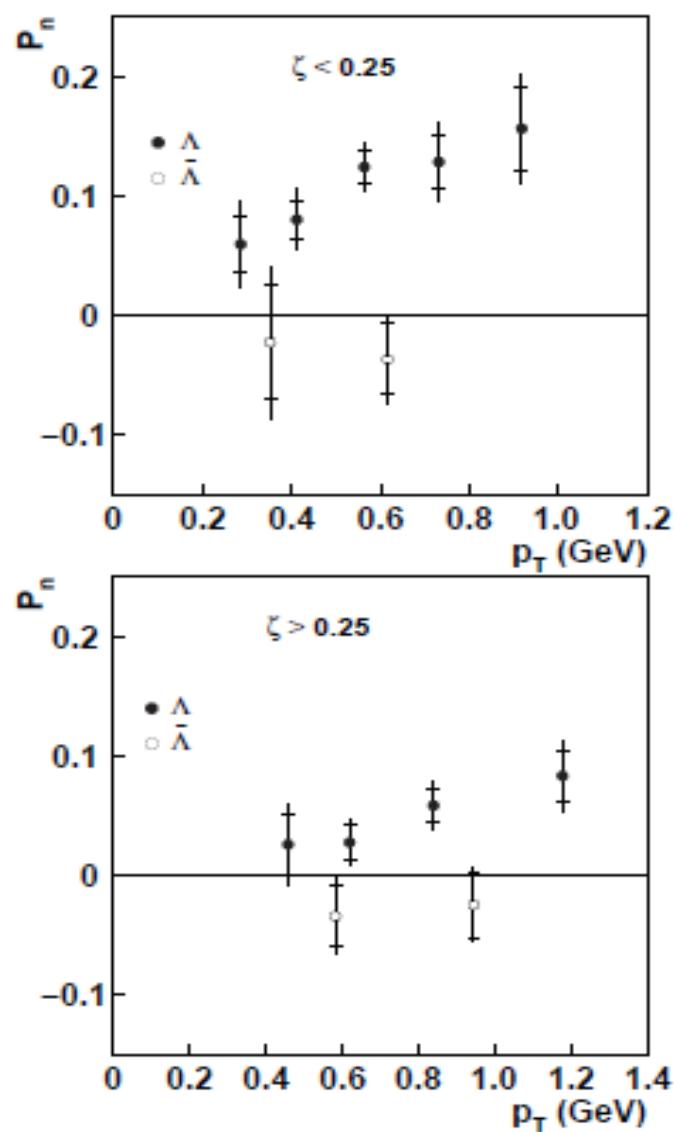
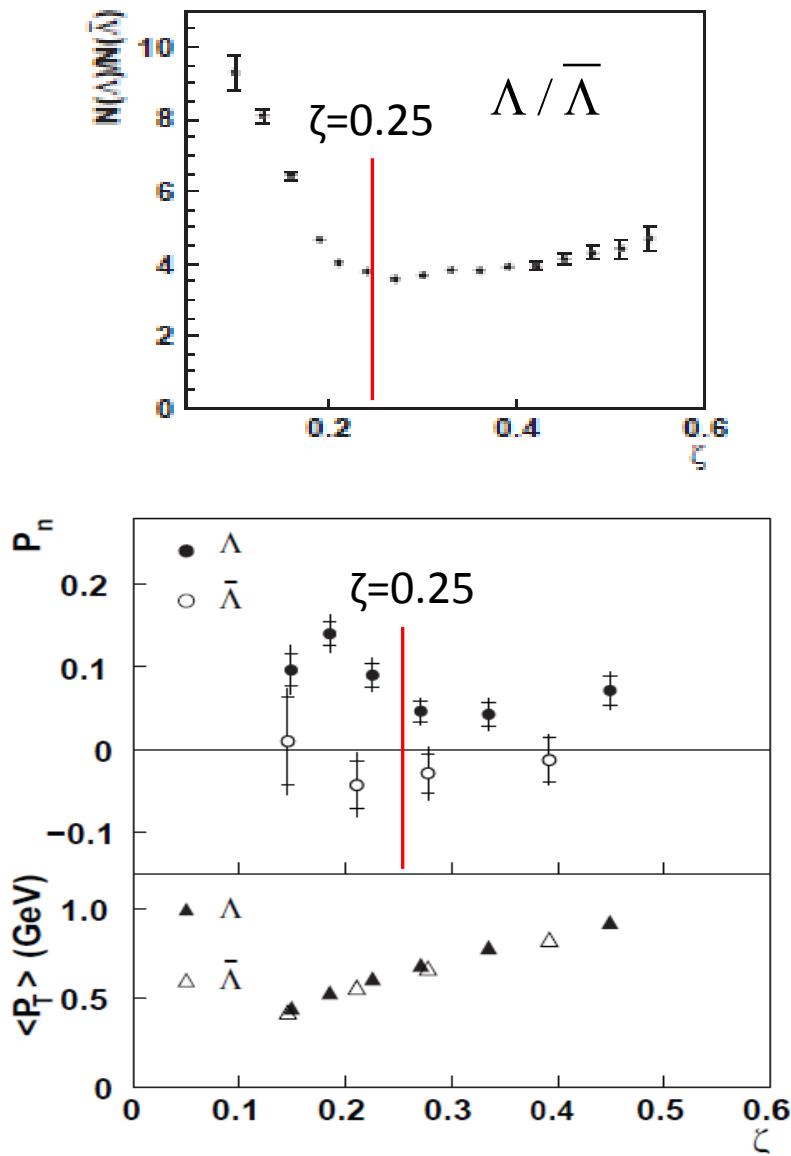
$$\omega_i = 1 + \alpha P_\Lambda \cos\theta_{pi} \quad L = \prod_{i=1}^{N_\Lambda} (1 + \alpha P_\Lambda \cos\theta_{pi}), \quad \frac{\partial \ln L}{\partial P_\Lambda} = \sum_{i=1}^{N_\Lambda} \frac{\alpha \cos\theta_{pi}}{1 + \alpha P_\Lambda \cos\theta_{pi}} = 0$$

$$\sum_{i=1}^{N_\Lambda} \frac{\alpha \cos\theta_{pi}}{1 + \alpha P_\Lambda \cos\theta_{pi}} \simeq \sum_{i=1}^{N_\Lambda} \alpha \cos\theta_{pi} (1 - \alpha P_\Lambda \cos\theta_{pi}) = 0 \quad \text{neglecting } (\alpha P_\Lambda \cos\theta_{pi})^n \quad n \geq 2$$

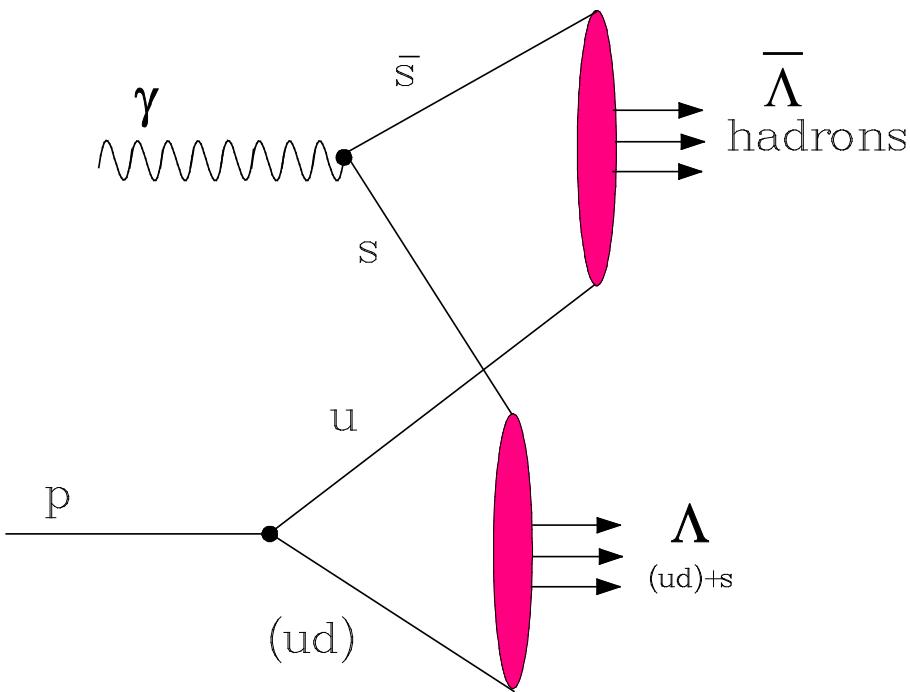


$$P_\Lambda = \frac{\sum_{i=1}^{N_\Lambda} \cos\theta_{pi}}{\alpha \sum_{i=1}^{N_\Lambda} \cos^2\theta_{pi}}$$

# Results



# Possible Interpretation



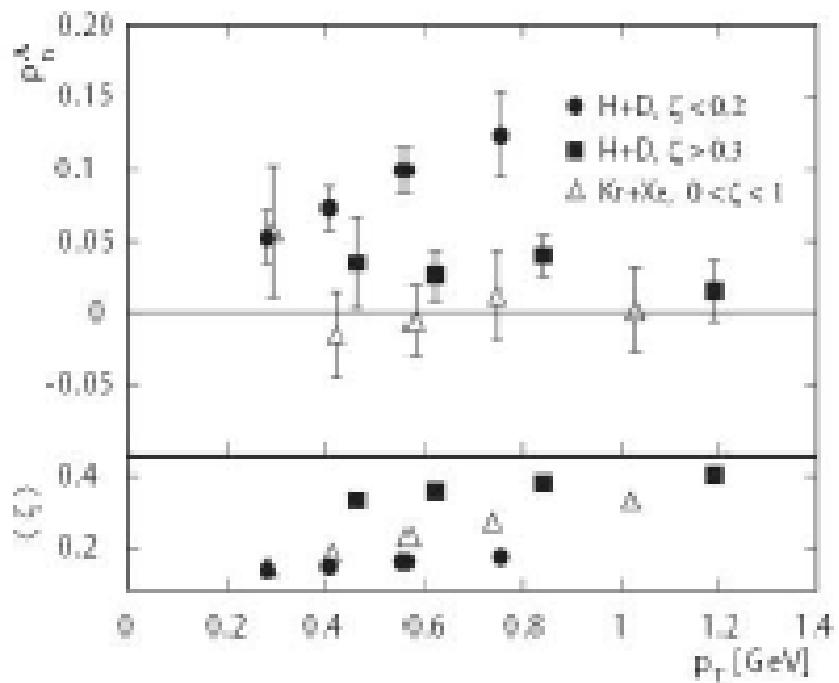
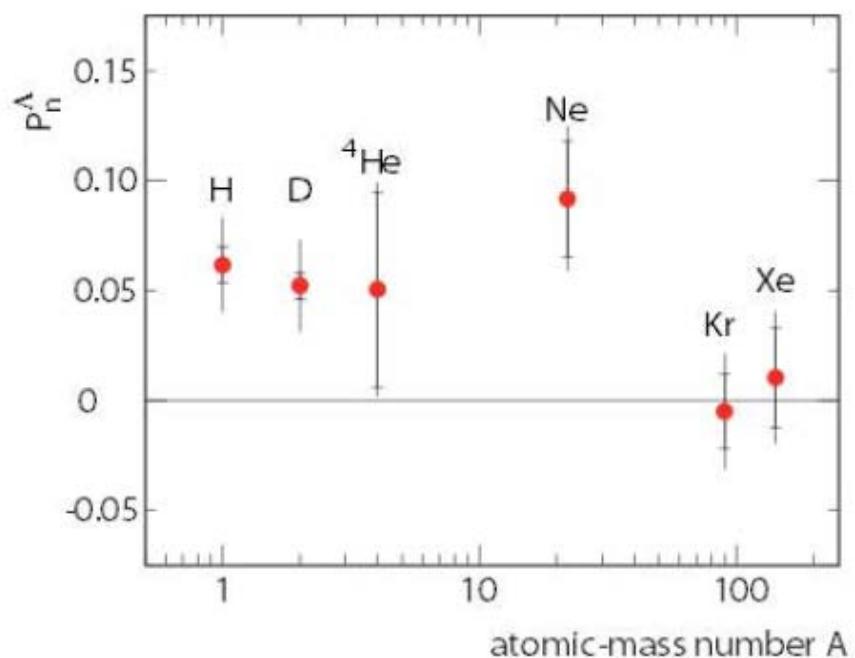
## Current fragmentation

$\Lambda$  production  $s(\text{beam}) + (ud)_{0,1}(\text{string})$   
 $\bar{\Lambda}$  production  $\bar{s}(\text{beam}) + \bar{(ud)}_{0,1}(\text{string})$

## Target fragmentation

$\Lambda$  production  $s(\text{beam}) + (ud)_{0,1}(\text{target})$   
 $\bar{\Lambda}$  production  $\bar{s}(\text{beam}) + \bar{(ud)}_{0,1}(\text{target sea})$

# A-dependence



# Conclusion

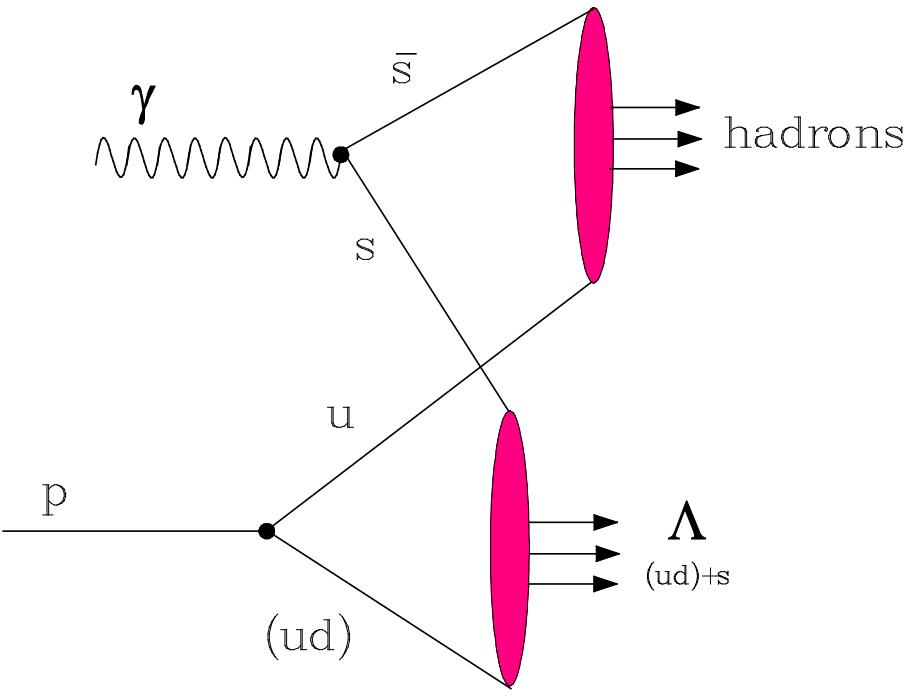
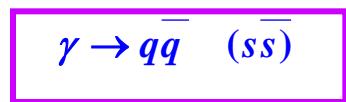
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- Polarization of  $\Lambda$  in inclusive photoproduction at  $p_T < 1 \text{ GeV}$   
 $P_\Lambda = 0.078 \pm 0.006_{\text{stat}} \pm 0.012_{\text{stat}}$   
positive as in the case of  $K^-$  or  $\Sigma^-$  beam
- $\Lambda\bar{\Lambda}$  polarization compatible with zero:  
 $P_{\Lambda\bar{\Lambda}} = -0.025 \pm 0.015_{\text{stat}} \pm 0.018_{\text{stat}}$
- $\Lambda$  polarization in target fragmentation ( $\zeta < 0.25$ ) essentially larger than that in current fragment ( $\zeta > 0.25$ )
- Yield of  $\Lambda$  in target fragmentation surpasses substantially  $\Lambda\bar{\Lambda}$
- A possible interpretation of production mechanism and (partly) observed polarizations relates photon dissociation to quark-antiquark pair
- A-dependence: polarization vanishes for  $A \approx 100$
- Polarizations for H and D targets coincides within error bars

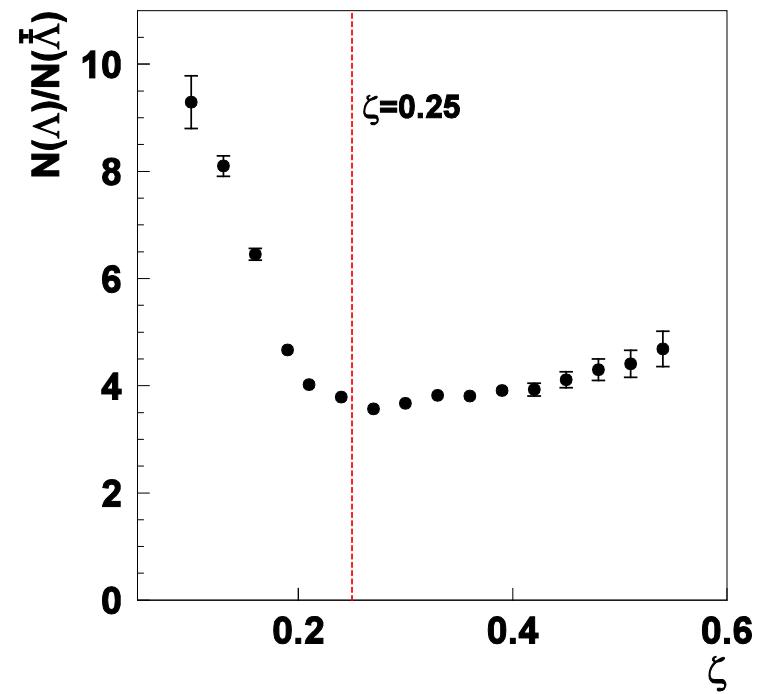
# BACKUP

# $\Lambda$ photoproduction mechanism by PYTHIA

$$\langle \mathbf{E}_\gamma \rangle = \langle \mathbf{E}_e - \mathbf{E}_{e'} \rangle \approx 15.6 \text{ GeV}$$



$\Lambda$  to  $\bar{\Lambda}$  yield ratio

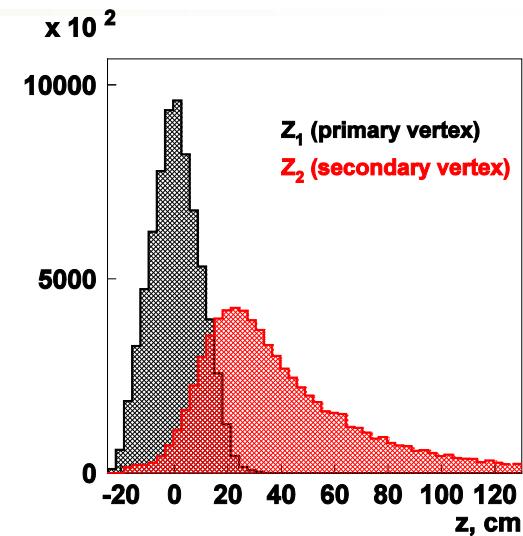
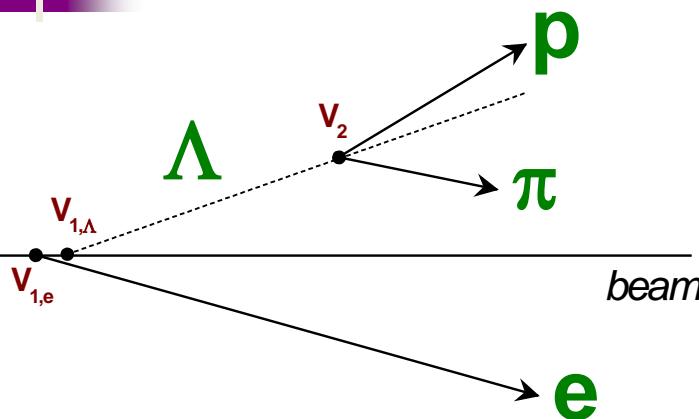


$$\zeta^\Lambda \approx \frac{E_\Lambda}{E_e} < 0.25 \text{ or } \sqrt{t} < 3.31 \text{ GeV}$$



*target ( $ud$ )  
fragmentation  
mechanism*

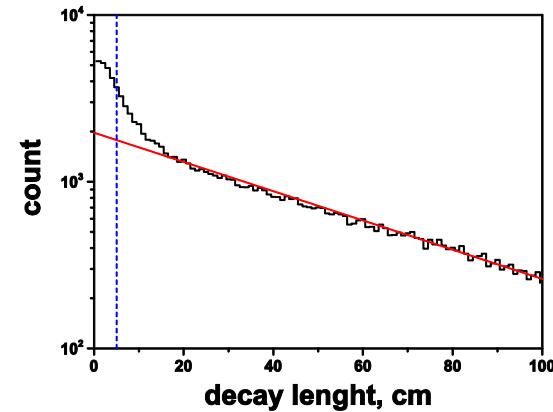
# $\Lambda$ and $\bar{\Lambda}$ events selection



main bgr is  $\pi^+ \pi^-$  and  $K\pi$  pairs production;

*bgr suppression cuts:*

- Leading  $\pi$  rejection  
using threshold Cherenkov det. (1996-1997)  
or RICH (1998-2007)
- Vertex separation.  
Distance between  $V1$  and  $V2$  vertices  $> 5$  cm



# Extraction of $D_{Li}$ components from experimental data sample

$$\frac{dN}{d\Omega_p} = \frac{dN_0}{d\Omega_p} (1 + \alpha \vec{P}^\Lambda \cdot \hat{\vec{k}}_p) = \frac{dN_0}{d\Omega_p} (1 + \alpha_\Lambda P_B \sum_{i=x,y,z} D_{Li}^\Lambda \cos \theta_i) \text{ in } \Lambda \text{ rest frame}$$

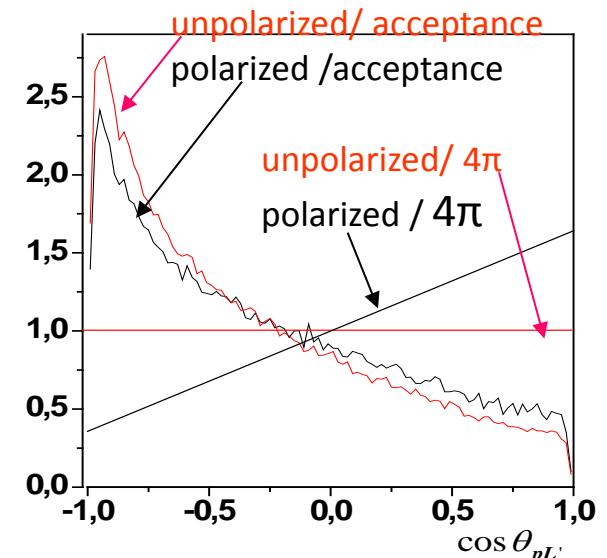
$$\alpha_{\Lambda \rightarrow p^+ \pi^-} = 0.642 \pm 0.013 \quad \alpha_{\Lambda \rightarrow p^- \pi^+} = -0.642 \pm 0.013$$

Spectrometer acceptance results in strong distortion of decay angular distribution,  
**intensive MC acceptance simulation (COMPSS)**

**For beam helicity balance case**       $\llbracket P_B \rrbracket = 0$

MC simulation of spectrometer acceptance is not needed, acceptance correction does not affect measured asymmetries.  $D_{Li}$  components are extracted using experimental data sample only !!

$$\sum_{k=x,y,z} D_{Lk} A_{ik} = \frac{1}{\alpha} \frac{B_i}{\llbracket P_B^2 \rrbracket} \quad i = x, y, z$$



$$A_{ik} = \frac{1}{N^\Lambda} \sum_{v=1}^{N^\Lambda} (D^2(y) \cos \theta_i \cos \theta_k)_v$$

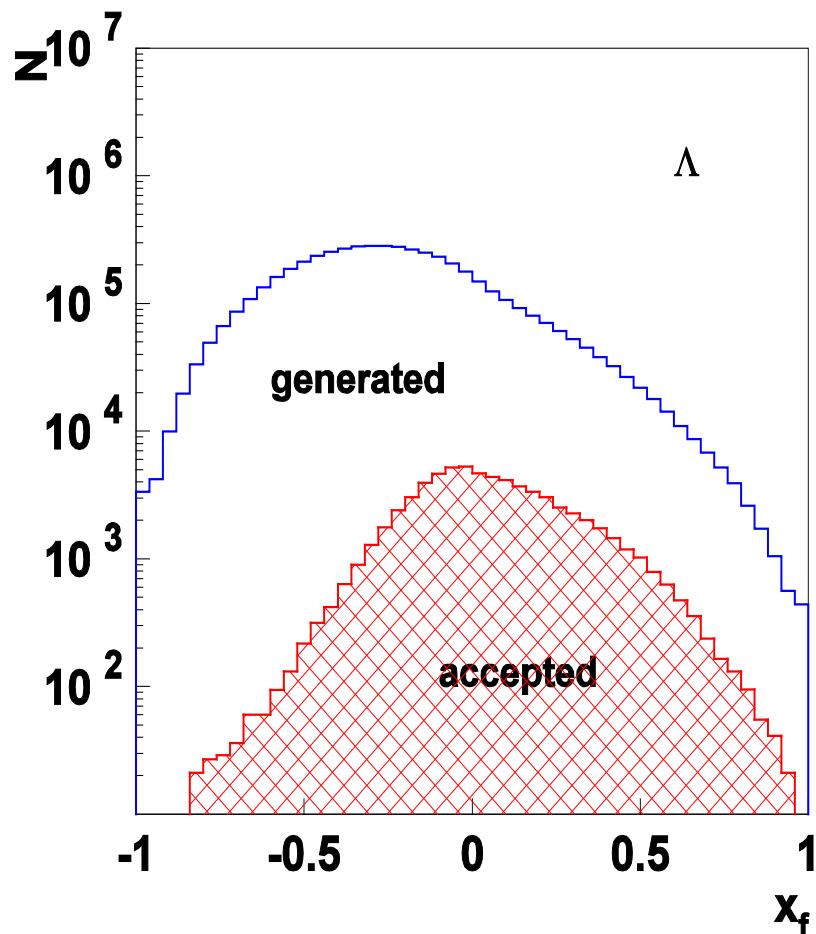
$$B_i = \frac{1}{N^\Lambda} \sum_{v=1}^{N^\Lambda} (P_B D(y) \cos \theta_i)_v$$

$$\llbracket P_B^2 \rrbracket = \left\{ \frac{\int P^2(t) L(t) dt}{\int L(t) dt} \right\}$$

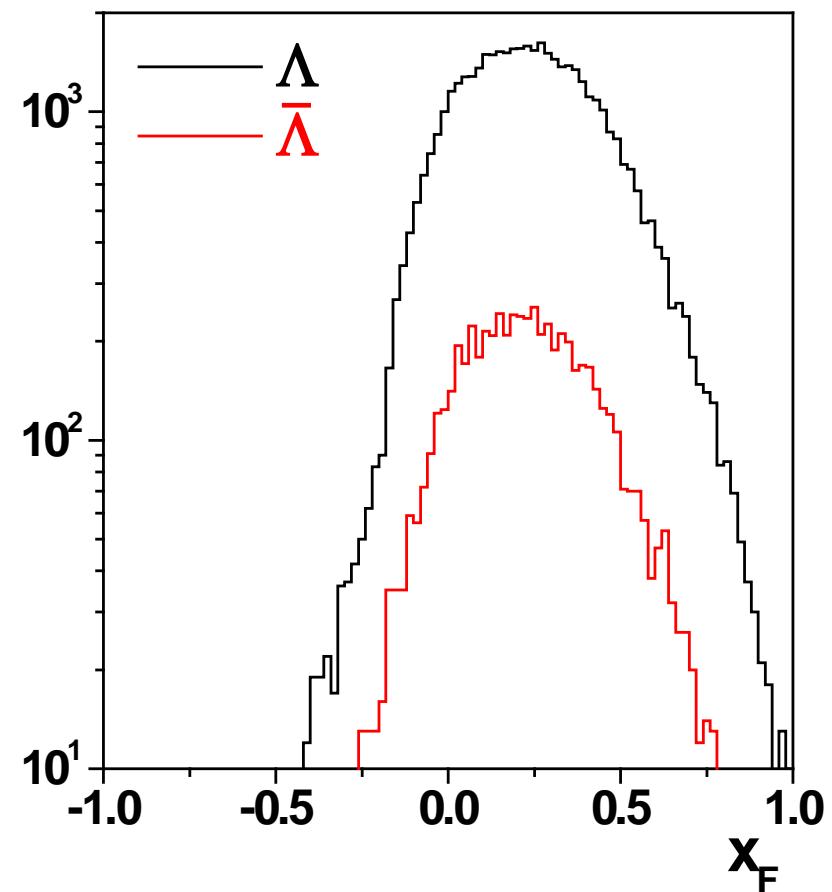
*average over experimental data sample*

# $x_F$ distributions (semi-inclusive DIS)

Pythia MC for  $\Lambda$



Experiment for  $\Lambda$  and  $\bar{\Lambda}$



HERMES is a forward spectrometer

$\rightarrow p_\Lambda(\text{min}) \sim 1 \text{ GeV}$

# Extraction of $D_{LL'}$

- Angular distribution of decay protons in  $\Lambda$  rest frame

$$\frac{dN_0}{d\Omega_p} = \text{const} \text{ for } 4\pi \text{ acceptance}$$

for restricted acceptance

$$\frac{dN_0}{d\Omega_p} \text{ depends on } \cos\theta_{pL'}$$

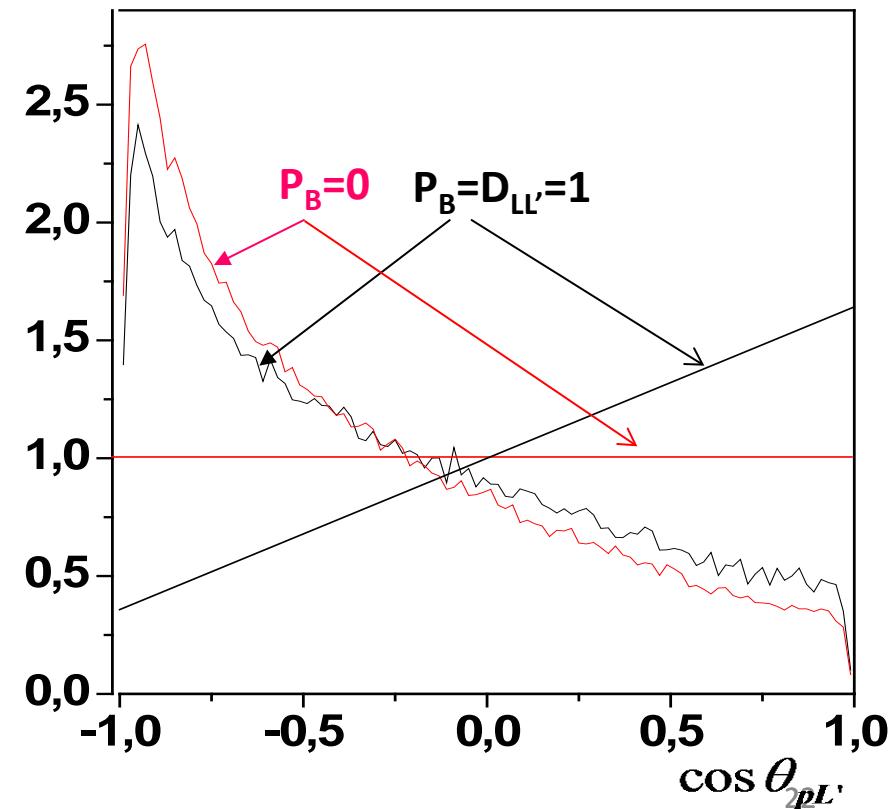
↓  
Distorted by spectrometer  
acceptance

May in principle be calculated  
using MC

$$\frac{dN}{d\Omega_p} = \frac{dN_0}{d\Omega_p} (1 + \alpha P_{\text{Beam}} \vec{D}_{LL'}^\Lambda \cdot \hat{\vec{k}}_p)$$

$$\alpha = 0.642 \pm 0.013$$

➤ difficulty to avoid false  
asymmetry induced by MC  
acceptance simulation



# *Effect of longitudinal magnetic field (solenoid)*

$$\cos\theta_p = \frac{p_{\pi x} p_{py} - p_{\cos\theta_p p_x}}{p_{AT} q} \frac{p_{\pi x} p_{py} - p_{\pi y} p_{px}}{q \cdot p_{AT}} \quad \cos\theta_p \rightarrow -\cos\theta_p \text{ at } y \rightarrow -y$$

$\cos\theta_p$  in A rest frame,  
 $q = 101 \text{ Mev}$  decay momentum  
 $p_{\pi x, y}, p_{px, y}, p_{AT}$  in Lab frame (!)

*Up/down mirror symmetry: acceptance function*

$$\varepsilon^{\text{up}}(\cos\theta_p, \dots) \rightarrow \varepsilon^{\text{down}}(-\cos\theta_p, \dots) \quad \text{at } y \rightarrow -y$$

**Transverse magnetic field of the dipole magnet (transverse pol target and spectrometer dipole)**

$$B_x^{\text{up}} = -B_x^{\text{down}} \quad B_y^{\text{up}} = B_y^{\text{down}} \quad B_z^{\text{up}} = -B_z^{\text{down}}$$

Lorentz force

$$F_x^{\text{up}} = F_x^{\text{down}} \quad F_y^{\text{up}} = -F_y^{\text{down}} \quad F_z^{\text{up}} = F_z^{\text{down}}$$

up / down mirror symmetry

**Longitudinal magnetic field of solenoid (longitudinal pol target and RD solenoid)**

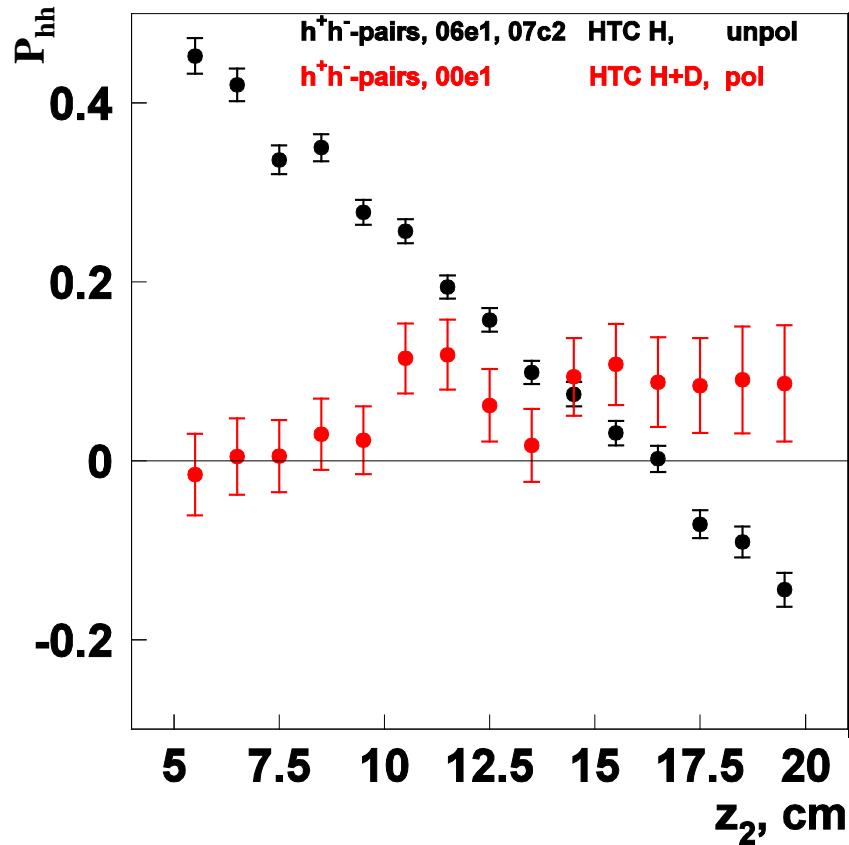
$$B_x^{\text{up}} = B_x^{\text{down}} \quad B_y^{\text{up}} = -B_y^{\text{down}} \quad B_z^{\text{up}} = B_z^{\text{down}}$$

Lorentz force

$$F_x^{\text{up}} = -F_x^{\text{down}} \quad F_y^{\text{up}} = F_y^{\text{down}} \quad F_z^{\text{up}} = -F_z^{\text{down}}$$

no up/down mirror symmetry

# *False polarization of hh pairs 00 and 06,07*



*hh - pairs false polarization  
1996-2005*

$-20 < z1 < 20 \text{ cm}$

*pol + unpol*  $0.0088 \pm 0.0034$   
*pol*  $0.0232 \pm 0.0054$   
*unpol*  $-0.0006 \pm 0.0044$

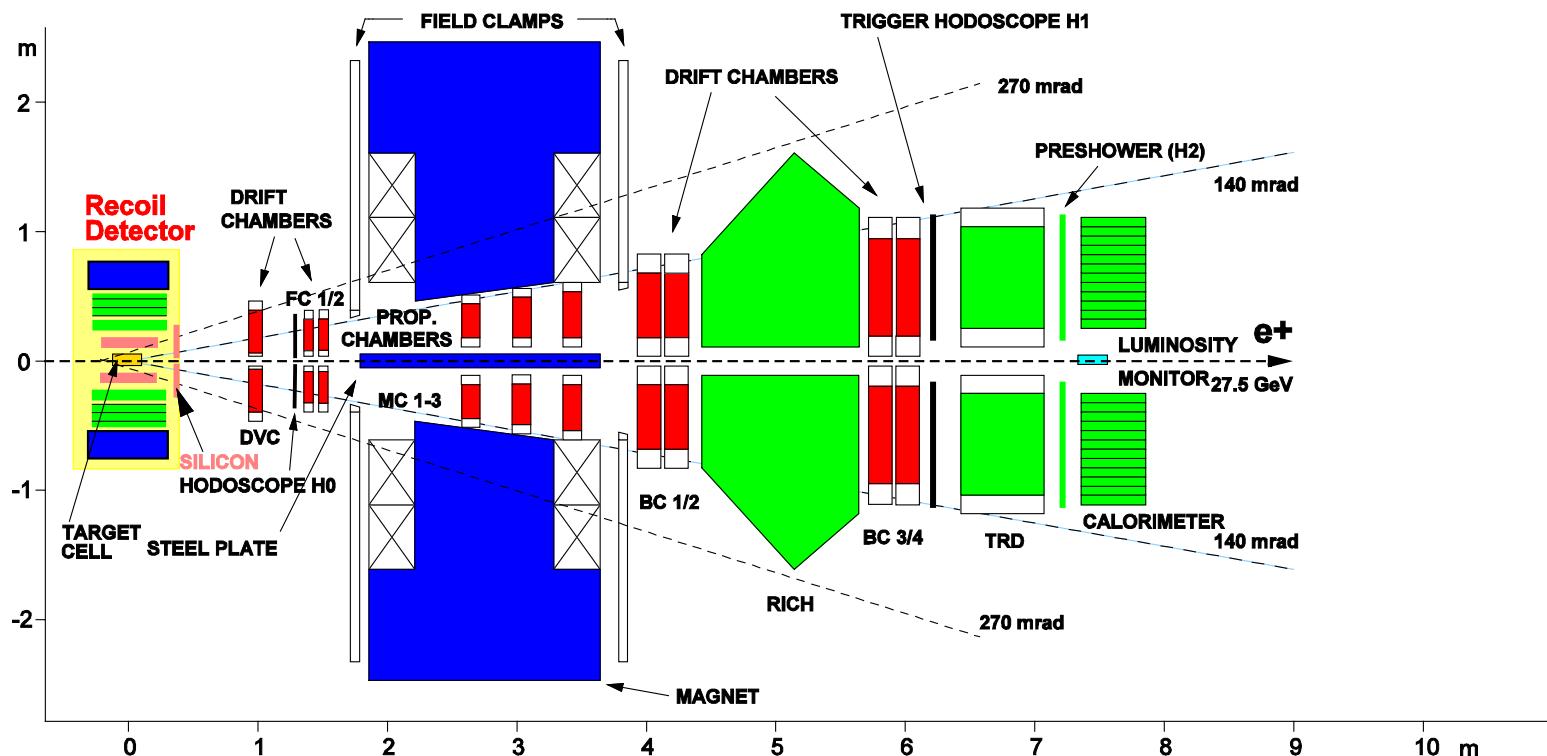
$5 < z1 < 20 \text{ cm (recoil target size)}$

*pol + unpol*  $0.0455 \pm 0.0059$   
*pol*  $0.0608 \pm 0.0093$   
*unpol*  $0.0355 \pm 0.0076$

*Published false polarization of Ks is:  $P_{Ks} = 0.012 \pm 0.004$*

*Published false polarization of hh pairs is:  $P_{hh} = 0.012 \pm 0.002$*

# HERMES SPECTROMETER



*HERMES dipole BL=1.3 TM*

$$\frac{\Delta p}{p} \simeq 1\%$$

$$\Delta\theta_x, \Delta\theta_y \simeq 1\text{ mrad}$$

$$-170 < \theta_x < +170 \text{ mrad}$$

$$-140 < \theta_y < -40 \text{ mrad}$$

$$140 > \theta_y > 40 \text{ mrad}$$

$$40 < \theta < 220 \text{ mrad}$$

**Very good PID !!**